

# Optics & Photonics Winter School 2016



College of Optical Sciences

THE UNIVERSITY OF ARIZONA



# Branches of Optics

- Geometrical Optics → light as a ray
- Physical Optics → light as a wave
- Quantum Optics → light as wave/particle



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# Fields in Optical Sciences

- Optical Engineering
- Imaging Science
- Photonics
- Optical Physics



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# Optical Physics & Lasers

R. Jason Jones  
College of Optical Sciences  
University of Arizona



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# Outline

- Light, matter, and their interaction: overview of optical physics
- Quantum description of matter
- The semi-classical model
- Lasers
- Lasers as precision tools



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# Models of Light-Matter Interaction

Classical picture:

"classical" light, "classical" matter

Semi-classical picture:

classical light, quantum matter

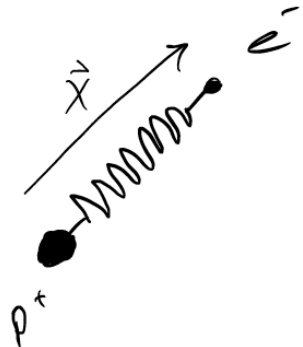
Quantum picture:

quantum light, quantum matter

# Overview

## Classical electron-oscillator model

(~1900) Lorentz ad hoc hypothesis: atom responds as if it were attached to nucleus with a spring.



$$\vec{F}_{\text{atom}} = -k \vec{x}$$

$$\vec{F}_{\text{light}} = -e \vec{E} \quad (\text{Lorentz force})$$

↪ light field

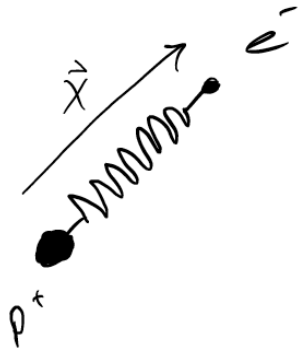
$$k \equiv \omega_0^2 m$$

↖ ↗  
natural center of  
oscillation mass  
frequency

# Overview

## Classical electron-oscillator model

(~1900) Lorentz ad hoc hypothesis: atom responds as if it were attached to nucleus with a spring.



From Newton:

$$\vec{F}_{\text{total}} = m \vec{a} = m \frac{d^2 \vec{x}}{dt^2}$$

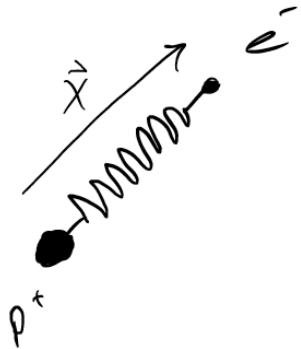
$$\rightarrow m \frac{d^2 \vec{x}}{dt^2} = e \vec{E} - m \omega_0^2 \vec{x}$$



# Overview

## Classical electron-oscillator model

(~1900) Lorentz ad hoc hypothesis: atom responds as if it were attached to nucleus with a spring.



Solution w/o light field  
( $\vec{F}_{\text{light}} = 0$ )

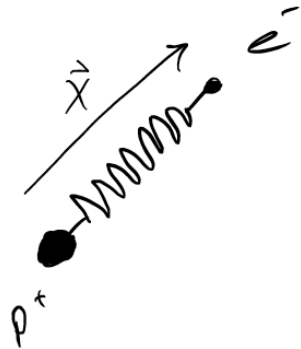
$$\vec{X}(t) = \vec{X}(0) \cos(\omega_0 t)$$

$\Rightarrow$  simple harmonic oscillator

# Overview

## Classical electron-oscillator model

(~1900) Lorentz ad hoc hypothesis: atom responds as if it were attached to nucleus with a spring.



Solution w/o light field  
( $\vec{F}_{\text{light}} = 0$ )

$$\vec{x}(t) = \vec{x}(0) \cos(\omega_0 t)$$

$\Rightarrow$  simple harmonic oscillator

Add damping term:

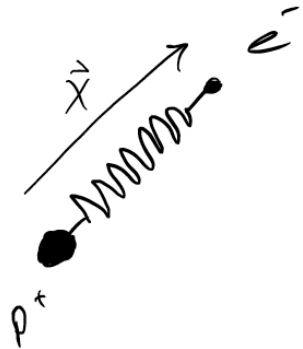
$$\vec{F}_{\text{friction}} = -b\vec{v} = -b \frac{d\vec{x}}{dt}$$

Why?

# Overview

## Classical electron-oscillator model

(~1900) Lorentz ad hoc hypothesis: atom responds as if it were attached to nucleus with a spring.



Damped & driven simple harmonic oscillator:

$$\frac{d^2 \vec{x}}{dt^2} + \left(\frac{b}{m}\right) \frac{d\vec{x}}{dt} + \omega_0^2 \vec{x} = \left(\frac{e}{m}\right) \vec{E}$$

$\Rightarrow$  classical expression for atomic response to light field.

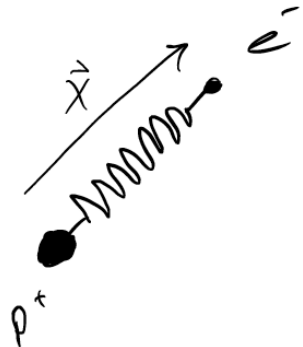
Predicts average dipole response for ensemble of atoms

$$\vec{d} = -e \vec{x}(t) = \alpha(\omega) \vec{E}(t)$$

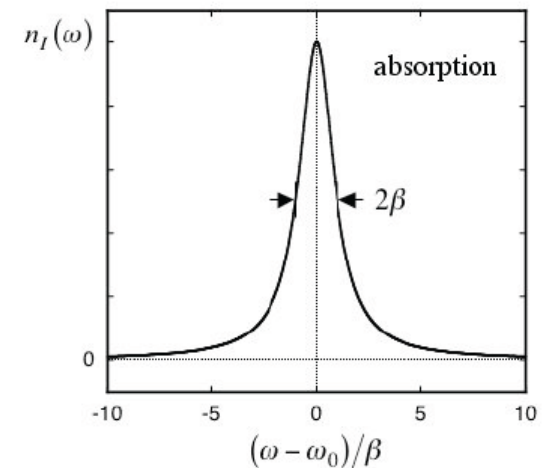
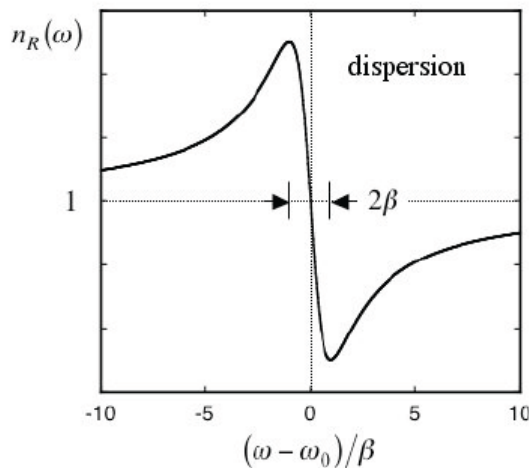
↑  
polarizability  
of atomic  
ensemble

# Overview

## Predictions of the CEO model:



index of refraction  $\rightarrow$  absorption, dispersion



where  $\beta \equiv b/2m$

However, fails to predict: saturation, optical gain, spontaneous emission...

$\rightarrow$  We need a better model for the atom!

# Quantum matter

Elements of quantum theory

Wave equations for light and matter

Quantum description of the atom

# Quantum matter

## Historical events in the development of quantum theory

1901: Planck and Blackbody Radiation

1905: Einstein and the photoelectric effect

1913: Bohr model of the atom

1924: de Broglie and wave-particle duality of matter

1927: Davisson & Germer experiment



Wave-particle  
duality of light



Atomic energy levels



Electron diffraction

# Quantum matter

## Historical events in the development of quantum theory

1924: de Broglie and wave-particle duality of matter

de Broglie wavelength:

$$\lambda_{\text{matter}} = \frac{h}{p}$$

$h$  → Planck's constant  
 $p$  ↓ particle momentum

Louis de Broglie



## Quantum matter

- Analogy with “classical” light waves



# Quantum matter

- Analogy with "classical" light waves

**Maxwell's Equations:** (no free charges, no currents → dielectrica)

- |       |   |                                   |
|-------|---|-----------------------------------|
| (i)   | $\nabla \cdot \mathbf{D} = \rho \equiv 0$   | <b>D:</b> Dielectric displacement |
| (ii)  | $\nabla \cdot \mathbf{B} \equiv 0$  | <b>B:</b> Magnetic induction      |
| (iii) | $\nabla \times \mathbf{E} = -\partial \mathbf{B} / \partial t$  | <b>E:</b> Electric field          |
| (iv)  | $\nabla \times \mathbf{H} = \partial \mathbf{D} / \partial t + \mathbf{J} = \partial \mathbf{D} / \partial t$ | <b>H:</b> Magnetic field          |



$$\nabla^2 \mathbf{E} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \mathbf{E} = 0$$

Wave equation for light



Plane waves

$$E(\vec{r}, t) = E_0 \cos(\omega t - \vec{k} \cdot \vec{r})$$

Spherical waves

$$E(\vec{r}, t) = \frac{1}{r} E_0 \cos(\omega t - k \cdot r)$$



# Quantum matter

1927: Davisson & Germer experiment → electron diffraction



# Quantum matter

How to describe the "matter waves"?

→ See next talk on "atom optics"

# Quantum matter

## Some postulates of quantum mechanics:

- The wavefunction for a particle tells us everything we can know about that particle.

$$\Psi(\vec{r}, t) \sim \text{probability amplitude}$$

(1-dimension)

$$\int_{x_1}^{x_2} |\Psi(x, t)|^2 dx = \left\{ \begin{array}{l} \text{Probability to find the} \\ \text{particle between} \\ x_1 \text{ \& } x_2 \text{ at time } t \end{array} \right.$$

(Born's statistical interp.)

# Quantum matter

## Some postulates of quantum mechanics:

- The Schrodinger equation describes the time evolution of the wavefunction.

$$i\hbar \frac{\partial}{\partial t} \Psi = -\frac{\hbar^2}{2m} \nabla^2 \Psi + V(\vec{r}, t) \Psi$$

→ The wave equation for matter!

# Quantum matter

For time-independent problems, it can be shown...

$$\left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{r}^s) \right] \Psi_n = E_n \Psi_n$$

or

$$\hat{H} \Psi_n = E_n \Psi_n$$

Where  $E_n$  is the total energy of the particle in quantum state  $\Psi_n$ .

# Quantum matter

For example, if it is a free particle ( $V=0$ )...

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) = E \psi(x)$$

1 - dimension



# Quantum matter

For example, if it is a free particle ( $V=0$ )...

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) = E \psi(x)$$

$$\hookrightarrow \frac{d^2}{dx^2} \psi(x) = \left(-\frac{2m}{\hbar^2} E\right) \psi(x)$$

# Quantum matter

For example, if it is a free particle ( $V=0$ )...

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) = E \psi(x)$$

$$\Leftrightarrow \frac{d^2}{dx^2} \psi(x) = \left(-\frac{2m}{\hbar^2} E\right) \psi(x)$$

$\Rightarrow$  plane wave solutions

or

$$\psi(x) = \psi_0 \sin\left(\sqrt{\frac{2mE}{\hbar^2}} x\right)$$

$$\psi(x) = \psi_0 e^{i\sqrt{\frac{2mE}{\hbar^2}} x}$$

# Quantum matter

Free particle continued...

$$\Psi(x) = \Psi_0 \sin\left(\sqrt{\frac{2mE}{\hbar^2}} x\right)$$

$$k = \frac{2\pi}{\lambda} = \frac{\sqrt{2mE}}{\hbar}$$

$$\begin{aligned} E = p^2/2m &\quad \rightarrow \quad \lambda = \frac{2\pi\hbar}{\sqrt{2mE}} = \frac{h}{\sqrt{2mE}} \end{aligned}$$

single  $\lambda \rightarrow$  well defined momentum  $p$

$$\lambda = \frac{h}{p} \quad \checkmark$$

# Quantum matter

Now consider these two wavefunctions:



$\Delta x$  large,  $\lambda$  well defined



$\Delta x$  small,  $\lambda$  not as certain

~~where is the particle?~~

Since  $\lambda = \frac{h}{p}$

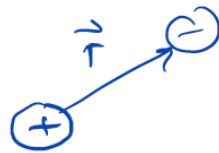
more rigorous calculation shows

$$\Delta x \propto \frac{1}{\Delta p}$$

$$\boxed{\Delta x \cdot \Delta p \geq \frac{h}{2}} \quad \text{H.U.P. !}$$

# Quantum matter

## Quantum model of the hydrogen atom



Coulomb potential:

$$V(r) = -\frac{e^2}{r}$$

Using the Coulomb potential in the Schrodinger equation, one can now obtain the allowed quantum states and energies for the hydrogen atom.

$$\Rightarrow E_n = -\frac{13.6 \text{ eV}}{n^2} \quad ; \quad n = 1, 2, 3, \dots$$

$$\Psi_n(x, y, z)$$

# Quantum matter


Graphs of hydrogen atom wavefunctions

# Semi-classical model of light-matter interaction

Classical light field - quantum atom: solve the full Schrodinger equation

$$i\hbar \frac{\partial}{\partial t} \Psi(\vec{r}, t) = -\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, t) \Psi(\vec{r}, t)$$

Coulomb force  
+  
Lorentz force



Several assumptions built into solution  
e.g. light field is a small perturbation

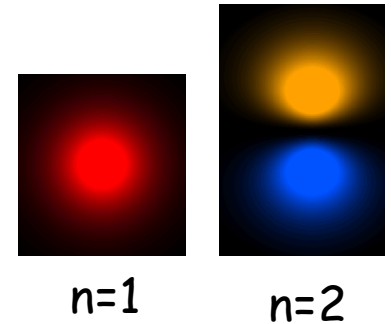
video for numerical simulations of solution...

(<http://www.falstad.com/mathphysics.html>)

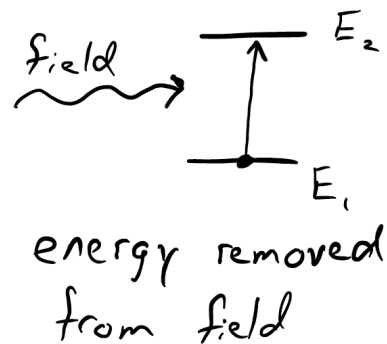
# Semi-classical model of light-matter interaction

## Semi-classical picture predicts:

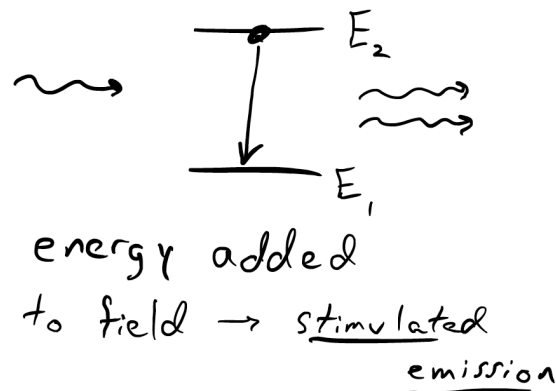
-real atom with discrete energy levels (infinite lifetime)



-optical absorption

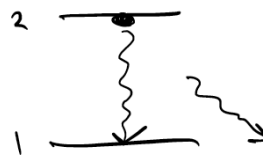


-optical gain



-saturation of absorption or gain

spontaneous emission?



need full quantum theory  
"quantum optics"



# Lasers

## Light Amplification by Stimulated Emission of Radiation

Basic elements:

