

PhD Qualifying Exam, August 2024

Opti 501, Day 1

System of units: SI (or MKSA)

The electric field of a homogeneous plane-wave propagating in free space is given by $\mathbf{E}(\mathbf{r}, t) = \mathbf{E}_0 \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$. Here, $\mathbf{E}_0 = \mathbf{E}'_0 + i\mathbf{E}''_0$, where \mathbf{E}'_0 and \mathbf{E}''_0 are real-valued but otherwise arbitrary vectors, and the k -vector and the frequency ω are real-valued.

- a) What is the dispersion relation in free space, and what does it have to say about the vector \mathbf{k} ?
- b) Invoke Maxwell's equation $\nabla \cdot \mathbf{D}(\mathbf{r}, t) = \rho_{\text{free}}(\mathbf{r}, t)$ to show that $\mathbf{k} \cdot \mathbf{E}'_0 = \mathbf{k} \cdot \mathbf{E}''_0 = 0$. (Recall that the plane-wave is in empty space, where no sources of the electromagnetic field reside.)
- c) Invoke Maxwell's equation $\nabla \times \mathbf{E}(\mathbf{r}, t) = -\partial \mathbf{B}(\mathbf{r}, t) / \partial t$ to find the magnetic H -field of the plane-wave.
- d) Use the formula $\langle \mathbf{S}(\mathbf{r}, t) \rangle = \frac{1}{2} \text{Re}(\mathbf{E} \times \mathbf{H}^*)$ to evaluate the time-averaged Poynting vector. Explain (in words) the meaning and the significance of this time-averaged Poynting vector, specifically, its units and its relation to the electromagnetic energy of the plane-wave.
- e) Write complete expressions for the real parts of the \mathbf{E} and \mathbf{H} fields. Proceed to write the complete expression for the (real-valued) Poynting vector $\mathbf{S}(\mathbf{r}, t) = \mathbf{E}(\mathbf{r}, t) \times \mathbf{H}(\mathbf{r}, t)$.
- f) Verify that the time-averaged value of $\mathbf{S}(\mathbf{r}, t)$ obtained in (e) agrees with the result of part (d).

Hint: $\mathbf{a} \times (\mathbf{b} \times \mathbf{c}) = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{a} \cdot \mathbf{b})\mathbf{c};$ $\cos^2 \varphi = \frac{1}{2}[1 + \cos(2\varphi)];$
 $\sin^2 \varphi = \frac{1}{2}[1 - \cos(2\varphi)];$ $\sin(2\varphi) = 2 \sin \varphi \cos \varphi.$

Solution to Problem 1) a) The dispersion relation, $k^2 = \mathbf{k} \cdot \mathbf{k} = (\omega/c)^2$, specifies the magnitude of the k -vector. In the present case, \mathbf{k} is a real-valued vector having length ω/c and arbitrary orientation in three-dimensional xyz space. Introducing the unit-vector $\hat{\mathbf{k}} = \mathbf{k}/k$, one writes $\mathbf{k} = (\omega/c)\hat{\mathbf{k}}$.

b) In free space, where $\rho_{\text{free}}(\mathbf{r}, t) = 0$ and $\mathbf{D}(\mathbf{r}, t) = \epsilon_0 \mathbf{E}(\mathbf{r}, t)$, Maxwell's first equation can be written as $\nabla \cdot \mathbf{E}(\mathbf{r}, t) = 0$. Applied to the plane-wave under consideration, this equation yields

$$i\mathbf{k} \cdot \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} = 0 \rightarrow \mathbf{k} \cdot (\mathbf{E}'_0 + i\mathbf{E}''_0) = 0 + i0 \rightarrow \mathbf{k} \cdot \mathbf{E}'_0 = \mathbf{k} \cdot \mathbf{E}''_0 = 0.$$

c) Maxwell's third equation, $\nabla \times \mathbf{E}(\mathbf{r}, t) = -\partial \mathbf{B}(\mathbf{r}, t)/\partial t$, applied to the plane-wave under consideration, yields $i\mathbf{k} \times \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} = i\omega\mu_0 \mathbf{H}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$. Solving for \mathbf{H}_0 , we find $\mathbf{H}_0 = \mathbf{k} \times \mathbf{E}_0 / (\mu_0 \omega)$. Given that $\mathbf{k} = (\omega/c)\hat{\mathbf{k}}$, where $\hat{\mathbf{k}}$ is the unit-vector along the direction of \mathbf{k} , and taking into account the fact that $\mu_0 c = \mu_0 / \sqrt{\mu_0 \epsilon_0} = \sqrt{\mu_0 / \epsilon_0} = Z_0$ (the impedance of free space), we find

