# Surface Code and Quantum Error Correction

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This study discusses the critical significance of surface codes in quantum error correction, a key technique in the development of fault-tolerant quantum computers. Surface codes are appealing due to their high error threshold and feasibility with near-term quantum hardware. We discuss the theoretical framework, recent technological advancements, ongoing challenges, and the prospective future of surface code implementations in quantum computing architectures.

### INTRODUCTION

Quantum computing has the ability to perform tasks beyond the capabilities of classical computers, such as factoring big numbers, mimicking quantum physical processes, and solving complex optimization problems. Utilizing principles such as Shor's and Grover's algorithms, quantum computers can potentially revolutionize fields that rely on complex computation.

Quantum Computers and Quantum Errors Quantum systems, including ions, semiconductor spins, and superconducting circuits, are explored for their computational potential. However, these physical systems do not inherently perform well enough to function directly as computational qubits due to quantum decoherence and operational errors. It has been shown that enhanced performance and error resilience can be achieved by structuring logical qubits from multiple physical qubits. Surface codes, a stabilizer code derived from Kitaev's toric codes [1], exemplify this by using a two-dimensional array of qubits to correct errors robustly. These codes benefit from their high tolerance to local errors, a property that makes them particularly appealing for constructing reliable quantum computers.

Surface Codes Surface codes are built on the concept of stabilizers, which are used to manage quantum information across a two-dimensional qubit array [2]. Logical qubits are formed within these arrays, capable of tolerating faults and maintaining the integrity of quantum information. Surface codes are advantageous due to their relatively simple implementation and high error tolerance, which is critically important for practical quantum computing. The evolution from toric to surface codes marks a simplification in their design and an enhancement in their applicability, enabling more straightforward implementations in solid-state quantum systems.

Error Tolerance and Implementation One of the key attributes of surface codes is their robustness against error rates as high as 1% [[3],[4], [5]]. This is significantly less stringent compared to other quantum computing approaches, which may require error rates lower by orders of magnitude.



FIG. 1: Figure 1 illustrates the average life expectancy of a surface codes utilizing syndrome extraction circuits.[5]

### THEORY OF SURFACE CODES

Surface codes belongs to a class of quantum errorcorrecting codes that utilize a two-dimensional lattice of qubits to protect quantum information against errors. These are a subset of topological quantum error correction codes, which means they use the topological properties of a system to encode quantum information robustly.

Commonly derived from electron spins, qubits are manipulated through a well-defined algebra of Pauli operators and their derivatives, notably X, Y, and Z for quantum computing applications. By entangling physical qubits across a two-dimensional array and applying sequential CNOT operations followed by precise qubit measurements, the surface code enhances the fidelity of logical qubits substantially beyond that of individual physical qubits.

The surface code supports a complete suite of quantum operations, including single-qubit gates like Hadamard and T, and multi-qubit gates such as the CNOT, essential for executing complex quantum algorithms. This framework not only addresses fundamental quantum error correction but also facilitates the implementation of quantum algorithms through enhanced logical qubit manipulation.

### Structural overview and operational principles

In surface codes, qubits are arranged on a twodimensional grid. Each qubit is associated with either a data or syndrome role:

- Data Qubits: These qubits hold the quantum information.
- Syndrome Qubits: These are used to measure the error syndromes without disturbing the data qubits.

The connections between qubits in this grid are utilized to create entanglements that facilitate the detection and correction of quantum errors. All data and measurement qubits must meet basic quantum processing criteria, including initialization, single-qubit rotations, and a twoqubit controlled-NOT (CNOT) between nearest neighbors. Additionally, the data qubits and measurement qubits need to be able to swap quantum states (a SWAP operation) in order to carry out a topological version of the Hadamard gate. A method for measuring Pauli Z for each data qubit is also needed. The operation of surface codes is based on the creation of stabilizers which are operators used to measure the error syndromes. These stabilizers are products of Pauli matrices applied to specific sets of qubits that surround each plaquette (face) and each vertex of the lattice:

- X-stabilizers: Applied around vertices using Pauli  $X(\sigma_x)$  operators.
- Z-stabilizers: Applied around plaquettes using Pauli  $Z(\sigma_z)$  operators.

Measurements of these stabilizers do not collapse the encoded quantum state but rather reveal whether an error has occurred.

#### **Error Correction Mechanism**

Surface codes correct errors by utilizing the syndrome measurements to infer the presence and location of errors. The fundamental premise is that while an error may occur on any qubit, it only becomes problematic if it forms a logical operator that flips the encoded state. By using algorithms to interpret the syndromes and apply corrections based on the likely paths of errors, the surface code can effectively recover the intended quantum state.