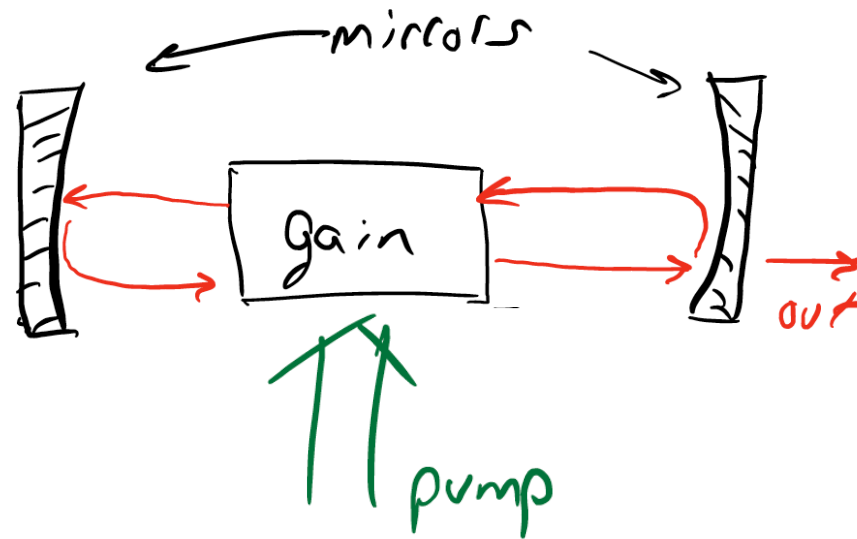


- 1) optical amplifier
- 2) pump source (power)

# Lasers

## Light **A**mplification by **S**timulated **E**mission of **R**adiation

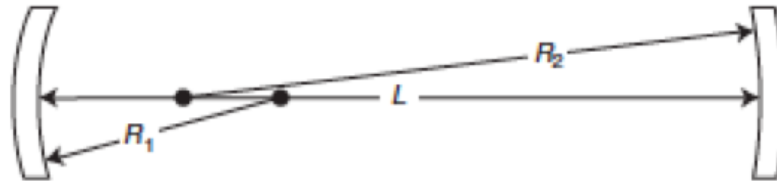
Basic elements:



- 1) optical amplifier
- 2) pump source (power)
- 3) optical cavity → feedback

# Lasers

## Optical cavities & Gaussian beams



Resonant modes of the optical cavity:

-find solutions of the wave equation given certain **approximations** and **boundary conditions**

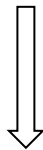
$$\nabla^2 E(\mathbf{r}, t) - \frac{1}{c^2} \frac{\partial^2 E(\mathbf{r}, t)}{\partial t^2} = 0.$$

$$E(\vec{r}, t) = \frac{1}{2} [\Sigma(\vec{r}) e^{-i\omega t} + \text{c.c.}]$$

monochromatic field

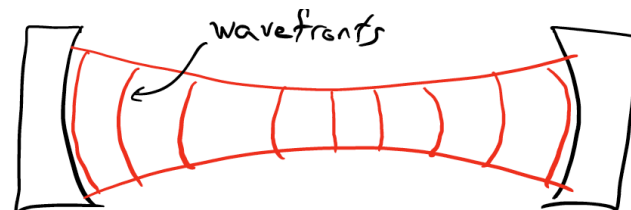
$$\Sigma(\vec{r}) = \underline{\underline{\Sigma_0(x, y, z)}} e^{ikz}$$

"paraxial approximation"



$$\nabla_T^2 \mathcal{E}_0 + 2ik \frac{\partial \mathcal{E}_0}{\partial z} = 0,$$

Paraxial wave equation



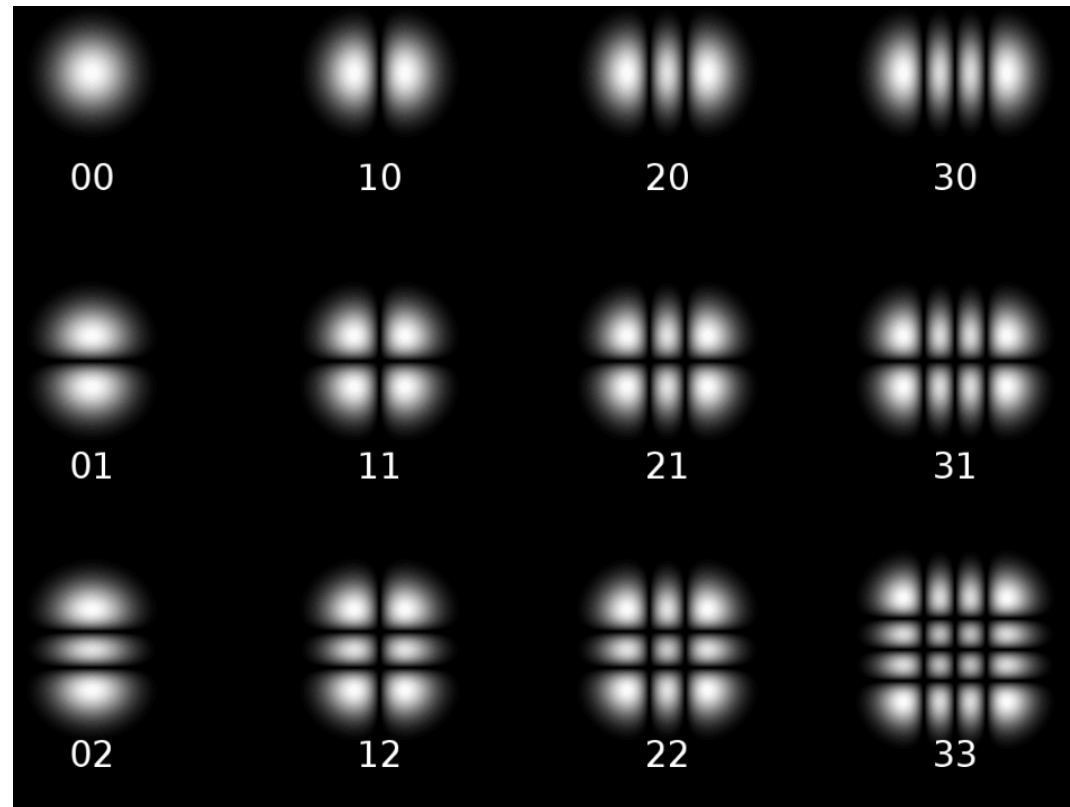
# Lasers

$$\nabla_T^2 \mathcal{E}_0 + 2ik \frac{\partial \mathcal{E}_0}{\partial z} = 0,$$

Paraxial wave equation

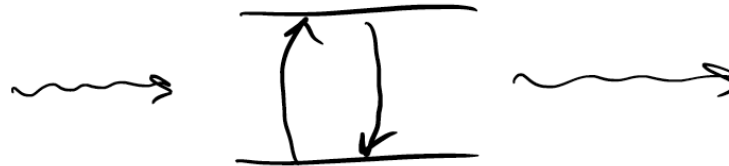
Solutions to the paraxial wave equation:

Example: Hermite-Gaussian polynomials



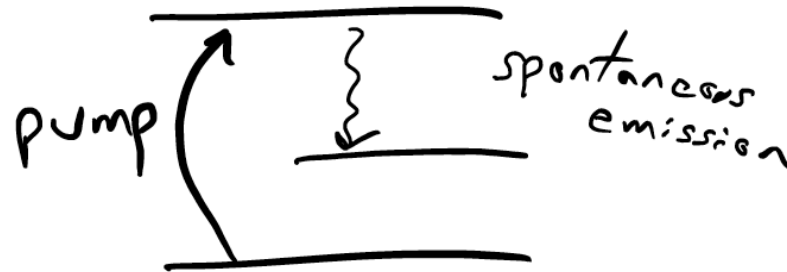
# Lasers

**Optical gain:** need at least 3 energy levels to have more gain than absorption



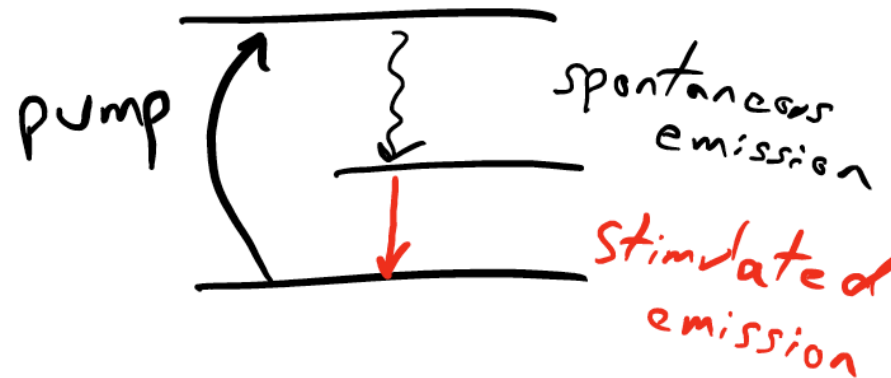
# Lasers

**Optical gain:** need at least 3 energy levels to have more gain than absorption



# Lasers

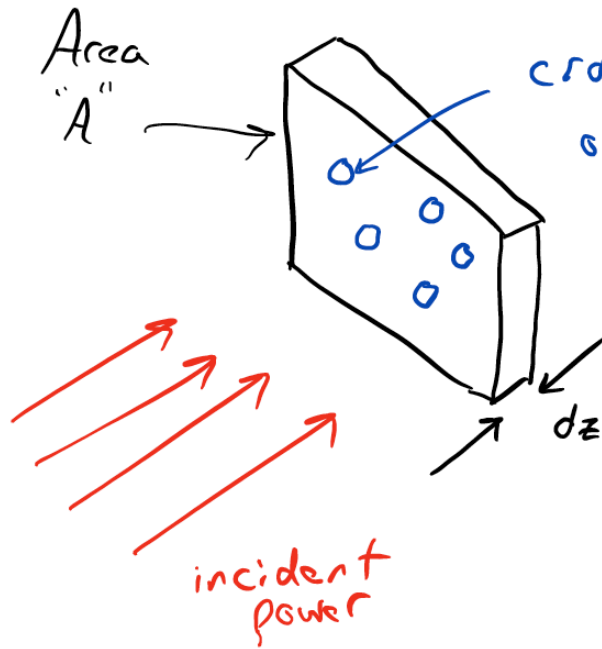
**Optical gain:** need at least 3 energy levels to have more gain than absorption



*Rate equations* determine population densities  
i.e. pumping rate, decay rate, emission rate

# Lasers

Characterizing optical gain: the atomic cross-section



$$\underline{\underline{\sigma}} \text{ (units } m^2)$$

Change in power  $P$

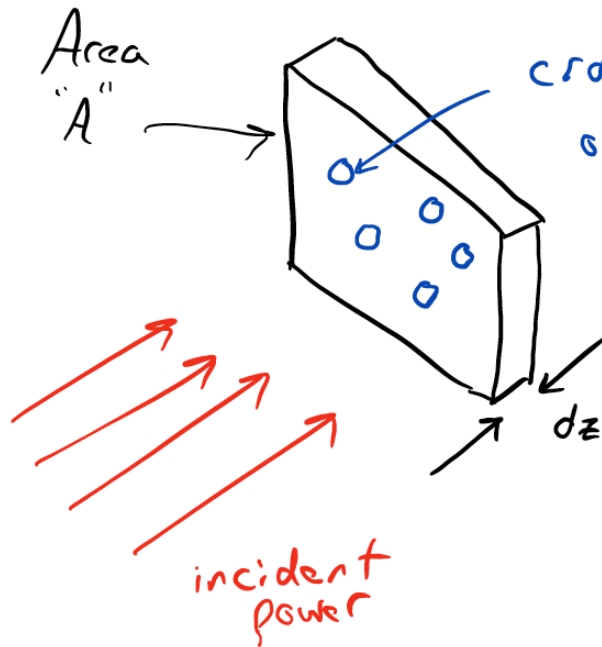
$$dP = - \left( \frac{\sigma}{A} \right) \cdot (N_1 - N_2) \cdot (A \cdot dz) P$$

fraction blocked/atom      # density of atoms in states 1 or 2      volume



# Lasers

Characterizing optical gain: the atomic cross-section



$$\underline{\underline{\sigma}} \text{ (units } m^2)$$

Change in power  $P$

$$dP = - \left( \frac{\sigma}{A} \right) \cdot (N_1 - N_2) \cdot \underbrace{(A \cdot dz)}_{\text{volume}} P$$

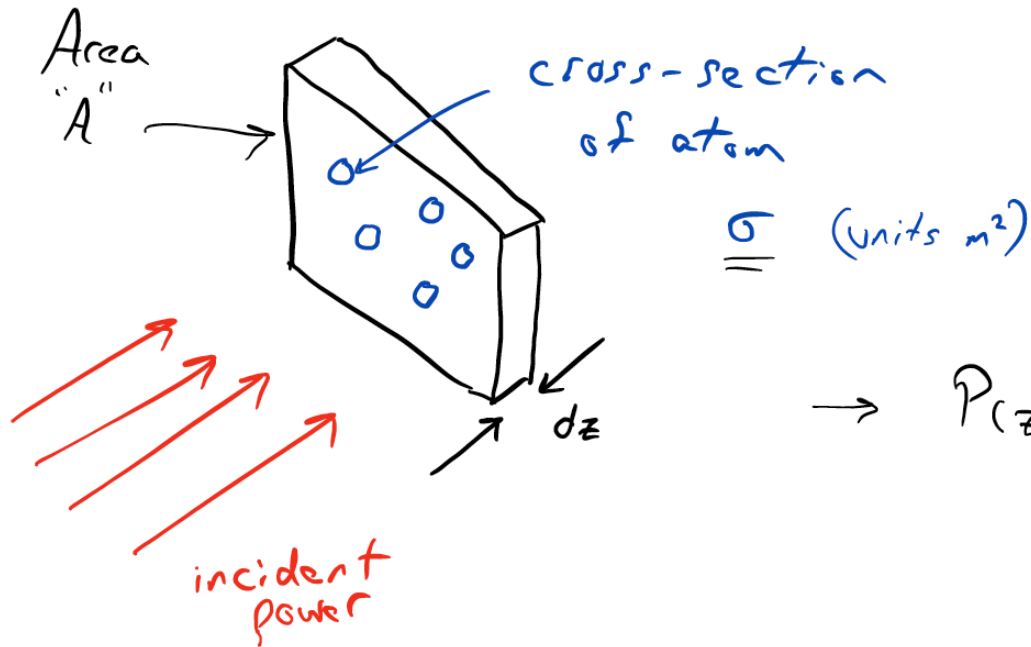
fraction blocked/atom      # density of atoms in states 1 or 2

Rearranging

$$\frac{dP}{dz} = -\sigma (N_1 - N_2) P$$

# Lasers

Characterizing optical gain: the atomic cross-section



$$\rightarrow P(z) = P_0 e^{-\alpha z}$$

$$\text{where } \alpha \equiv \sigma(N_1 - N_2) \\ = \sigma \Delta N$$

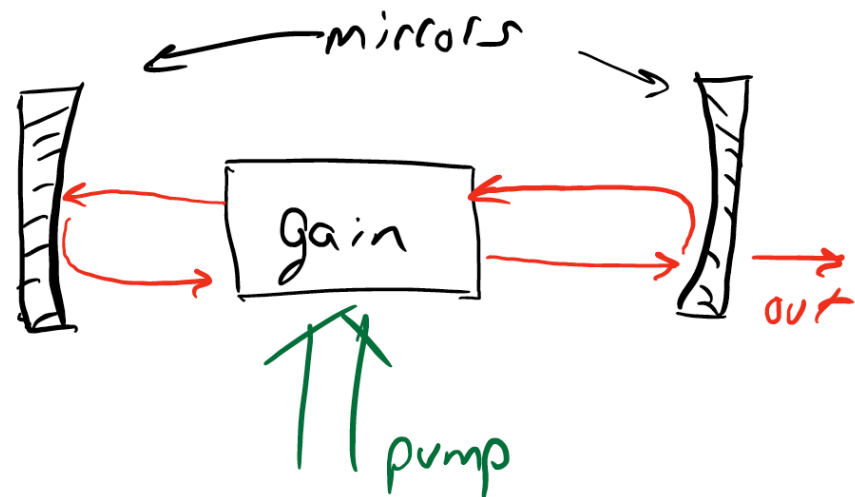
If atoms in ground state,  $\Delta N = N_1$   
 $\rightarrow$  absorption (Beer's law)

If  $N_2 > N_1$  (population inversion)  
 $\rightarrow$  gain!