$$\mathbf{H}(\mathbf{r}, t) = Z_0^{-1}(\hat{\mathbf{k}} \times \mathbf{E}'_0 + i\hat{\mathbf{k}} \times \mathbf{E}''_0)e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}.$$

$$\begin{aligned} \text{d) } \langle \mathbf{S}(\mathbf{r}, t) \rangle &= \frac{1}{2} \text{Re}(\mathbf{E} \times \mathbf{H}^*) = \frac{1}{2} \text{Re}[\mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} \times \mathbf{H}_0^* e^{-i(\mathbf{k} \cdot \mathbf{r} - \omega t)}] \\ &= \frac{1}{2} \text{Re}[(\mathbf{E}'_0 + i\mathbf{E}''_0) \times Z_0^{-1}(\hat{\mathbf{k}} \times \mathbf{E}'_0 - i\hat{\mathbf{k}} \times \mathbf{E}''_0)] \\ &= [\mathbf{E}'_0 \times (\hat{\mathbf{k}} \times \mathbf{E}'_0) + \mathbf{E}''_0 \times (\hat{\mathbf{k}} \times \mathbf{E}''_0)] / (2Z_0) \\ &= [(\mathbf{E}'_0 \cdot \mathbf{E}'_0)\hat{\mathbf{k}} - \cancel{(\mathbf{E}'_0 \cdot \hat{\mathbf{k}})\mathbf{E}'_0} + (\mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}} - \cancel{(\mathbf{E}''_0 \cdot \hat{\mathbf{k}})\mathbf{E}''_0}] / (2Z_0) \\ &= (\mathbf{E}'_0 \cdot \mathbf{E}'_0 + \mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}} / (2Z_0). \end{aligned}$$

The time-averaged Poynting vector $\langle \mathbf{S}(\mathbf{r}, t) \rangle$ is the rate of flow of electromagnetic energy per unit area per unit time. It is directed along the k -vector, and its SI units are Joule/(sec \cdot m²). The time-averaging is carried out over a single oscillation period $T = 2\pi/\omega$; that is,

$$\langle \mathbf{S}(\mathbf{r}, t) \rangle = T^{-1} \int_{t_0}^{t_0+T} \mathbf{S}(\mathbf{r}, t) dt.$$

$$\begin{aligned} \text{e) } \text{Re}[\mathbf{E}(\mathbf{r}, t)] &= \text{Re}[\mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}] = \text{Re}\{(\mathbf{E}'_0 + i\mathbf{E}''_0)[\cos(\mathbf{k} \cdot \mathbf{r} - \omega t) + i \sin(\mathbf{k} \cdot \mathbf{r} - \omega t)]\} \\ &= \mathbf{E}'_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t) - \mathbf{E}''_0 \sin(\mathbf{k} \cdot \mathbf{r} - \omega t). \end{aligned}$$

Similarly, $\text{Re}[\mathbf{H}(\mathbf{r}, t)] = \mathbf{H}'_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t) - \mathbf{H}''_0 \sin(\mathbf{k} \cdot \mathbf{r} - \omega t)$. The real-valued Poynting vector may now be written as

$$\begin{aligned} \mathbf{S}(\mathbf{r}, t) &= [\mathbf{E}'_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t) - \mathbf{E}''_0 \sin(\mathbf{k} \cdot \mathbf{r} - \omega t)] \times [\mathbf{H}'_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t) - \mathbf{H}''_0 \sin(\mathbf{k} \cdot \mathbf{r} - \omega t)] \\ &= (\mathbf{E}'_0 \times \mathbf{H}'_0) \cos^2(\mathbf{k} \cdot \mathbf{r} - \omega t) + (\mathbf{E}''_0 \times \mathbf{H}''_0) \sin^2(\mathbf{k} \cdot \mathbf{r} - \omega t) \\ &\quad - (\mathbf{E}'_0 \times \mathbf{H}''_0 + \mathbf{E}''_0 \times \mathbf{H}'_0) \sin(\mathbf{k} \cdot \mathbf{r} - \omega t) \cos(\mathbf{k} \cdot \mathbf{r} - \omega t) \\ &= \frac{1}{2}(\mathbf{E}'_0 \times \mathbf{H}'_0 + \mathbf{E}''_0 \times \mathbf{H}''_0) + \frac{1}{2}(\mathbf{E}'_0 \times \mathbf{H}''_0 - \mathbf{E}''_0 \times \mathbf{H}'_0) \cos[2(\mathbf{k} \cdot \mathbf{r} - \omega t)] \\ &\quad - \frac{1}{2}(\mathbf{E}'_0 \times \mathbf{H}''_0 + \mathbf{E}''_0 \times \mathbf{H}'_0) \sin[2(\mathbf{k} \cdot \mathbf{r} - \omega t)]. \end{aligned}$$