Syndrome Measurements The syndrome measurement is the first step in the error correction process. In surface codes, this involves measuring the eigenvalues of the stabilizers (X and Z stabilizers mentioned earlier). An even parity in the measurement (no error) will return a product of +1, and an odd parity (an error) will return -1. The pattern of these measurements is used to



FIG. 2: (a) (a) The surface code implemented as a two-dimensional array. Z syndrome qubits are dark green, whereas X syndrome qubits are pale orange. Data qubits are open circles, while measurement qubits are filled circles. The array border is shown by the solid line encircling the array.(b) Geometric and quantum circuit for one surface code cycle for measuring Z syndrome qubit, which stabilizes  $Z_a Z_b Z_c Z d$ . (c) Geometry and quantum circuit to measure X syndrome qubit, which stabilizes  $X_a X_b X_c X d$ . [3]

detect and locate errors. These results collectively form a syndrome pattern that is analyzed to pinpoint errors.

*Decoding Algorithms* Once the syndrome has been measured, the next challenge is to decode this information to infer the errors on the lattice. The decoding process typically involves algorithms such as:

Minimum Weight Perfect Matching (MWPM) : This algorithm treats the problem of finding errors as a graphtheoretical problem, where each syndrome that indicates an error is a node, and possible connections between these nodes (representing potential error chains) are edges with weights corresponding to the likelihood of error chains. MWPM finds the set of edges that pairs up the syndrome nodes while minimizing the total weight, which corresponds to the most likely set of errors [3].

*Feedback and Correction* Based on the output of the decoding algorithm, correction operations are applied to the data qubits. These corrections are designed to reverse the errors detected without needing to know the actual state of the quantum information being protected. This step is crucial for maintaining the coherence and fidelity of the quantum state stored within the surface code.

Challenges in Decoding Decoding in surface codes is computationally intensive, especially as the size of the qubit lattice increases. The accuracy of the decoding process also significantly impacts the overall error correction capability of the system. Advanced decoding algorithms that can operate in real-time and handle large lattice sizes with high error rates are an active area of research.

### Mathematical Foundation

The theoretical basis of surface codes can be understood through stabilizer formalism and homological algebra.

Stabilizer Formalism In quantum error correction, the stabilizer formalism uses a set of commuting Pauli operators (from the Pauli matrices  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$ ) to define the code space, a subspace of the total Hilbert space where all codewords (quantum states) live. A stabilizer code can be described by the stabilizer group S, which is generated by these operators. For a quantum code encoding k logical qubits into n physical qubits with distance d, the stabilizer group is represented as:

$$\mathcal{S} = \langle g_1, g_2, \dots, g_{n-k} \rangle$$

, where each  $g_i$  is a Pauli operator acting on the qubits which leaves the qubit state invariant under operation i.e. the code space is the simultaneous +1 eigenspace of these operators.

Homological Algebra Homological algebra provides tools to study surface codes' properties through the topology lens. The key concepts involves chain complexes (special operators which are used to define the relationship between qubits and their error syndromes), cycles (a closed loop of qubits), and boundaries [2].

This mathematical framework supports the implementation of surface codes and provides a robust foundation for analyzing their performance and limitations in quantum error correction scenarios.

### RECENT ADVANCES

Advancements in surface code research have largely focused on optimizing their implementation and improving their integration with quantum hardware. Notable developments include:

• Lattice Surgery: A method that allows for the direct manipulation of logical qubits without disrupting the underlying physical qubits, enhancing the efficiency of quantum computations [6]. • Hybrid Codes: Combining features of both surface codes and other error-correcting codes to exploit their respective advantages and mitigate their weaknesses which is already gaining attention in case of continuous variable based quantum repeater architecture [7][8].

### CHALLENGES AND FUTURE DIRECTIONS

Despite substantial progress, several challenges hinder the widespread adoption of surface codes:

- Qubit Overhead: Implementing surface codes requires a large number of physical qubits to encode a single logical qubit, making the demands on quantum hardware substantially higher.
- Gate Fidelity: The precision of quantum gates needs to be improved to match the thresholds required for effective surface code operation.
- Decoding Algorithms: Current algorithms for decoding the error syndromes in surface codes need to be faster and more efficient to handle the error rates expected in larger quantum systems.

## CONCLUSION

Surface codes represent a promising avenue for achieving fault-tolerant quantum computing. Continued innovation in quantum materials, circuit design, and algorithm development will be crucial to harnessing the full potential of surface codes. Future research should also explore the application of machine learning to optimize code parameters dynamically and improve decoding processes.

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