# Lasers

## Steady-state lasing:

- gain requires population inversion
- initial field provided by spontaneous emission
- power in field grows until it significantly reduces population in level 2  $\rightarrow$  gain saturation
- steady-state lasing threshold is reached when round-trip gain = round-trip losses



# Lasers as precision tools

Precision spectroscopy and atomic clocks

Femtosecond frequency combs

Generating laser light in the extreme ultraviolet

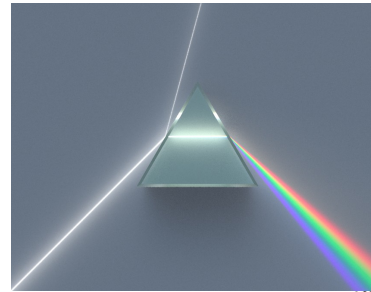
# Precision Spectroscopy: unveiling the quantum world

## Dispersive spectrometer

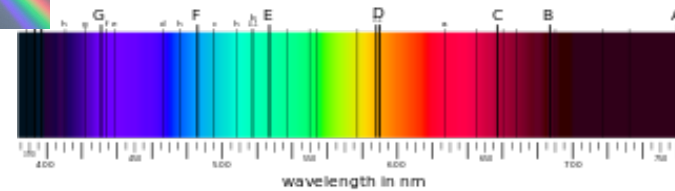
- Spectral resolution  $10^{-7}$



Sir Isaac Newton  
1642-1726

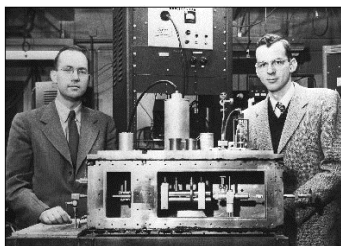


Joseph von Fraunhofer  
1787- 1826



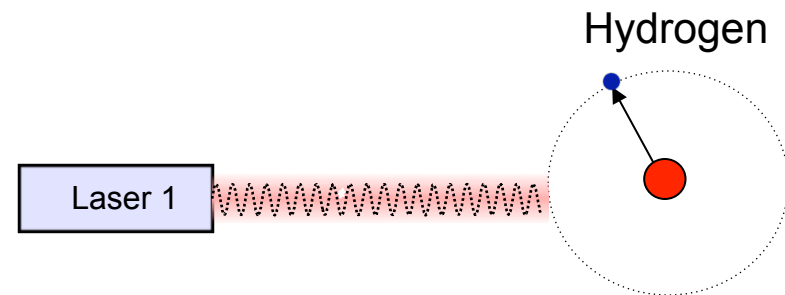
## High-resolution laser spectroscopy

- Spectral resolution  $10^{-15}$



ca. 1960  
C. Townes

Maser



### Tests of fundamental science:

- QED, Lamb Shift
- Rydberg constant
- $\alpha$  variation?
- Proton rms charge radius

# State-of-the-art in precision spectroscopy

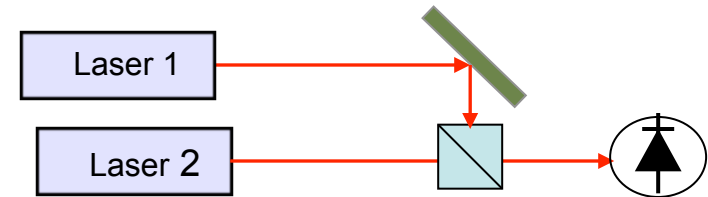
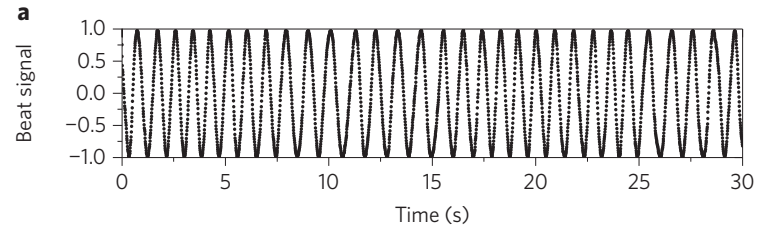
nature  
photonics

ARTICLES

PUBLISHED ONLINE: 9 SEPTEMBER 2012 | DOI: 10.1038/NPHOTON.2012.217

## A sub-40-mHz-linewidth laser based on a silicon single-crystal optical cavity

T. Kessler<sup>1</sup>, C. Hagemann<sup>1</sup>, C. Grebing<sup>1</sup>, T. Legero<sup>1</sup>, U. Sterr<sup>1</sup>, F. Riehle<sup>1\*</sup>,  
M. J. Martin<sup>2</sup>, L. Chen<sup>2†</sup> and J. Ye<sup>2\*</sup>



# State-of-the-art in precision spectroscopy

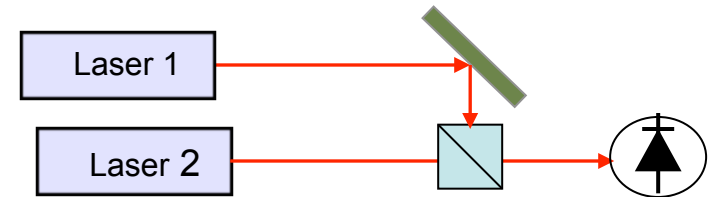
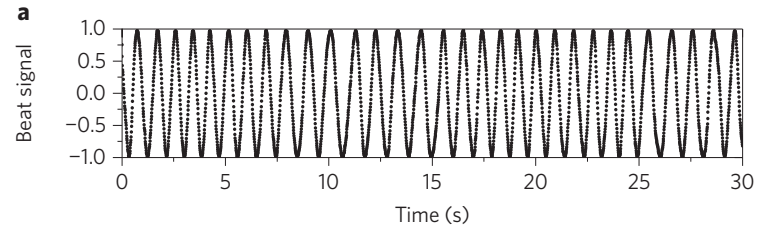
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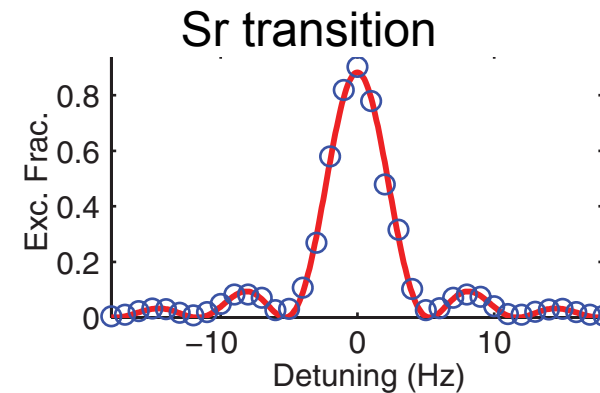
## LETTER

doi:10.1038/nature12941

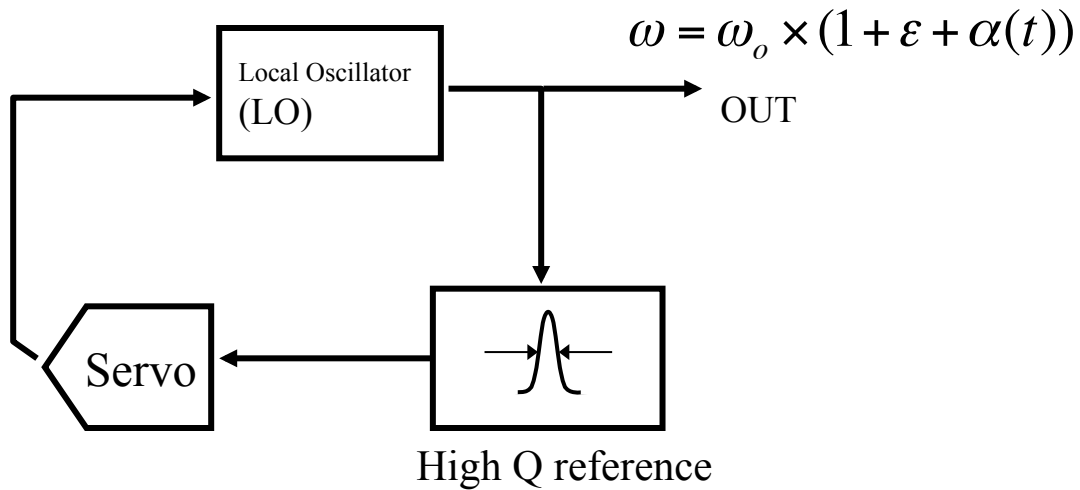
## An optical lattice clock with accuracy and stability at the $10^{-18}$ level

B. J. Bloom<sup>1,2\*</sup>, T. L. Nicholson<sup>1,2\*</sup>, J. R. Williams<sup>1,2†</sup>, S. L. Campbell<sup>1,2</sup>, M. Bishof<sup>1,2</sup>, X. Zhang<sup>1,2</sup>, W. Zhang<sup>1,2</sup>, S. L. Bromley<sup>1,2</sup>  
& J. Ye<sup>1,2</sup>

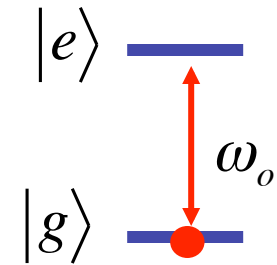
- Laser coherence lasting several seconds
- Precision spectroscopy at the Hz level



# Atomic clock basics



Unperturbed atom

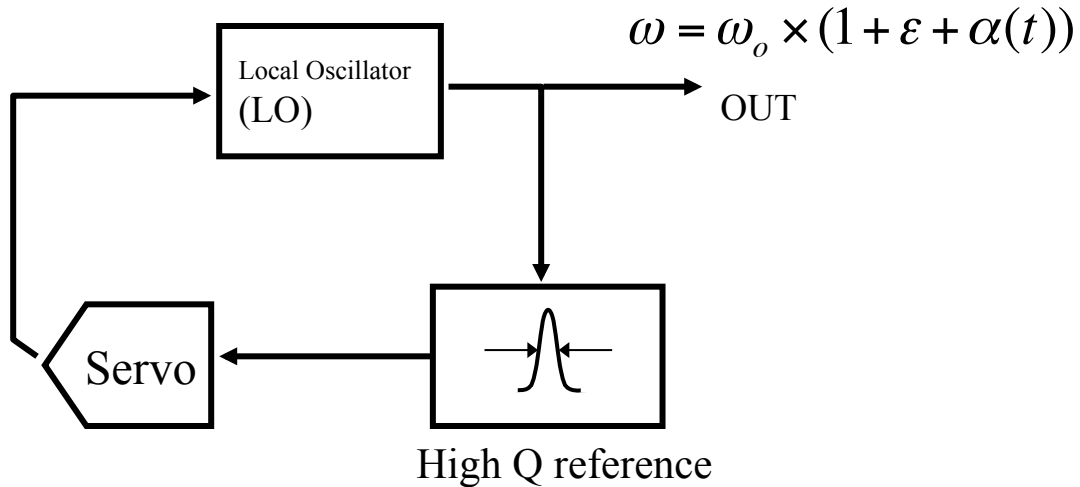


Cs microwave fountain

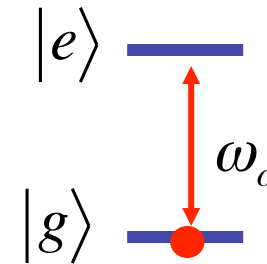
*stability*  $\sim 10^{-14}$



# Atomic clock basics



Unperturbed atom

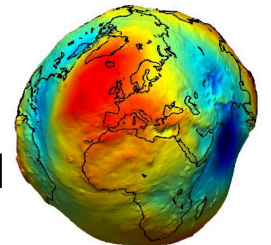


Cs microwave fountain

*stability*  $\sim 10^{-14}$

Optical transitions  *stability*  $\sim 10^{-18}$

Example: mapping the geoid

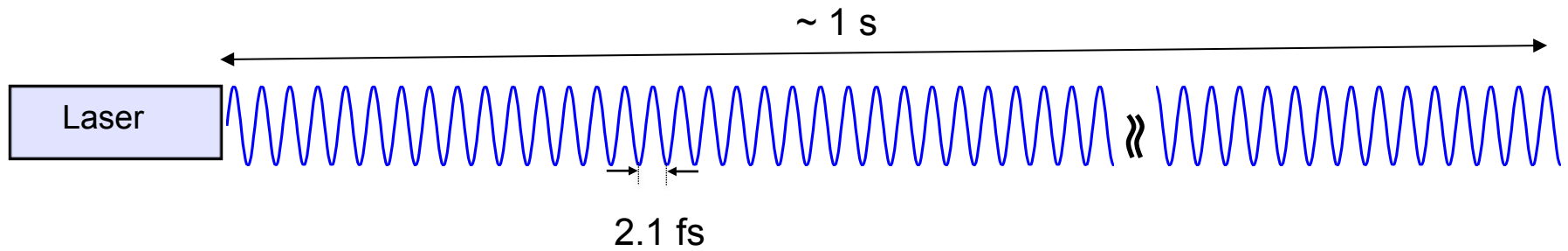


# From the ultrastable to the ultrafast

The femtosecond frequency comb

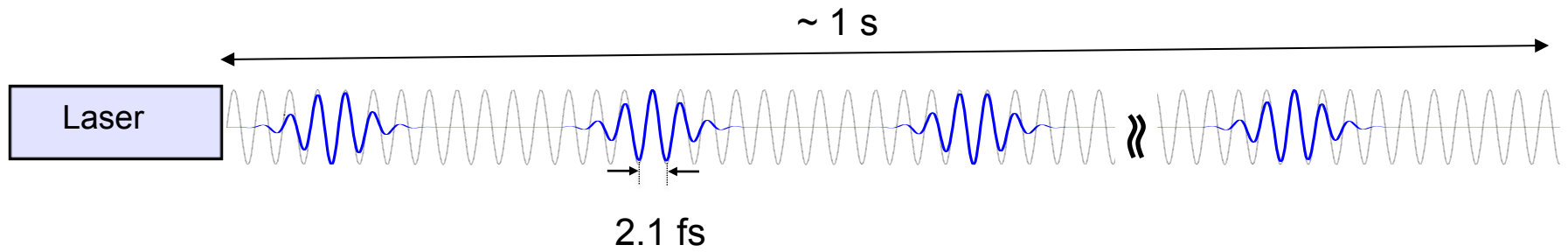
# From the ultrastable to the ultrafast

From seconds to femtoseconds...



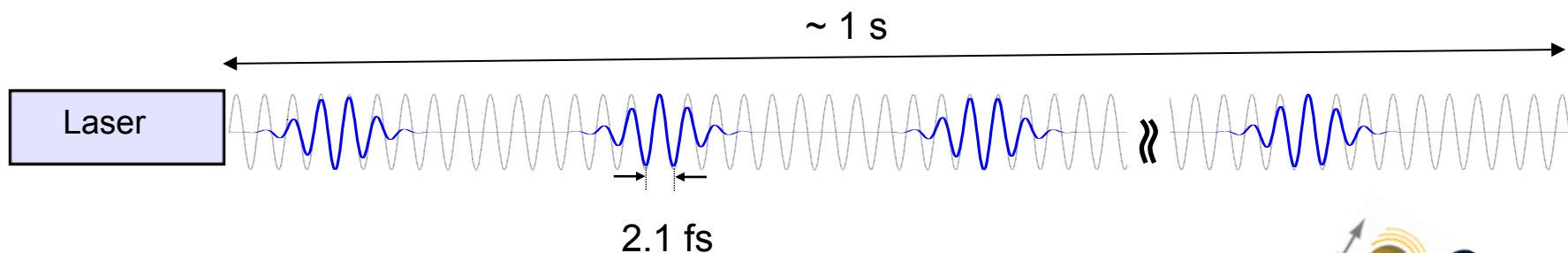
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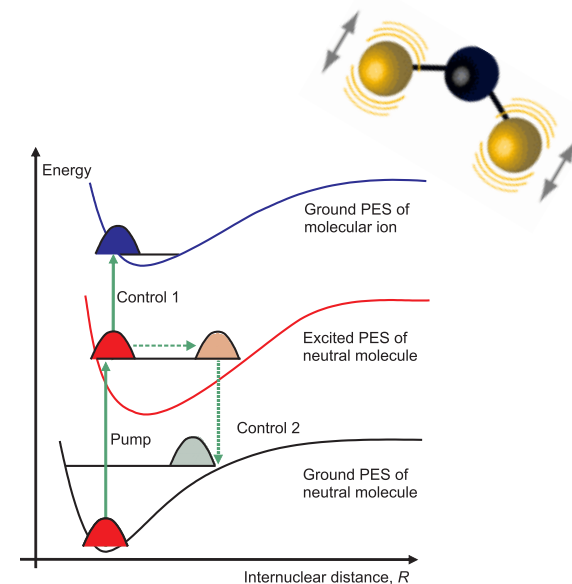
## Ultrafast Science

Probing (and control) of electronic and nuclear motion on femtosecond time scales.