The cross-products appearing in the above equation are readily evaluated, as follows:

$$\mathbf{E}'_0 \times \mathbf{H}'_0 = \mathbf{E}'_0 \times Z_0^{-1}(\hat{\mathbf{k}} \times \mathbf{E}'_0) = Z_0^{-1}[(\mathbf{E}'_0 \cdot \mathbf{E}'_0)\hat{\mathbf{k}} - (\mathbf{E}'_0 \cdot \hat{\mathbf{k}})\mathbf{E}'_0] = Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}'_0)\hat{\mathbf{k}},$$

$$\mathbf{E}''_0 \times \mathbf{H}''_0 = Z_0^{-1}(\mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}}, \quad \mathbf{E}'_0 \times \mathbf{H}''_0 = Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}}, \quad \mathbf{E}''_0 \times \mathbf{H}'_0 = Z_0^{-1}(\mathbf{E}''_0 \cdot \mathbf{E}'_0)\hat{\mathbf{k}}.$$

Substitution into the preceding expression of $\mathbf{S}(\mathbf{r}, t)$ now yields

$$\begin{aligned} \mathbf{S}(\mathbf{r}, t) = & \frac{1}{2}Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}'_0 + \mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}} + \frac{1}{2}Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}'_0 - \mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}} \cos[2(\mathbf{k} \cdot \mathbf{r} - \omega t)] \\ & - Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}} \sin[2(\mathbf{k} \cdot \mathbf{r} - \omega t)]. \end{aligned}$$

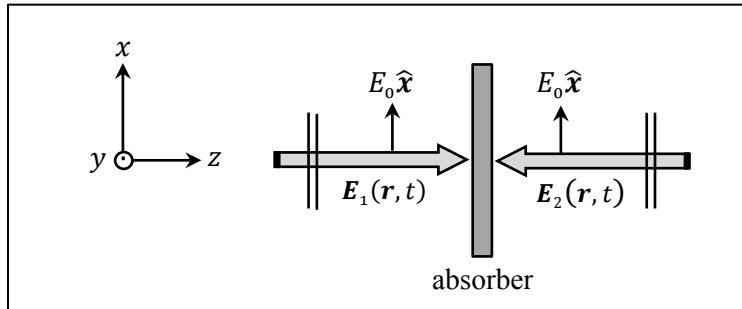
f) Considering that the time-averages of $\cos[2(\mathbf{k} \cdot \mathbf{r} - \omega t)]$ and $\sin[2(\mathbf{k} \cdot \mathbf{r} - \omega t)]$ vanish everywhere in space, the equation obtained in part (e) yields $\langle \mathbf{S}(\mathbf{r}, t) \rangle = \frac{1}{2}Z_0^{-1}(\mathbf{E}'_0 \cdot \mathbf{E}'_0 + \mathbf{E}''_0 \cdot \mathbf{E}''_0)\hat{\mathbf{k}}$, in agreement with the result obtained in part (d).

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Opti 501, Day 2

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The figure shows two counter-propagating plane-waves in free space arriving at a thin sheet of absorbing material located in the xy -plane at $z = 0$. In the half-space $z < 0$, the E -field of the right-propagating plane-wave is $\mathbf{E}_1(\mathbf{r}, t) = E_0 \hat{\mathbf{x}} \cos[(\omega/c)(z - ct)]$, whereas in the half-space $z > 0$, the E -field of the left-propagating plane-wave is $\mathbf{E}_2(\mathbf{r}, t) = E_0 \hat{\mathbf{x}} \cos[(\omega/c)(z + ct)]$.