“Femtochemistry”



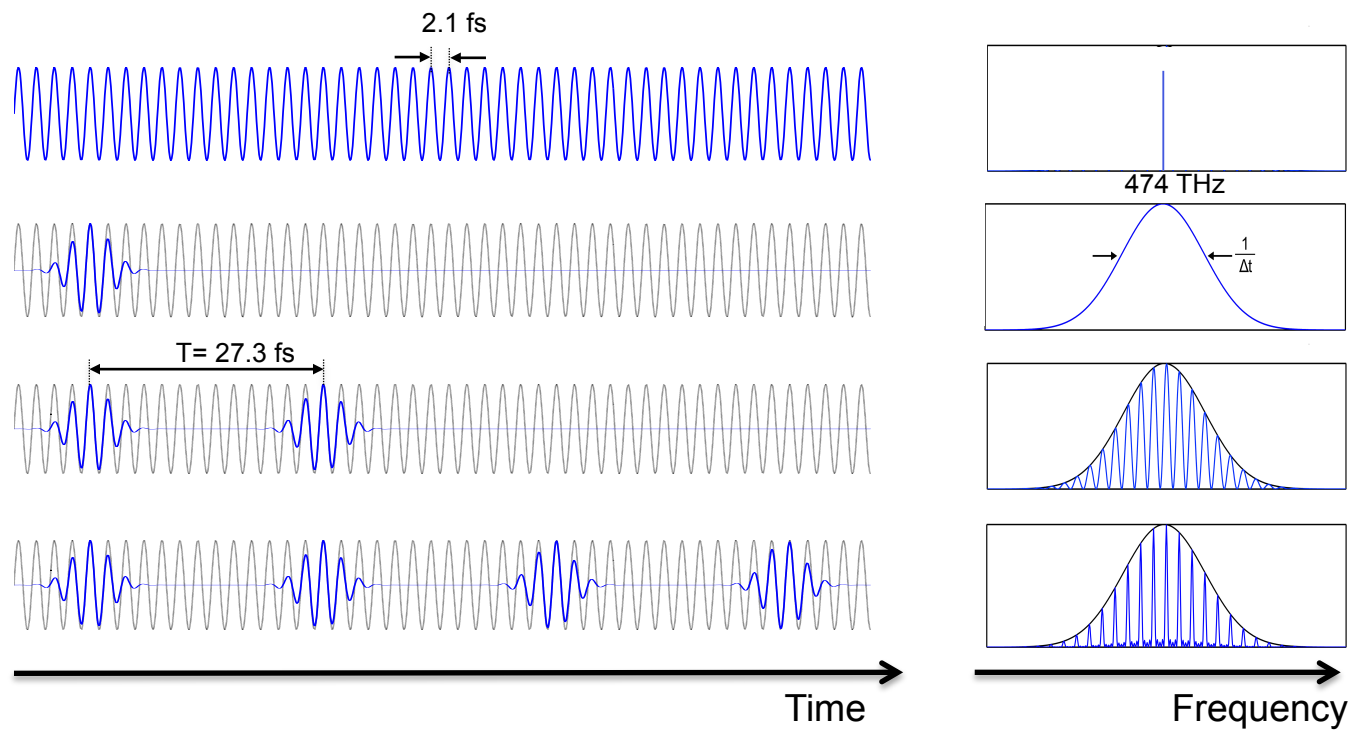
The Nobel Prize in Chemistry 1999  
Ahmed Zewail



e.g. nuclear wavepacket control

# From the ultrastable to the ultrafast

From seconds to femtoseconds...



Spacing between fs comb "teeth" =  $1/T$

# 2005 Nobel Prize

*“...for their contributions to the development of laser-based precision spectroscopy, including the **optical frequency comb technique**”.*



## The Nobel Prize in Physics 2005

"for his contribution to the quantum theory of optical coherence"

"for their contributions to the development of laser-based precision spectroscopy, including the optical frequency comb technique"

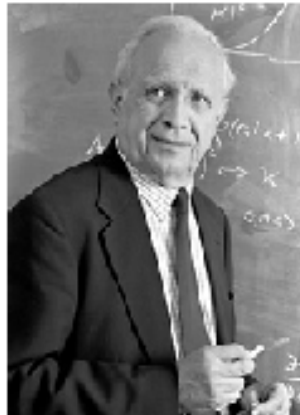


Photo: J.Reed

Roy J. Glauber



Photo: Sears.P.Studio

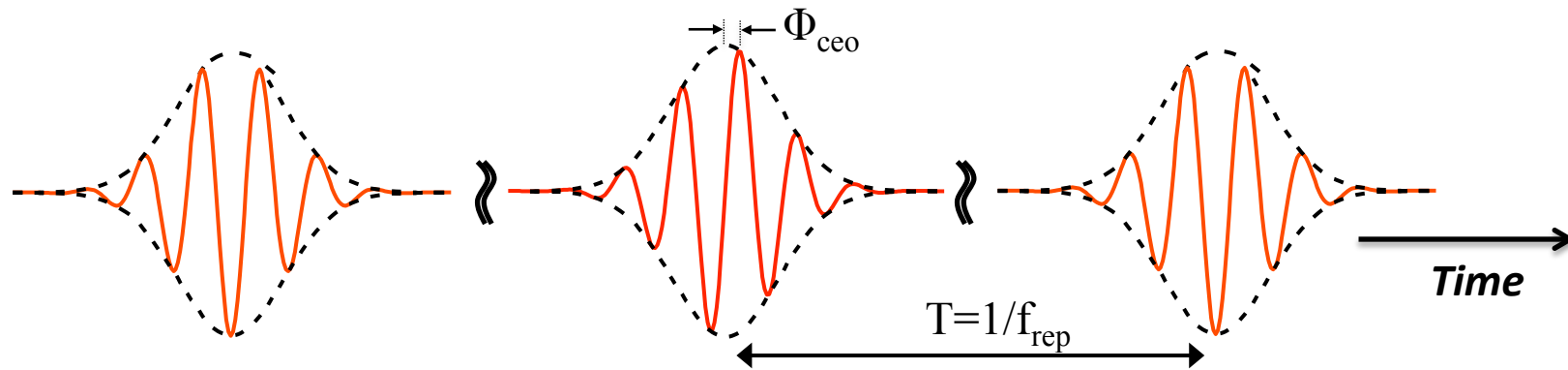
John L. Hall



Photo: F.M. Schmidt

Theodor W. Hänsch

# femtosecond frequency combs

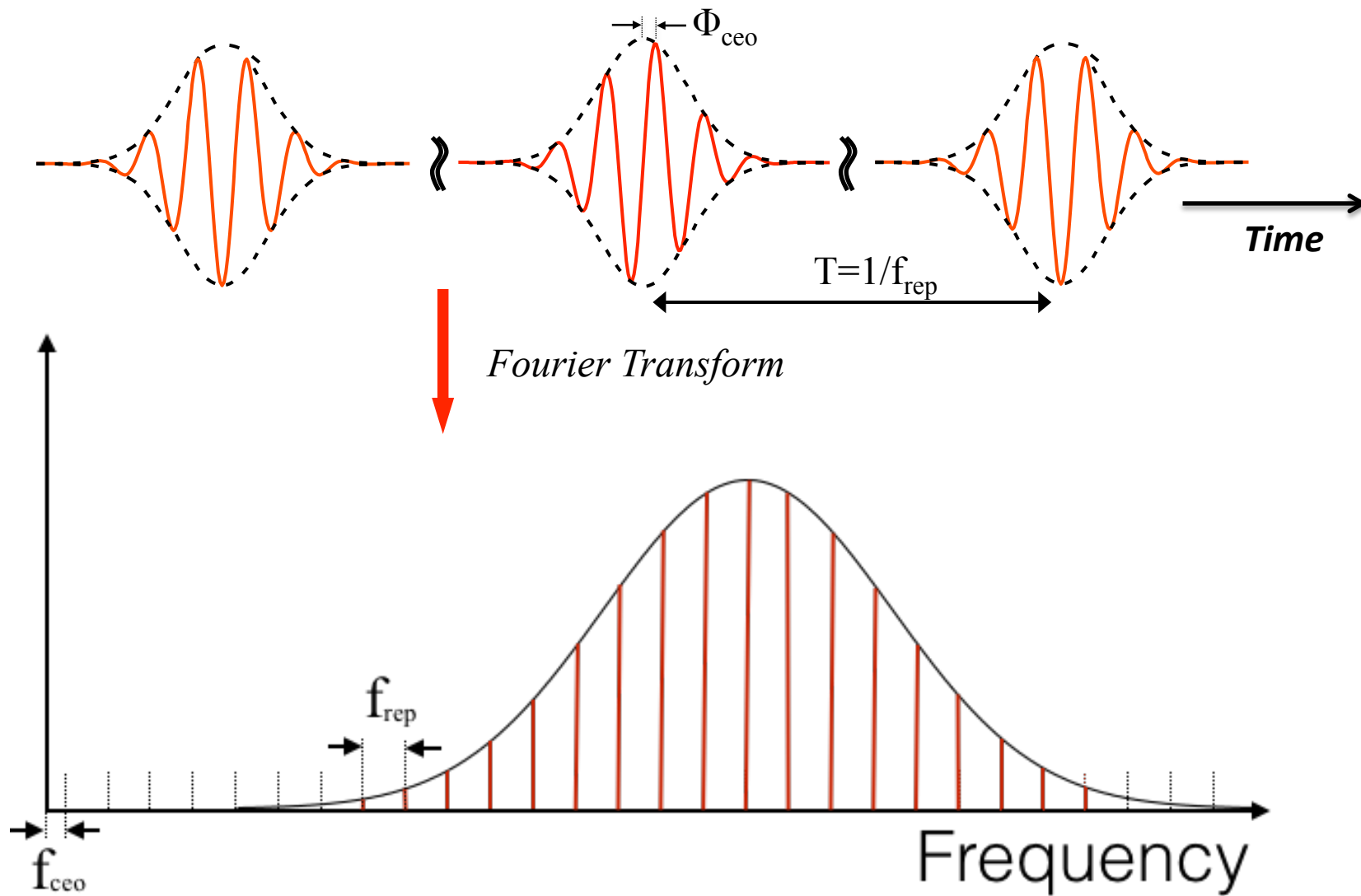


Pulses are not identical...

Any phase relationship between pulses?

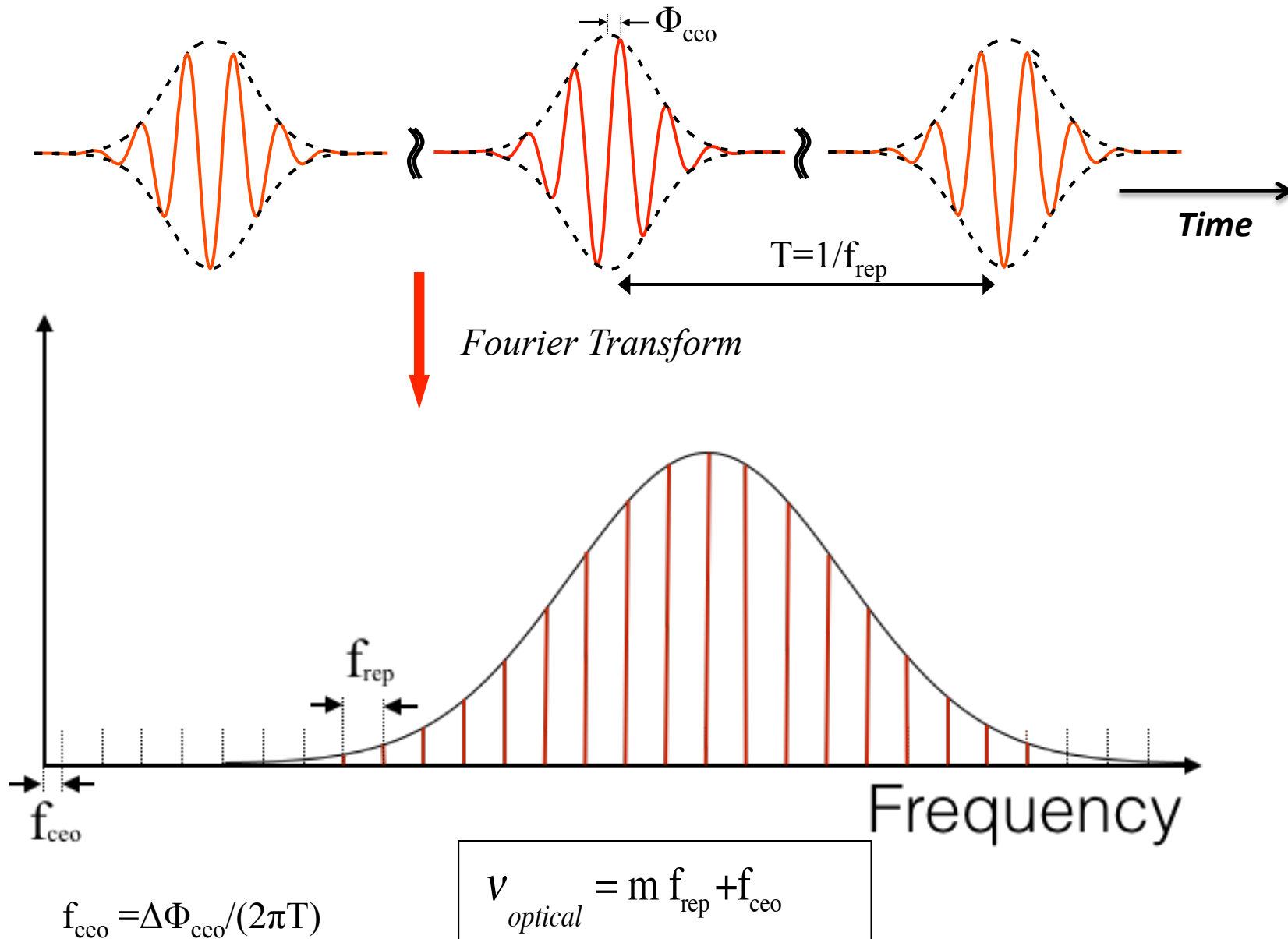


# femtosecond frequency combs

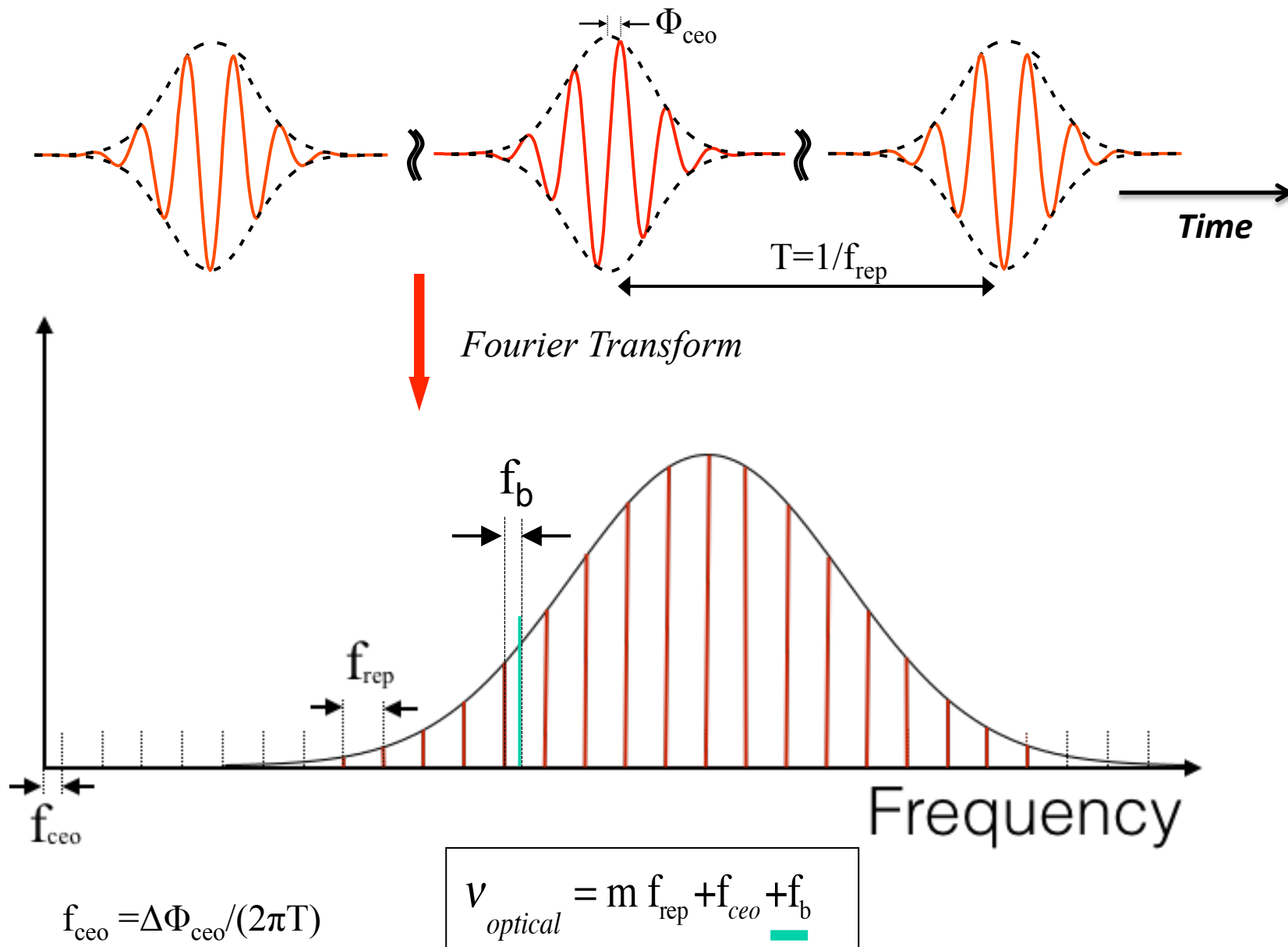


$$f_{\text{ceo}} = \Delta\Phi_{\text{ceo}} / (2\pi T)$$

# femtosecond frequency combs

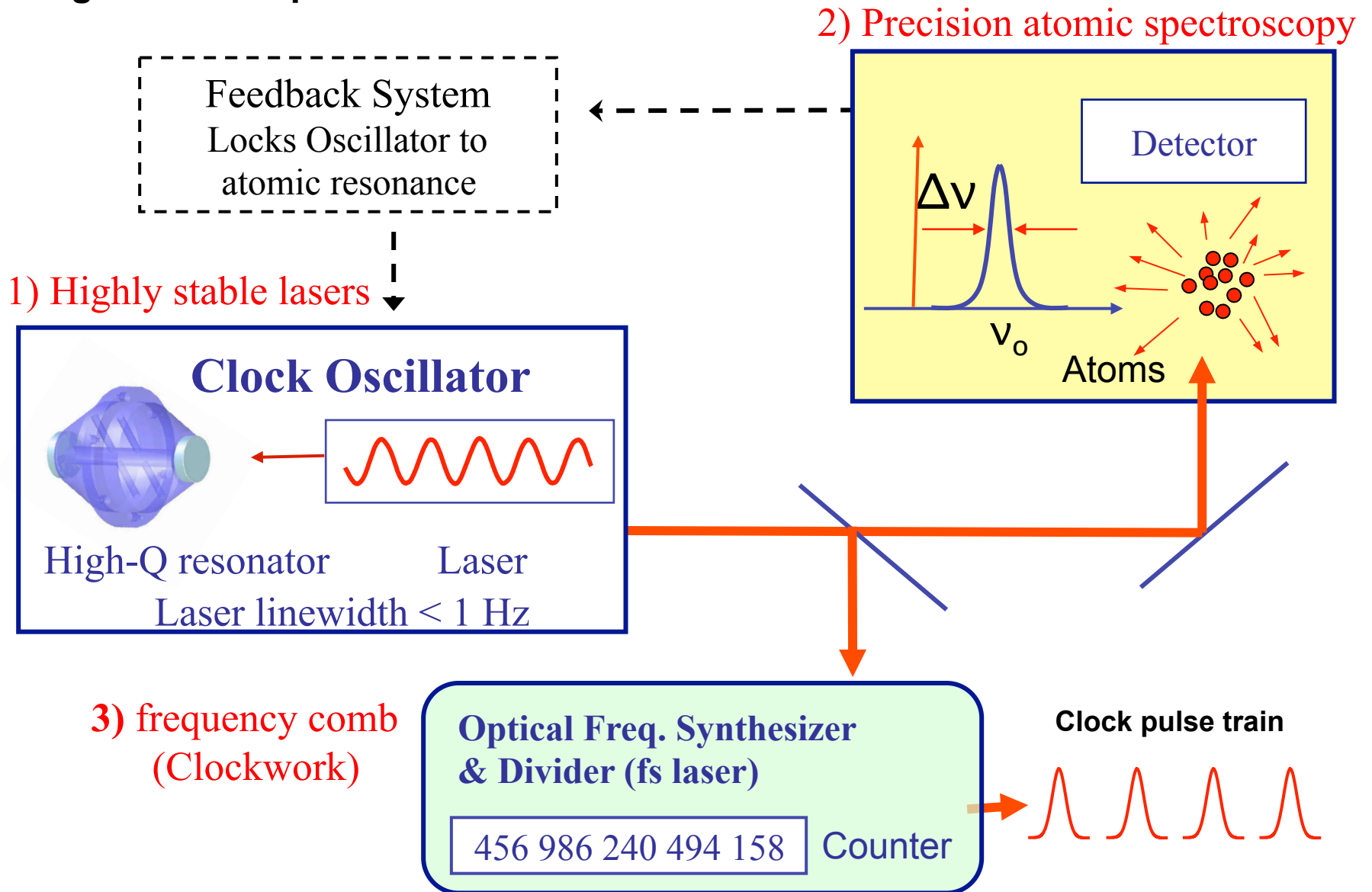


# femtosecond frequency combs



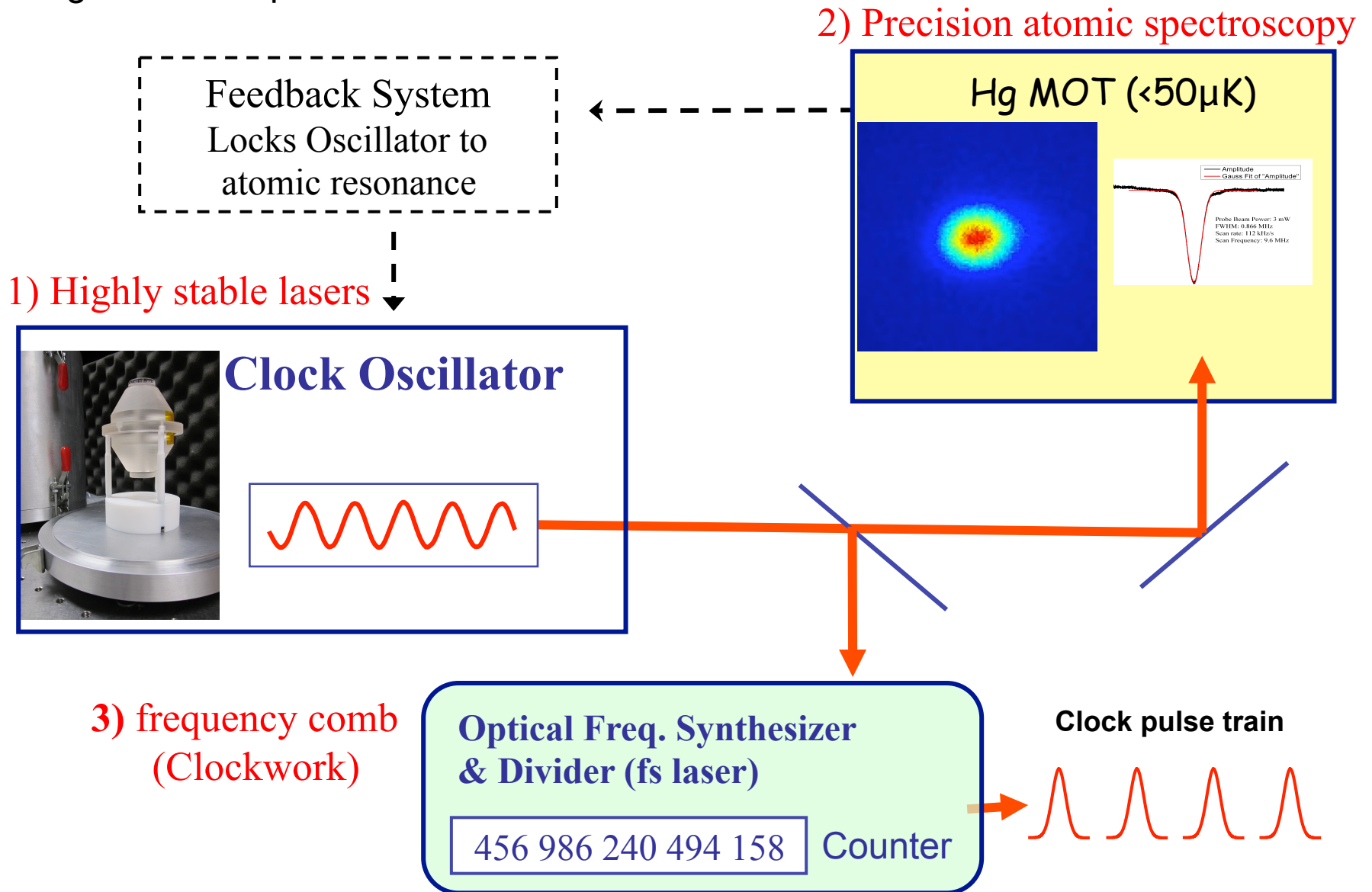
# Applications of frequency combs

## Next generation optical atomic clocks

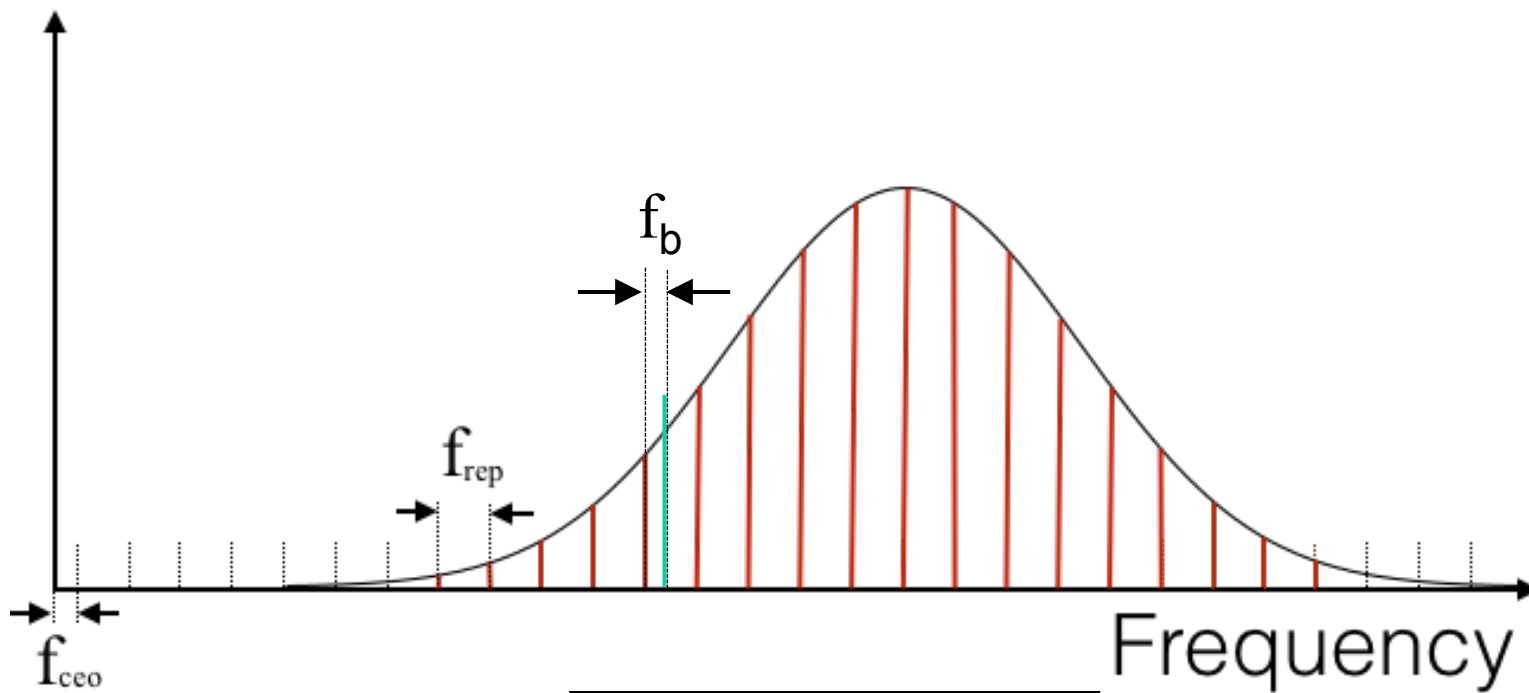


# Applications of frequency combs

Next generation optical atomic clocks

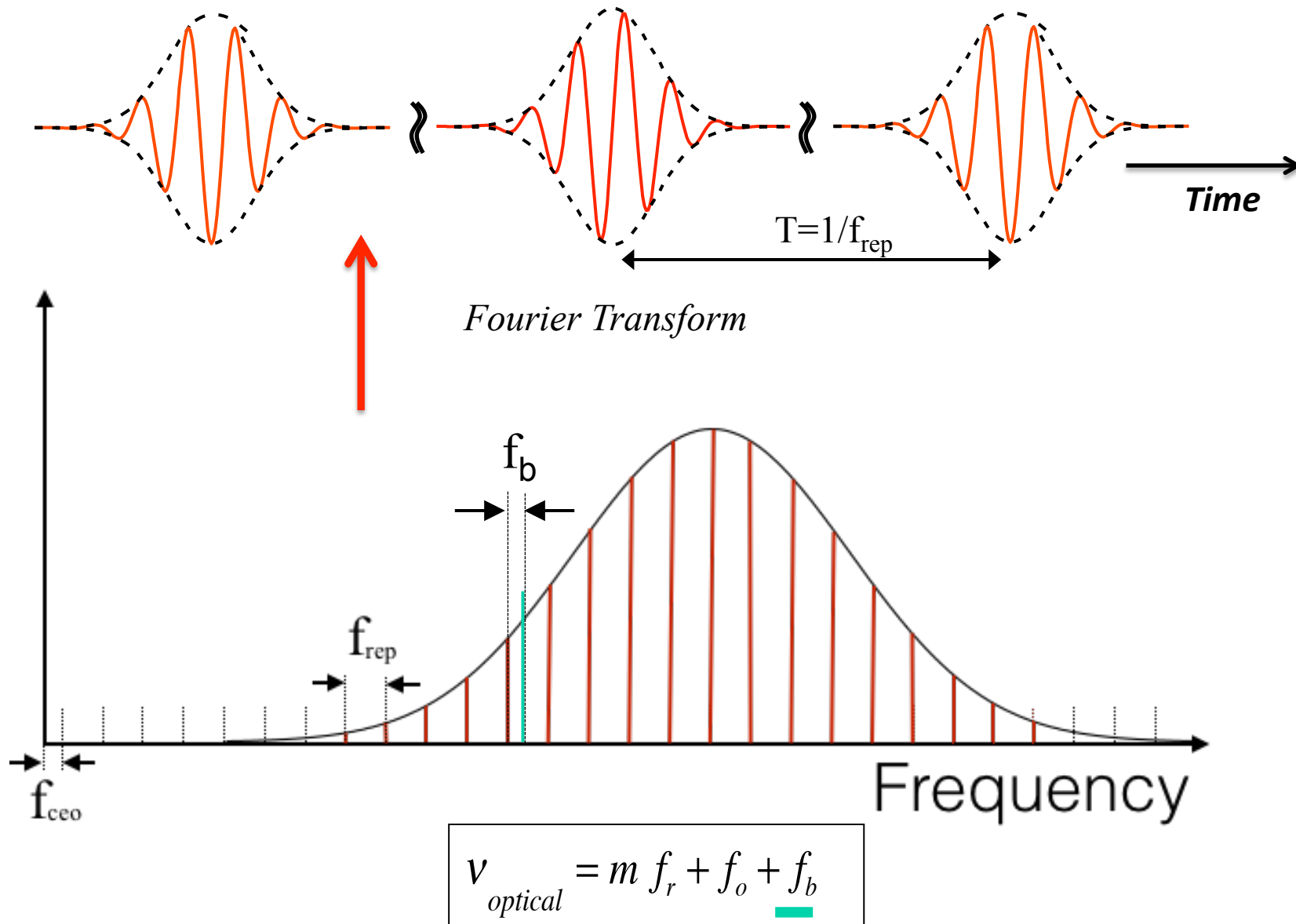


# Time domain implications of the fs comb



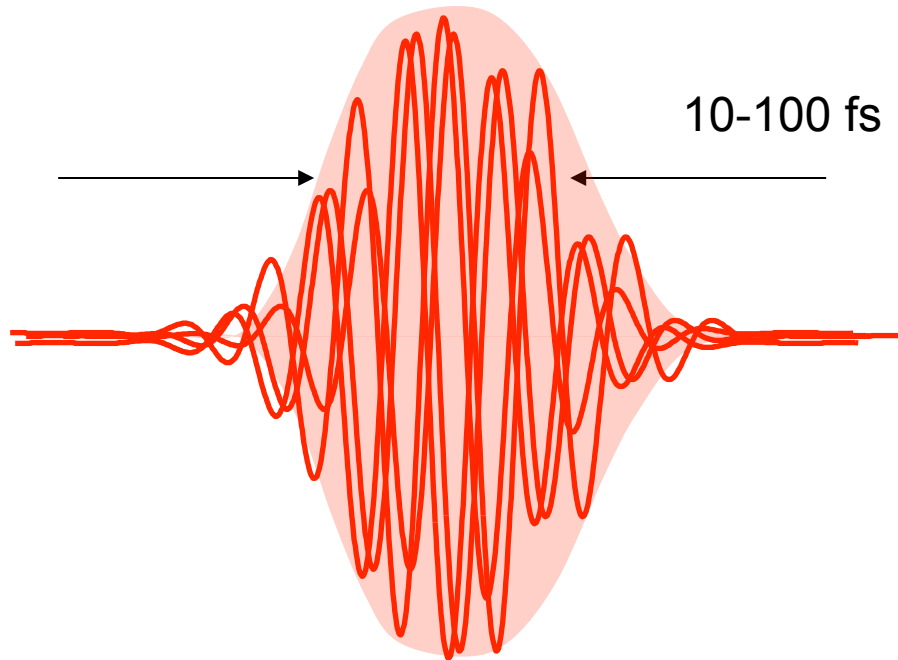
$$\nu_{optical} = m f_r + f_o + \underline{f_b}$$