- Identify the oscillation frequency, the k -vector, and the polarization state of each plane-wave.
 - Find the magnetic fields $\mathbf{H}_1(\mathbf{r}, t)$ and $\mathbf{H}_2(\mathbf{r}, t)$ of both plane-waves, each in its respective half-space.
 - Find the Poynting vectors $\mathbf{S}_1(\mathbf{r}, t)$ and $\mathbf{S}_2(\mathbf{r}, t)$ of both plane-waves, each in its respective half-space.
 - Use the formula $\langle \mathbf{S}(\mathbf{r}, t) \rangle = \frac{1}{2} \text{Re}(\mathbf{E} \times \mathbf{H}^*)$ to calculate the *time-averaged* Poynting vector for each plane-wave. Confirm that the results thus obtained agree with the results of part (c).
Note: In part (d), \mathbf{E} and \mathbf{H} refer to the complex version of the fields.
 - Invoke Maxwell's boundary conditions at the front and back facets of the thin-sheet absorber (located at $z = 0^+$ and $z = 0^-$) to determine the parallel component \mathbf{E}_{\parallel} of the \mathbf{E} -field as well as the perpendicular components \mathbf{D}_{\perp} and \mathbf{B}_{\perp} of the \mathbf{D} and \mathbf{B} fields inside the absorber.
 - Invoke Maxwell's boundary condition for the parallel component \mathbf{H}_{\parallel} of the \mathbf{H} -field to determine the electric current-density \mathbf{J}_s inside the absorber.
Note: Since the absorber is assumed to be infinitesimally thin, its current-density can be treated as a surface-current-density, having the units of ampere/meter.
 - Confirm that the rate $\mathbf{E} \cdot \mathbf{J}_s$ at which electromagnetic energy is taken up by the absorber equals the rate of delivery of electromagnetic energy by the incoming pair of plane-waves.
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Solution to Problem 2) a) The oscillation frequency is ω , the k -vectors are $\pm(\omega/c)\hat{\mathbf{z}}$, and the state of polarization of both plane-waves is linear along the x -axis.

b) From Maxwell's 3rd equation, $\nabla \times \mathbf{E} = -\partial \mathbf{B}/\partial t$, we find $i\mathbf{k} \times E_0\hat{\mathbf{x}} = i\omega\mu_0\mathbf{H}_0$. Consequently, $\pm(\omega/c)\hat{\mathbf{z}} \times E_0\hat{\mathbf{x}} = \omega\mu_0\mathbf{H}_0$, which yields $\mathbf{H}_0 = \pm(E_0/Z_0)\hat{\mathbf{y}}$. As always, $c = 1/\sqrt{\mu_0\epsilon_0}$ is the speed of light in vacuum, while $Z_0 = \sqrt{\mu_0/\epsilon_0}$ is the impedance of free space. Consequently,

$$\mathbf{H}_{1,2}(\mathbf{r}, t) = \pm(E_0/Z_0)\hat{\mathbf{y}} \cos[(\omega/c)(z \mp ct)].$$

c) $\mathbf{S}(\mathbf{r}, t) = \mathbf{E}(\mathbf{r}, t) \times \mathbf{H}(\mathbf{r}, t) = \pm(E_0^2/Z_0) \cos^2[(\omega/c)(z \mp ct)] \hat{\mathbf{z}}$. (The upper sign corresponds to the beam in the half-space $z < 0$, while the lower sign represents the beam in $z > 0$.)

d) $\langle \mathbf{S}(\mathbf{r}, t) \rangle = \frac{1}{2} \text{Re} [E_0\hat{\mathbf{x}} e^{i(\pm k\mathbf{r} - \omega t)} \times (\pm E_0/Z_0)\hat{\mathbf{y}} e^{-i(\pm k\mathbf{r} - \omega t)}] = \pm \frac{1}{2} (E_0^2/Z_0) \hat{\mathbf{z}}$.

This same result is obtained by time-averaging $\mathbf{S}(\mathbf{r}, t)$ obtained in part (c), considering that the time-average of the cosine-squared factor is $\langle \cos^2[(\omega/c)(z \mp ct)] \rangle = \frac{1}{2}$.

e) At $z = 0^+$ and 0^- , both E -fields are $E_0\hat{\mathbf{x}} \cos(\omega t)$; the continuity of \mathbf{E}_{\parallel} extends this to become the E -field inside the thin-sheet absorber. There is no \mathbf{D}_{\perp} or \mathbf{B}_{\perp} in the free-space region outside the absorber, nor does the absorber carry any surface charges; therefore, both of these fields are zero inside the absorber.

f) The discontinuity of \mathbf{H}_{\parallel} between $z = 0^+$ and $z = 0^-$ in accordance with the result obtained in part (b) yields

$$\mathbf{J}_s = 2(E_0/Z_0)\hat{\mathbf{x}} \cos(\omega t).$$

Note that \mathbf{J}_s has the units of [ampere/meter], in agreement with the right-hand side of the above equation. Denoting the volume current-density inside the absorber by \mathbf{J} [ampere/m²], one can write $\mathbf{J}_s = \mathbf{J}d$, where d is the infinitesimally small thickness of the absorber.

g) The rate of electromagnetic energy uptake by the current-density \mathbf{J}_s acted upon by the E -field within the absorber is $\mathbf{E} \cdot \mathbf{J}_s = 2(E_0^2/Z_0) \cos^2(\omega t)$, whose time-average is E_0^2/Z_0 . This agrees with the time-averaged influx of energy in the two incoming plane-waves, as found in (d).