# Time domain implications of the fs comb



# Attosecond time dynamics

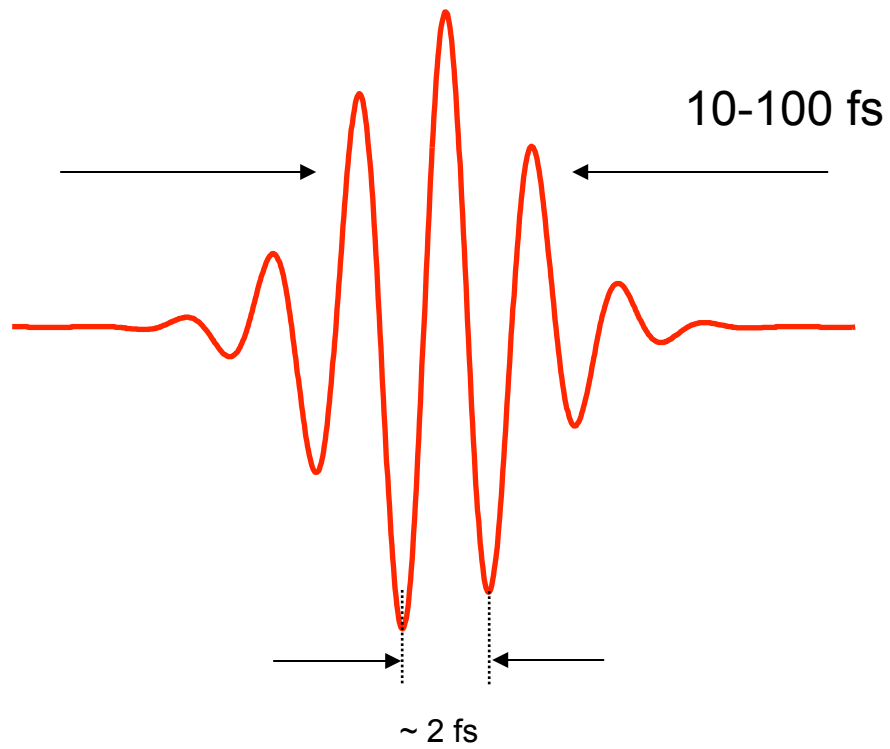
Implications for the time domain....





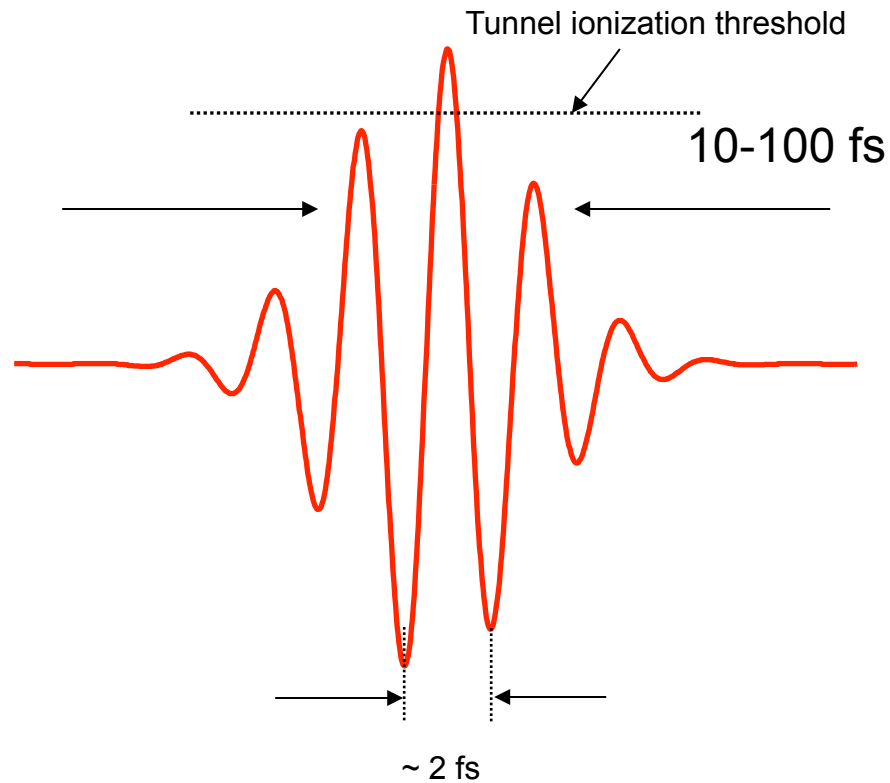
# Attosecond time dynamics

Implications for the time domain....



# Attosecond time dynamics

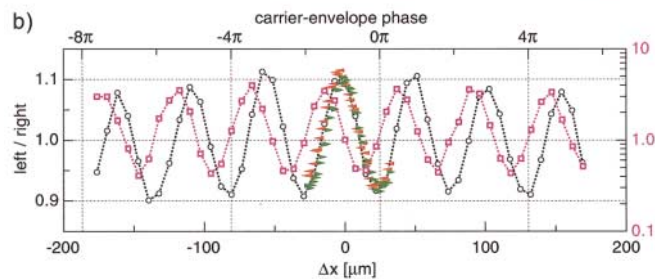
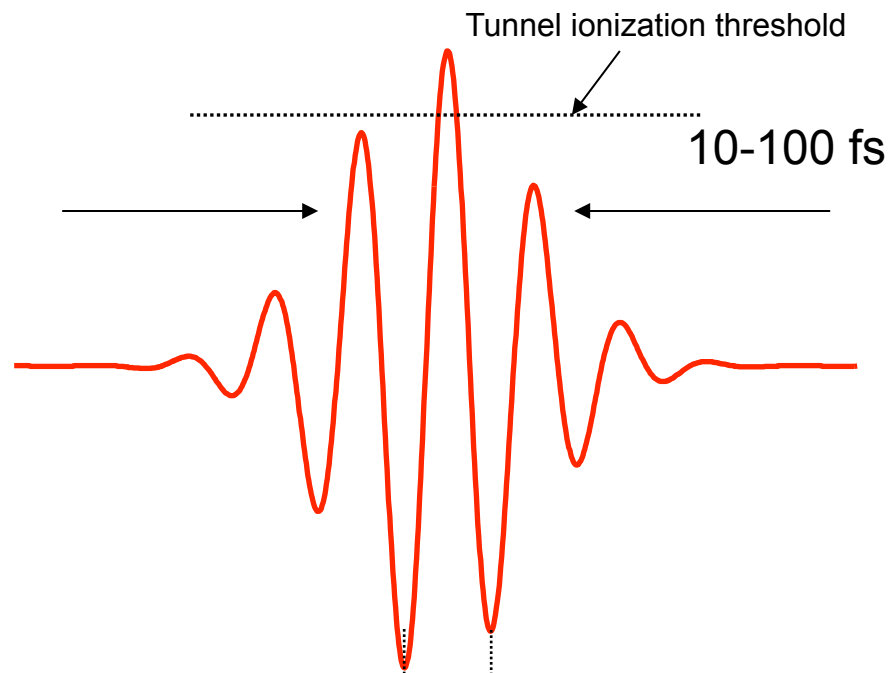
Implications for the time domain....



- Access controlled, high electric field strengths
- Femtosecond pulse synchronization
- Sub-cycle control of ionization dynamics
  - "Absolute" phase detection
  - Auger pump/probe spectroscopy
- Attosecond bursts of XUV light

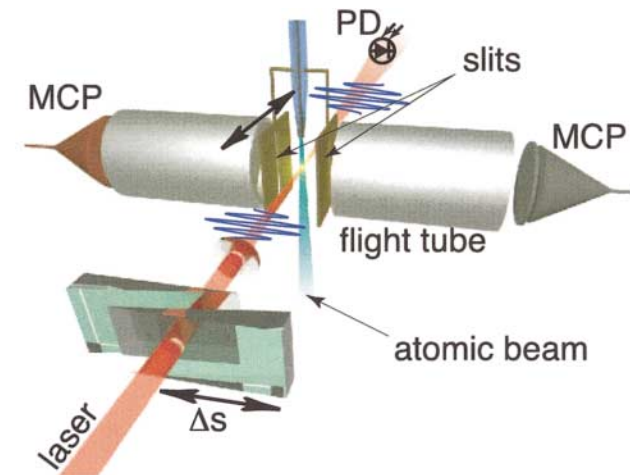
# Attosecond time dynamics

Implications for the time domain....

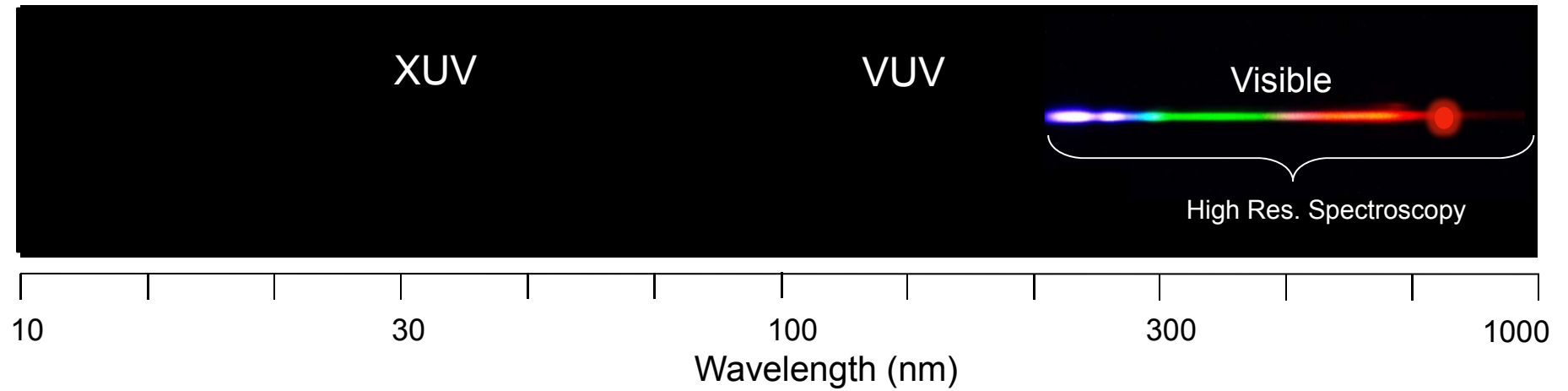


•e.g. G.G. Paulus et al., PRL 91, 253004 (2003).

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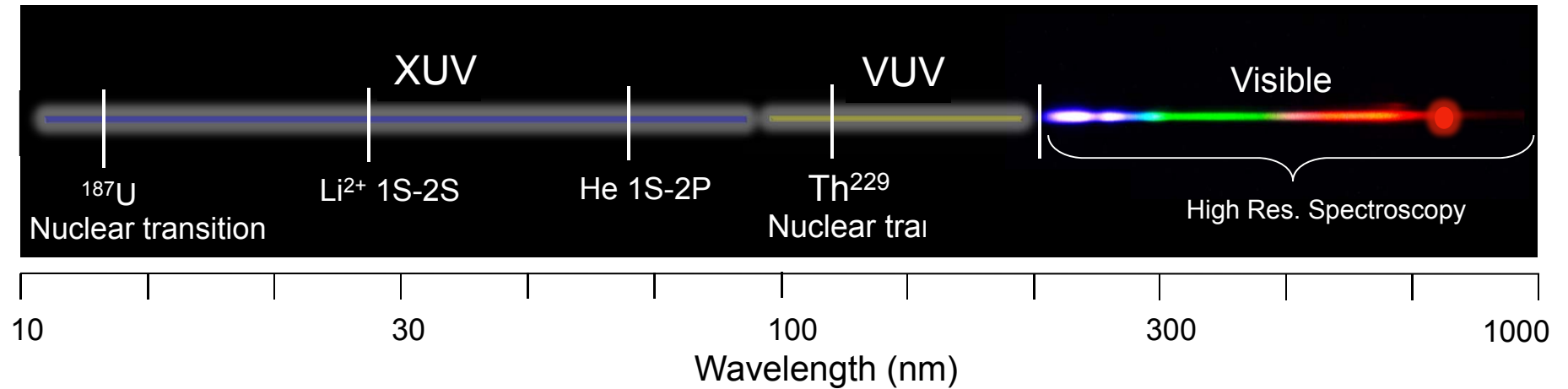


# Extension to the VUV and XUV



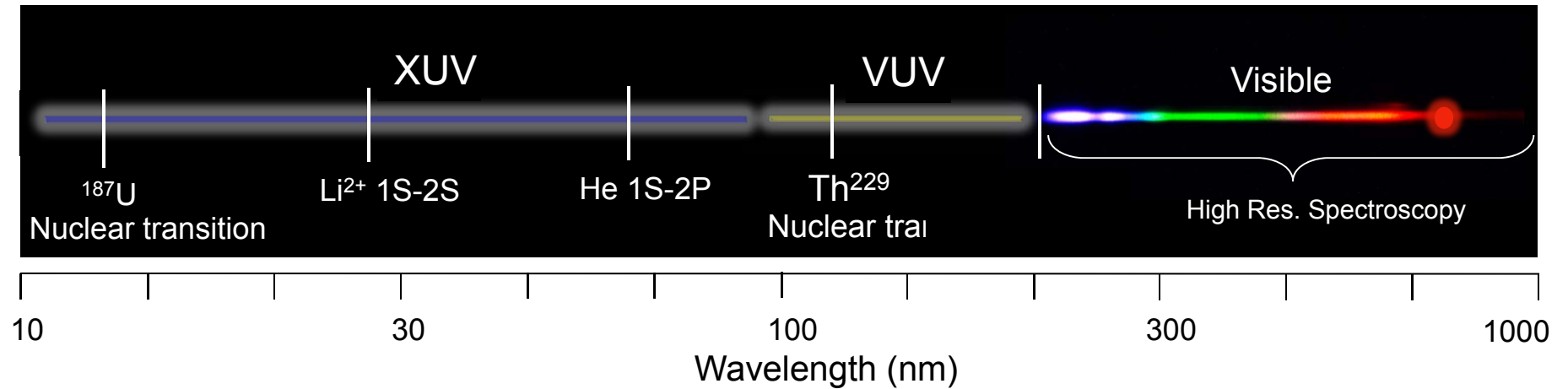
Extending the fs frequency comb to the XUV

# Extension to the VUV and XUV



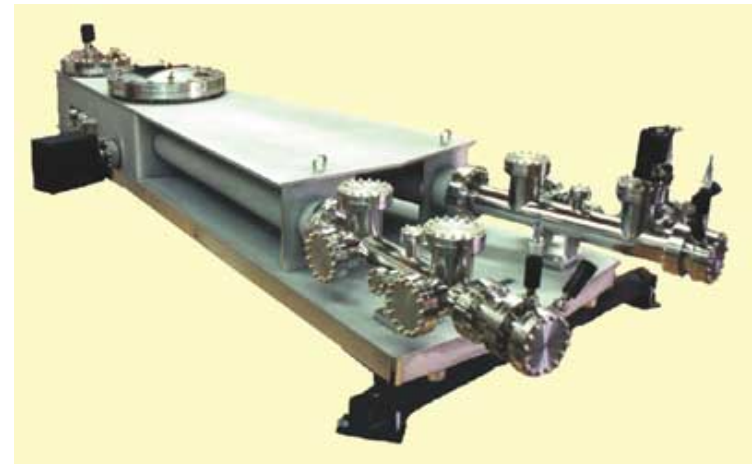
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# Extension to the VUV and XUV

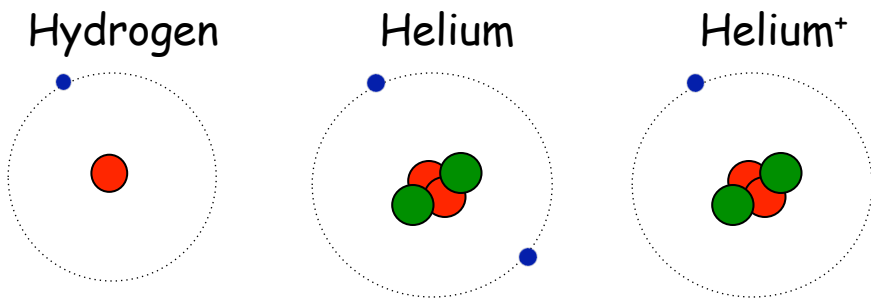
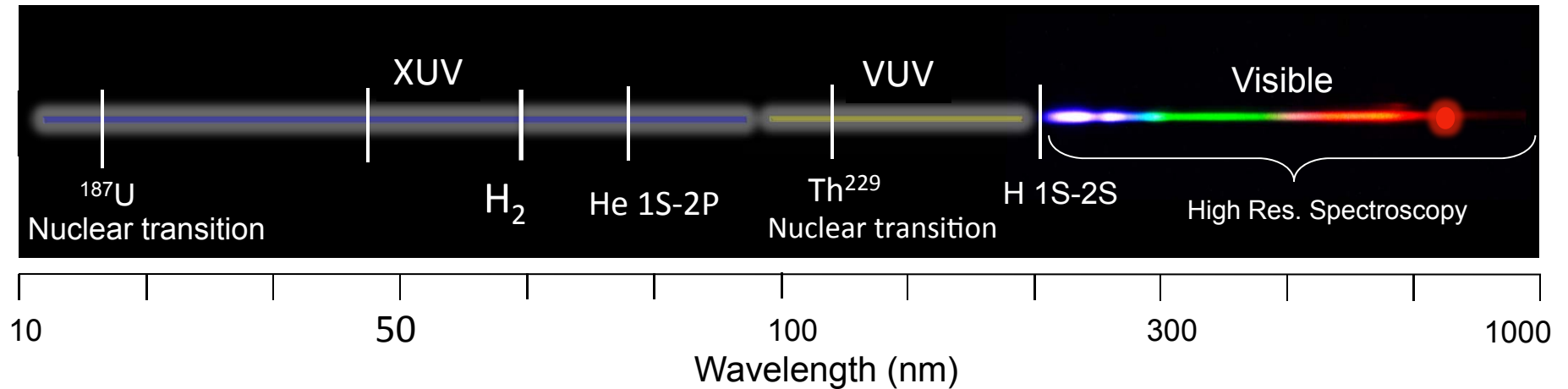


VUV spectroscopy still dominated by dispersive spectrometers

- Spectral resolution  $10^{-7}$



# Extension to the VUV and XUV

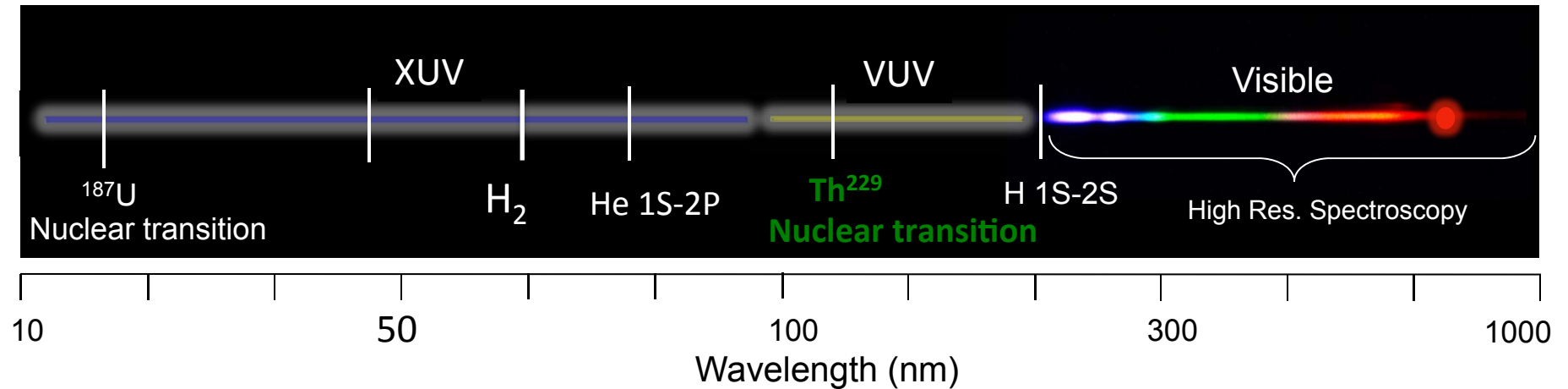


- **Atomic/molecular spectroscopy**

(e.g. H, He, He<sup>+</sup> H<sub>2</sub>, H<sub>2</sub><sup>+</sup> O<sub>2</sub>, NH<sub>3</sub>, H<sub>2</sub>O...)

- precision tests of fundamental constants ( $\alpha$ ,  $m_e/m_p$ ) and QED
- Molecular spectroscopy of astrophysical importance.
- Direct measurement of Rydberg transitions.
  - H<sub>2</sub> dissociation energy

# Extension to the VUV and XUV



## • Nuclear Spectroscopy?

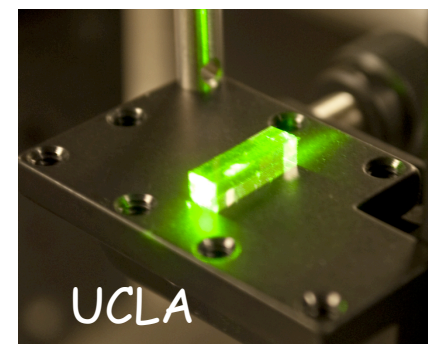
- Isomeric M1 transition in Th-229 (~160 nm)
- Thorium doped LiCAF crystals
- A solid-state nuclear frequency standard?

Peik et al, *Europhys Lett.* 61, 181 (2003)

Beck et al, *PRL* 98, 142501 (2007)

Rellergert et al, *PRL* 104, 200802(2010)

Campbell, et al, *PRL* 106, 226001 (2011)





## Extension to the VUV and XUV

### Ultrafast science

MHz repetition rates → higher photon flux

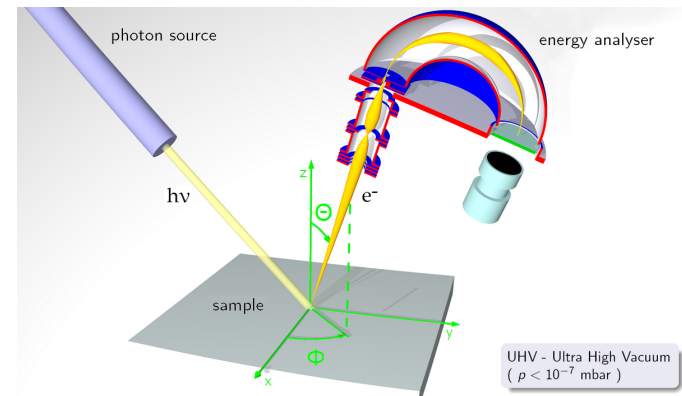
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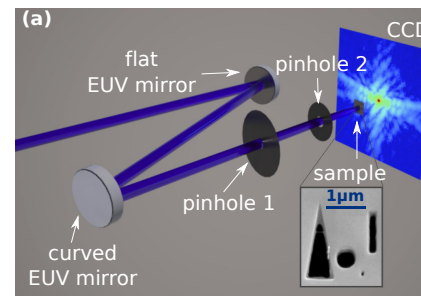
- **Photoemission spectroscopy of condensed matter**

→ Signal limited by space charge effects per pulse



- **Coherent diffractive imaging**

→ High flux short wavelength source



Zhang et. al., Opt. Express, 21, 2197 (2013)

# High Harmonic Generation

- “Robust” approach: harmonics generated into “soft” x-ray regime

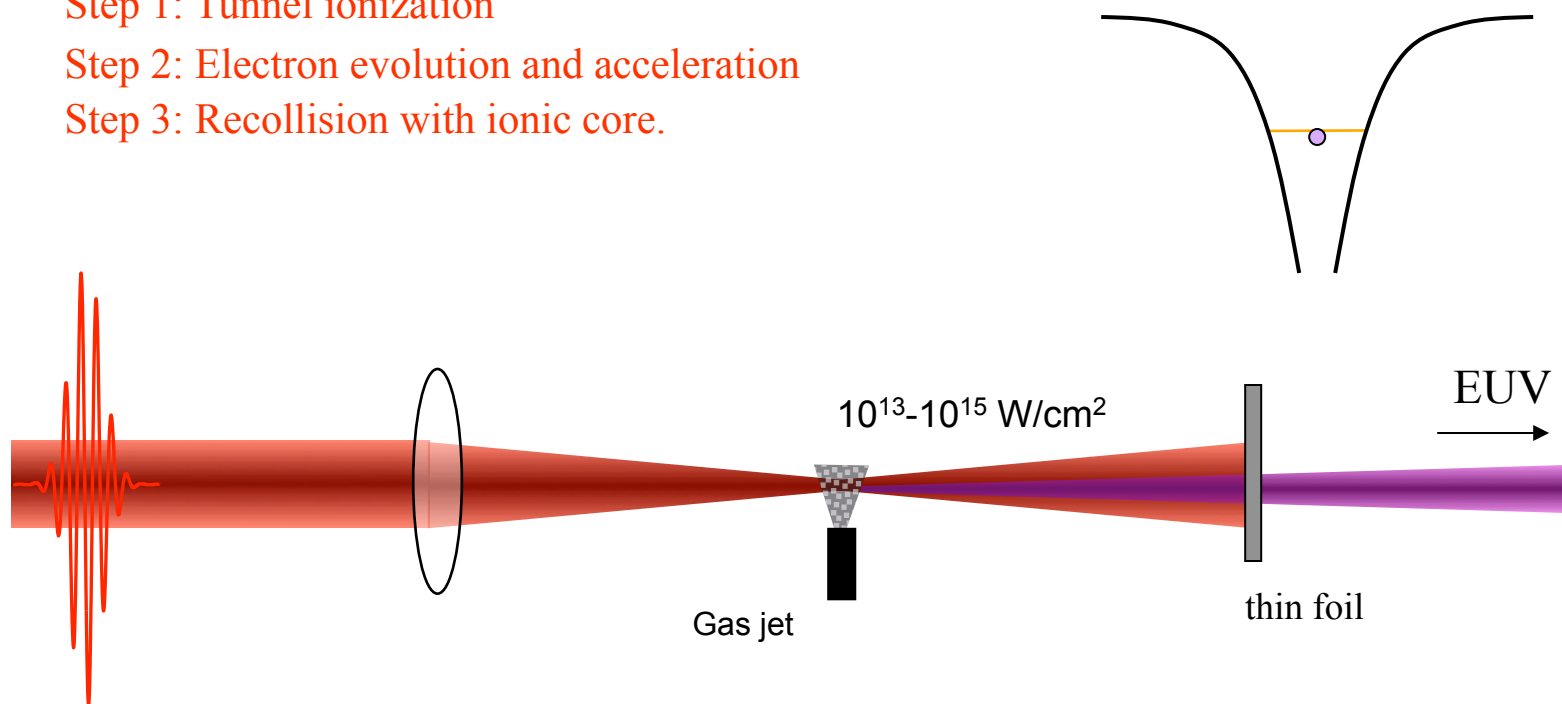
# High Harmonic Generation

- “Robust” approach: harmonics generated into “soft” x-ray regime
- Main features explained by simple “three-step” model

Step 1: Tunnel ionization

Step 2: Electron evolution and acceleration

Step 3: Recollision with ionic core.



Single amplified pulse

P.B. Corkum, PRL 49, 2117 (1994)