Digression. According to Problem (7.65) of the textbook, for a slab of thickness d and refractive index n surrounded by free space, the Fresnel reflection and transmission coefficients at normal incidence are

$$\rho = E_0^{(r)}/E_0^{(i)} = \frac{\rho_{12} + \rho_{23} \exp(i4\pi nd/\lambda_0)}{1 + \rho_{12}\rho_{23} \exp(i4\pi nd/\lambda_0)}, \quad \tau = E_0^{(t)}/E_0^{(i)} = \frac{\tau_{12}\tau_{23} \exp(i2\pi nd/\lambda_0)}{1 + \rho_{12}\rho_{23} \exp(i4\pi nd/\lambda_0)},$$

where $\rho_{12} = (1-n)/(1+n)$, $\rho_{23} = (n-1)/(n+1)$, $\tau_{12} = 2/(1+n)$, $\tau_{23} = 2n/(n+1)$, and $\lambda_0 = 2\pi c/\omega$. For the absorber to be perfect, the reflection from one side must cancel out the transmission from the opposite side; that is,

$$\begin{aligned} \rho + \tau = 0 & \rightarrow \rho_{12} + \rho_{23} \exp(i4\pi nd/\lambda_0) + \tau_{12}\tau_{23} \exp(i2\pi nd/\lambda_0) = 0 \\ & \rightarrow \left(\frac{1-n}{1+n}\right) + \left(\frac{n-1}{n+1}\right) e^{i4\pi nd/\lambda_0} + \frac{4n}{(n+1)^2} e^{i2\pi nd/\lambda_0} = 0 \end{aligned}$$

$$\rightarrow e^{i4\pi nd/\lambda_0} + \left(\frac{4n}{n^2-1}\right)e^{i2\pi nd/\lambda_0} - 1 = 0.$$

The two solutions of the above quadratic equation are readily found to be

$$e^{i2\pi nd/\lambda_0} = -\left(\frac{2n}{n^2-1}\right) \pm \left[\frac{4n^2}{(n^2-1)^2} + 1\right]^{1/2} = -\left(\frac{2n}{n^2-1}\right) \pm \left(\frac{n^2+1}{n^2-1}\right) = \pm \frac{(n \mp 1)^2}{(n-1)(n+1)}.$$

Of these, the second solution (lower sign) is unacceptable, as it causes the denominator in the expressions of ρ and τ to vanish. Consequently, the only acceptable solution is $e^{i2\pi nd/\lambda_0} = (n-1)/(n+1)$. When the sheet thickness d is negligibly small, the refractive index n must be sufficiently large, thus permitting the following approximation:

$$e^{i2\pi nd/\lambda_0} = \frac{n-1}{n+1} = \frac{1-(1/n)}{1+(1/n)} \cong \frac{e^{-1/n}}{e^{1/n}} = e^{-2/n}.$$

$$n^2(\omega) = \varepsilon(\omega) = 1 + \chi(\omega)$$

This leads to: $i2\pi nd/\lambda_0 \cong -2/n \rightarrow \pi n^2 d/\lambda_0 \cong i \rightarrow \pi[1 + \chi(\omega)]d/\lambda_0 \cong i$. In the limit when $d \rightarrow 0$ and $\chi(\omega) \rightarrow \infty$, the condition for perfect absorption becomes $\pi\chi(\omega)d/\lambda_0 = i$. Now, in complex notation, the current-density \mathbf{J}_s within the absorber is related to the absorber thickness d and the material polarization $\mathbf{P} = \varepsilon_0\chi(\omega)\mathbf{E}$ in the following way:

$$\mathbf{J}_s = (\partial\mathbf{P}/\partial t)d = -i\omega\varepsilon_0\chi(\omega)E_0\hat{\mathbf{x}}d \stackrel{\uparrow}{=} 2(E_0/Z_0)\hat{\mathbf{x}} \rightarrow \varepsilon_0Z_0\omega\chi(\omega)d = 2i \rightarrow \pi\chi(\omega)d/\lambda_0 = i.$$

see part (f)

The last equation agrees with the requirement for perfect absorption in the limit when $d \rightarrow 0$.

One may be wondering why the current sheet in this problem does *not* radiate a pair of electromagnetic plane-waves toward infinity, as was the case in the example described in Section 4.10 of the textbook. The answer is that the radiated beams are hiding in plain sight! When the incoming plane-waves pass through the absorber sheet, their continuation on the opposite side get cancelled out by the radiated pair of plane-waves.