M. Lewenstein et. al., PRA 49, 2117 (1994)

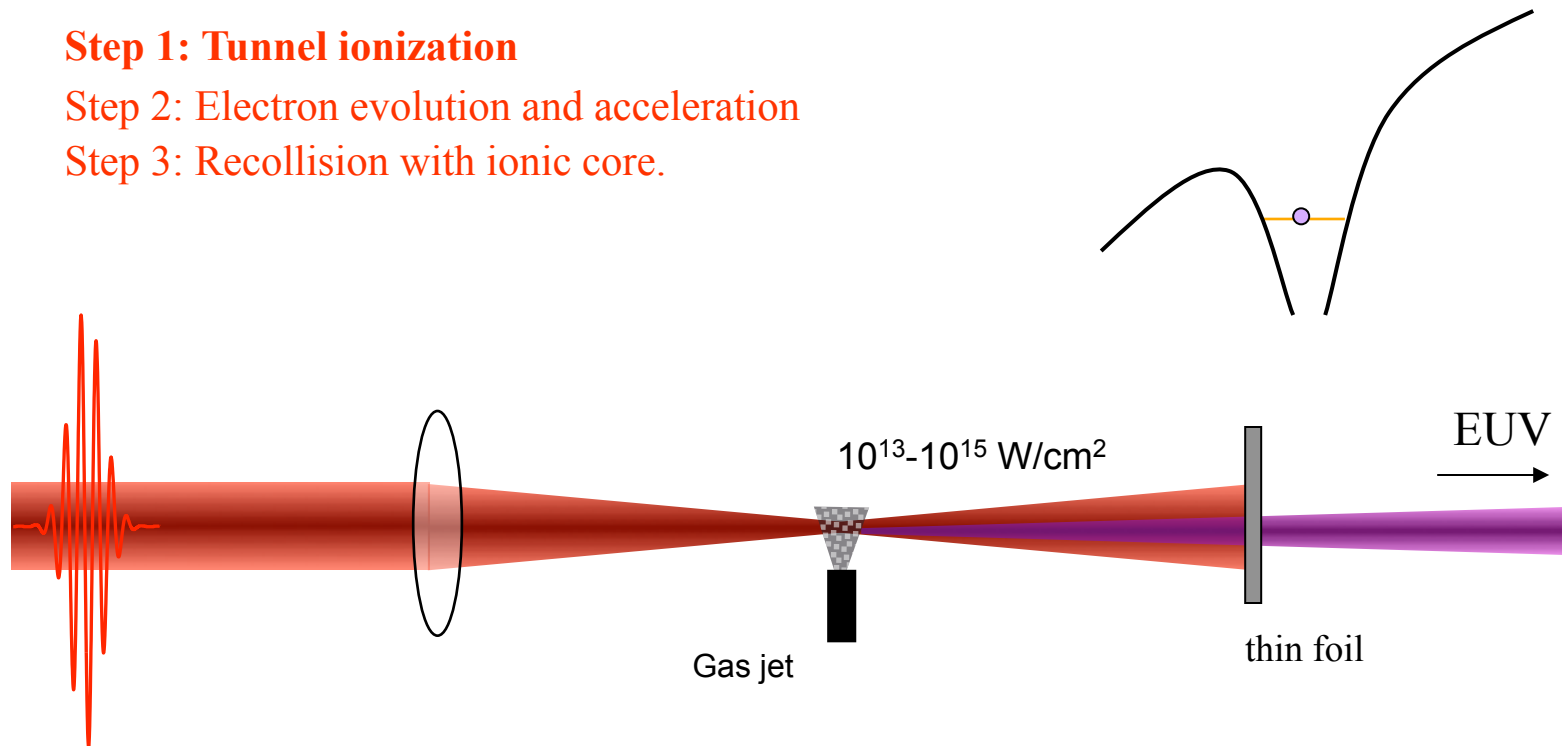
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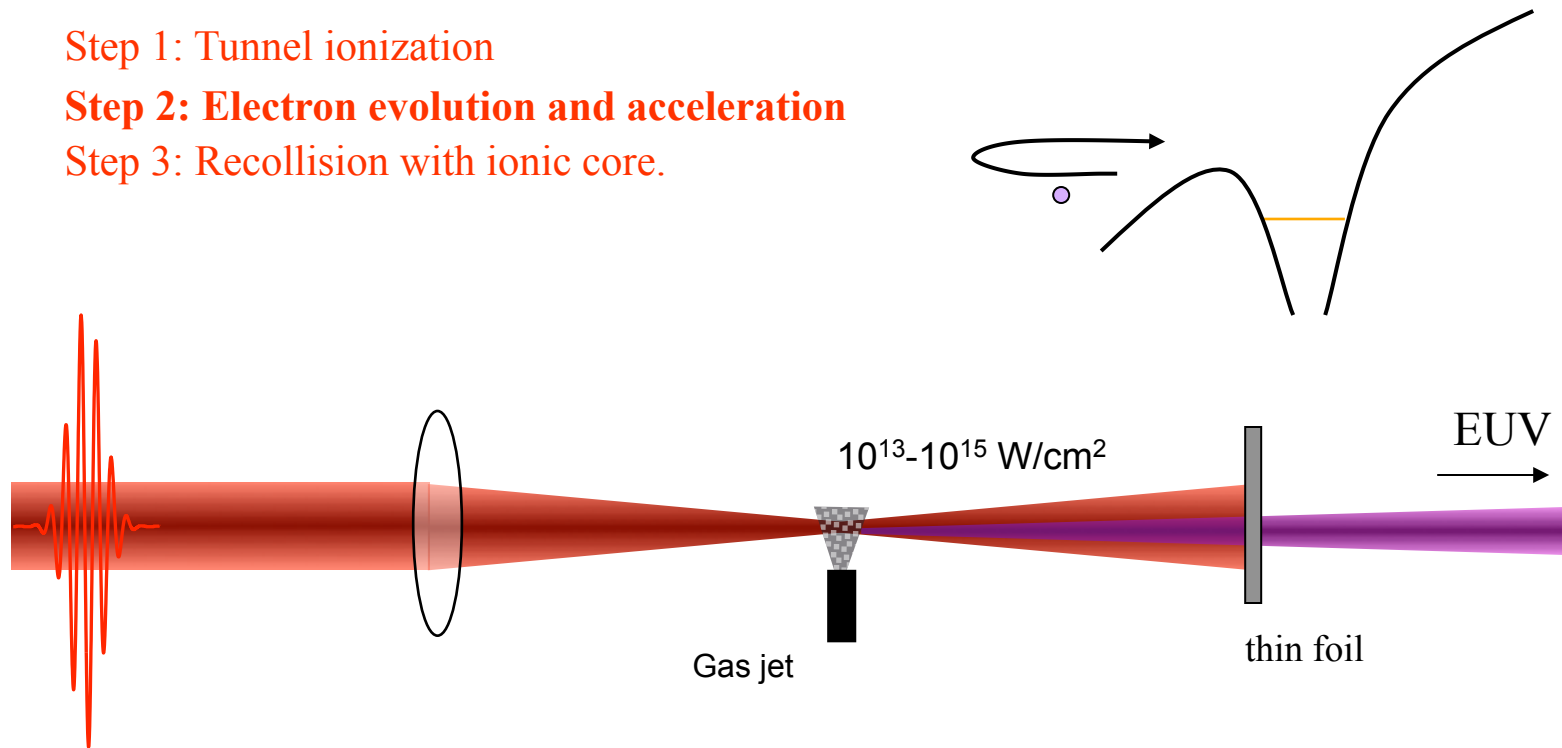
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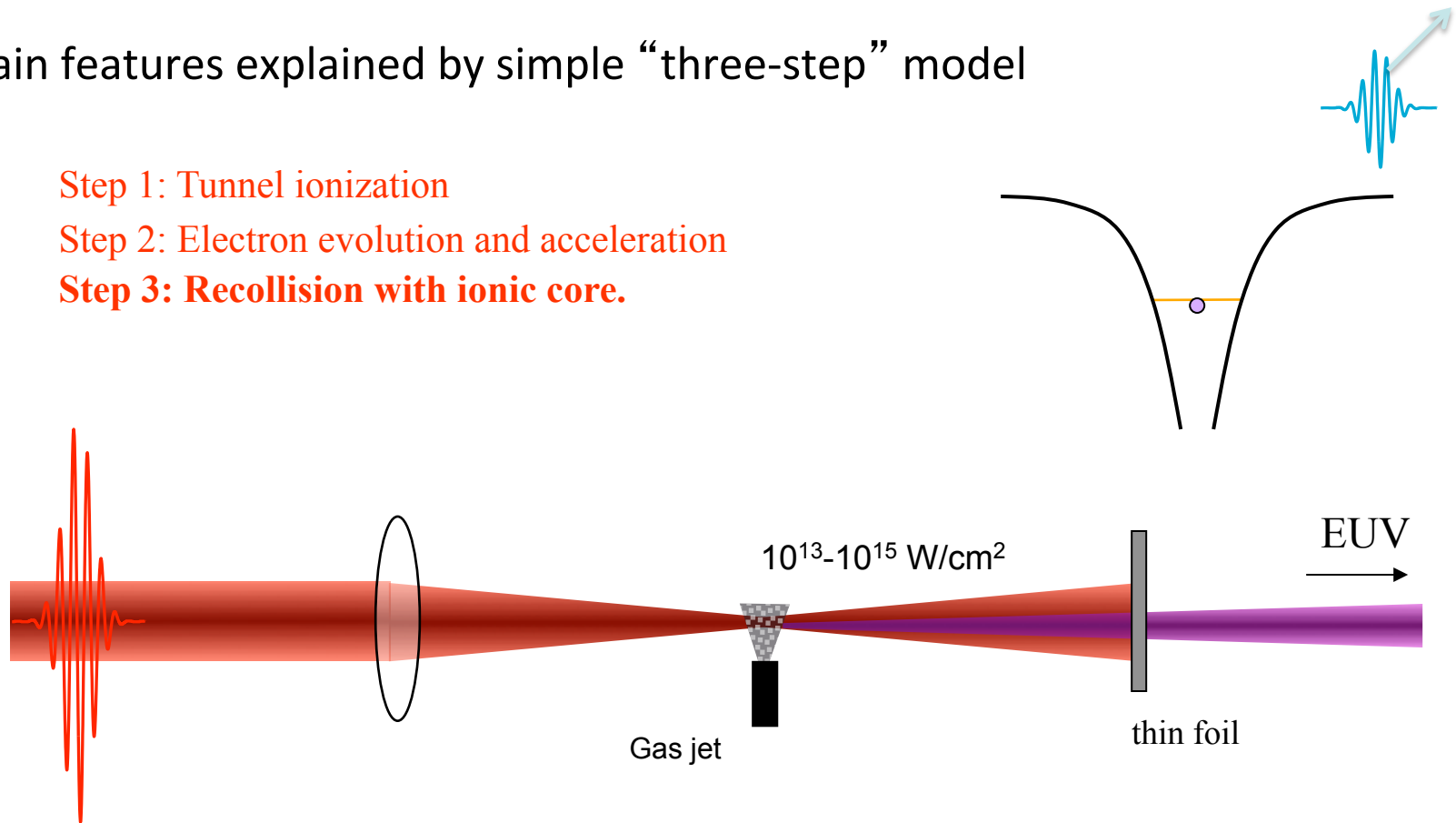
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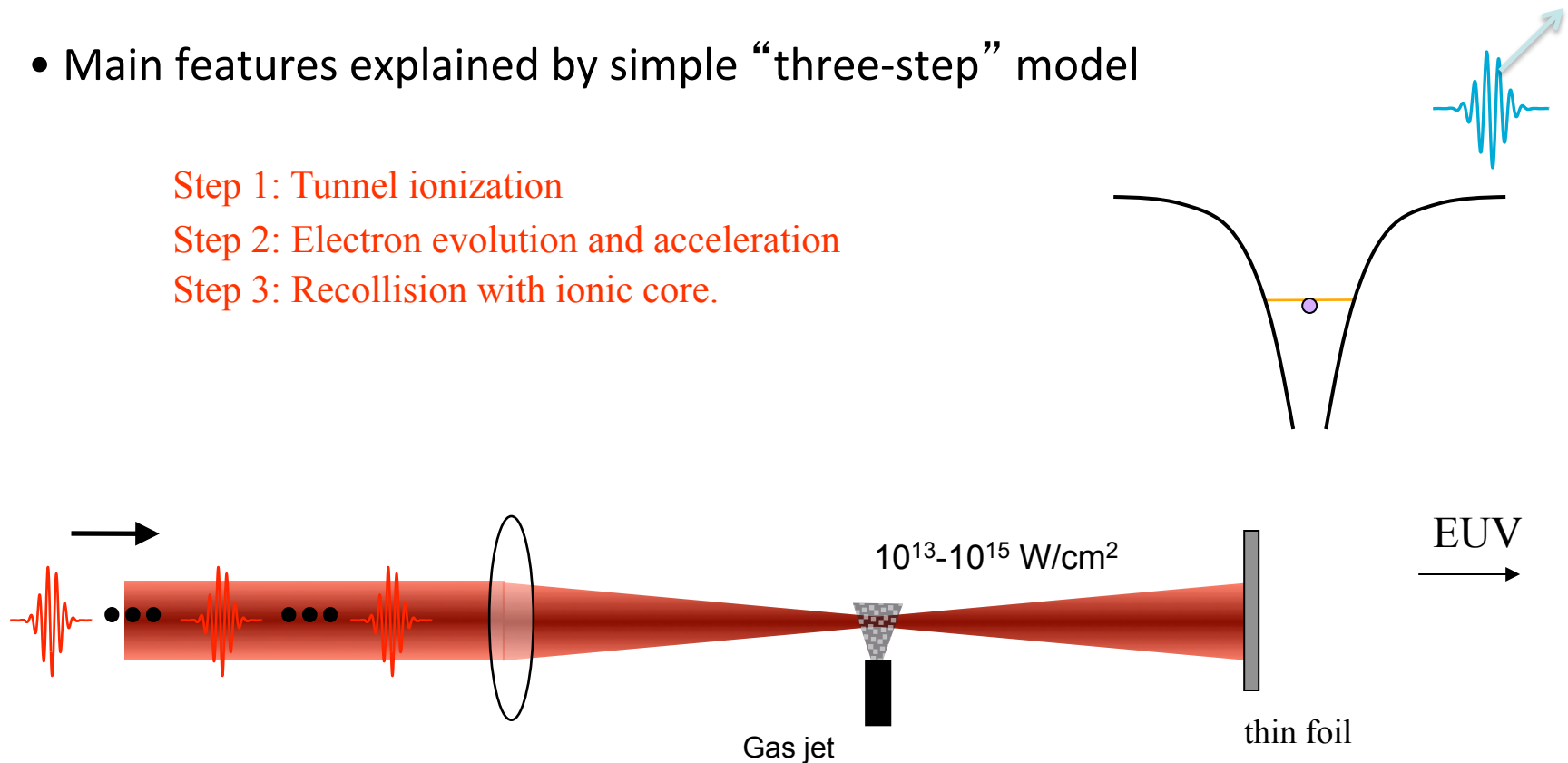
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**fs pulse train → low energy per pulse**

P.B. Corkum, PRL 49, 2117 (1994)

M. Lewenstein et. al., PRA 49, 2117 (1994)



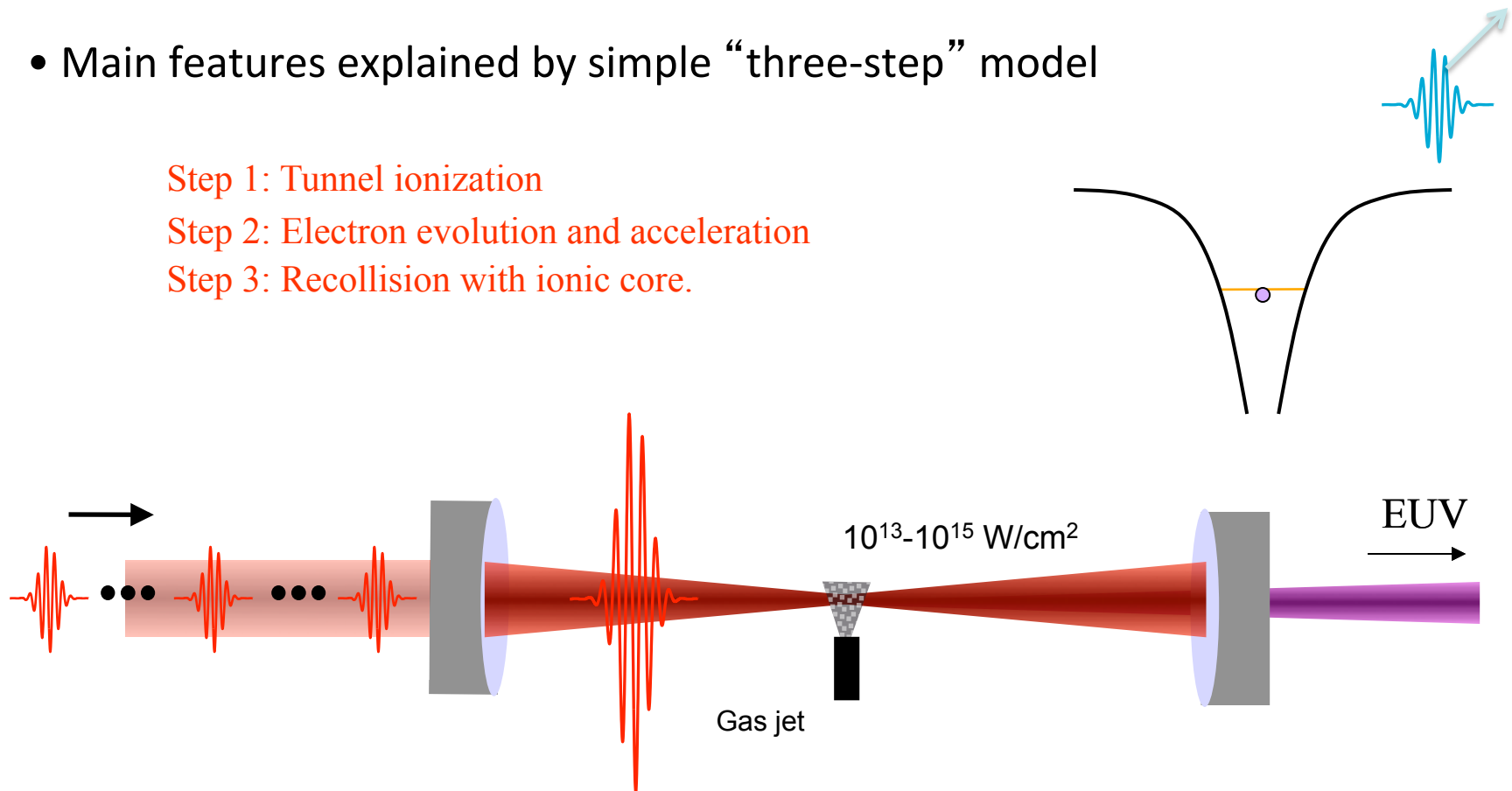
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**Use optical cavity to enhance power**

P.B. Corkum, PRL 49, 2117 (1994)

M. Lewenstein et. al., PRA 49, 2117 (1994)

Thanks for your attention!



College of Optical Sciences

THE UNIVERSITY OF ARIZONA

