

**Spring 2014 Written Comprehensive Exam  
Opti 501**

**Solution to Problem 1:**

- a)  $\mathbf{k} = k_0 \hat{\mathbf{z}} = (\omega/c) \hat{\mathbf{z}}.$
- b) The beam is linearly-polarized if either  $E_{x0} = 0$  or  $E_{y0} = 0$  or  $\varphi_{x0} = \varphi_{y0}$  or  $\varphi_{x0} = \varphi_{y0} \pm \pi$ .  
The beam is circularly-polarized if  $E_{x0} = E_{y0}$  and  $\varphi_{x0} - \varphi_{y0} = \pm\pi/2$ . Under all other circumstances, the beam will be elliptically-polarized.

- c) Starting with the assumption that the amplitude and phase of the  $H$ -field components are  $(H_{x0}, \psi_{x0})$  and  $(H_{y0}, \psi_{y0})$ , we write

$$\mathbf{H}(\mathbf{r}, t) = H_{x0} \cos(k_0 z - \omega t + \psi_{x0}) \hat{\mathbf{x}} + H_{y0} \cos(k_0 z - \omega t + \psi_{y0}) \hat{\mathbf{y}}.$$

Maxwell's 3<sup>rd</sup> equation then yields

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad \rightarrow \quad -\frac{\partial E_y}{\partial z} \hat{\mathbf{x}} + \frac{\partial E_x}{\partial z} \hat{\mathbf{y}} = -\mu_0 \left( \frac{\partial H_x}{\partial t} \hat{\mathbf{x}} + \frac{\partial H_y}{\partial t} \hat{\mathbf{y}} \right).$$

Consequently,

$$\begin{aligned} -\frac{\partial E_y}{\partial z} &= -\mu_0 \frac{\partial H_x}{\partial t} \rightarrow k_0 E_{y0} \sin(k_0 z - \omega t + \varphi_{y0}) = -\mu_0 H_{x0} \omega \sin(k_0 z - \omega t + \psi_{x0}) \\ &\rightarrow (\omega/c) E_{y0} \sin(k_0 z - \omega t + \varphi_{y0}) = -\mu_0 H_{x0} \omega \sin(k_0 z - \omega t + \psi_{x0}) \\ &\rightarrow H_{x0} = -E_{y0}/(\mu_0 c) = -E_{y0}/Z_0 \quad \text{and} \quad \psi_{x0} = \varphi_{y0}. \end{aligned}$$

Similarly,

$$\begin{aligned} \frac{\partial E_x}{\partial z} &= -\mu_0 \frac{\partial H_y}{\partial t} \rightarrow -k_0 E_{x0} \sin(k_0 z - \omega t + \varphi_{x0}) = -\mu_0 H_{y0} \omega \sin(k_0 z - \omega t + \psi_{y0}) \\ &\rightarrow (\omega/c) E_{x0} \sin(k_0 z - \omega t + \varphi_{x0}) = \mu_0 H_{y0} \omega \sin(k_0 z - \omega t + \psi_{y0}) \\ &\rightarrow H_{y0} = E_{x0}/(\mu_0 c) = E_{x0}/Z_0 \quad \text{and} \quad \psi_{y0} = \varphi_{x0}. \end{aligned}$$

- d) Direct multiplication of the  $E$ -field into the  $H$ -field obtained in part (c) now yields

$$\begin{aligned} \mathbf{S}(\mathbf{r}, t) &= Z_0^{-1} [E_{x0} \cos(k_0 z - \omega t + \varphi_{x0}) \hat{\mathbf{x}} + E_{y0} \cos(k_0 z - \omega t + \varphi_{y0}) \hat{\mathbf{y}}] \\ &\quad \times [-E_{y0} \cos(k_0 z - \omega t + \varphi_{y0}) \hat{\mathbf{x}} + E_{x0} \cos(k_0 z - \omega t + \varphi_{x0}) \hat{\mathbf{y}}] \\ &= Z_0^{-1} [E_{x0}^2 \cos^2(k_0 z - \omega t + \varphi_{x0}) + E_{y0}^2 \cos^2(k_0 z - \omega t + \varphi_{y0})] \hat{\mathbf{z}}. \end{aligned}$$

The Poynting vector  $\mathbf{S}(\mathbf{r}, t)$  is the rate of flow of electromagnetic energy per unit area per unit time, evaluated at the point  $\mathbf{r}$  in space and at the instant  $t$  of time. It *must* satisfy the energy continuity equation at *all* points  $\mathbf{r}$  in space at *all* instants  $t$  in time.

- e) For circular-polarization, we have  $E_{x0} = E_{y0}$  and  $\varphi_{x0} = \varphi_{y0} \pm \pi/2$ . Therefore,

$$\mathbf{S}(\mathbf{r}, t) = Z_0^{-1} E_{x0}^2 [\cos^2(k_0 z - \omega t + \varphi_{x0}) + \sin^2(k_0 z - \omega t + \varphi_{x0})] \hat{\mathbf{z}} = Z_0^{-1} E_{x0}^2 \hat{\mathbf{z}}.$$

Clearly, the above expression is independent of  $z$  and  $t$ . The electromagnetic energy thus flows uniformly and at the constant rate of  $E_{x0}^2/Z_0$  along the  $z$ -axis

f) For a linearly-polarized beam, we will have

$$\mathbf{S}(\mathbf{r}, t) = Z_0^{-1} (E_{x0}^2 + E_{y0}^2) \cos^2(k_0 z - \omega t + \varphi_{x0}) \hat{\mathbf{z}}.$$

The above  $\mathbf{S}$  obviously varies with both  $z$  and  $t$ . This means that at any given time, say,  $t = t_0$ , the energy crossing a plane perpendicular to the  $z$ -axis at  $z_1$  is different from the energy crossing another perpendicular plane at  $z_2$ . Conservation of energy is not violated, however, because, unlike the case of circular-polarization, the energy stored in the  $E$  and  $H$  fields in the region between  $z_1$  and  $z_2$  is not constant in this case. Recall that Poynting's theorem in free-space requires that  $\nabla \cdot \mathbf{S} + \partial(\frac{1}{2}\epsilon_0 \mathbf{E} \cdot \mathbf{E} + \frac{1}{2}\mu_0 \mathbf{H} \cdot \mathbf{H})/\partial t = 0$ . Consequently, the difference between the energy entering at  $z = z_1$  and the energy leaving at  $z = z_2$  is given to (or taken away from) the energy stored in the  $E$  and  $H$  fields in the space between  $z_1$  and  $z_2$ .

---

**Solution to Problem 2:**

a) In the free-space region, the incident  $k$ -vector is  $\mathbf{k}^{(i)} = (\omega/c)(\sin \theta \hat{\mathbf{x}} + \cos \theta \hat{\mathbf{z}})$ . The  $E$  and  $H$  fields may then be written in terms of  $\mathbf{k}^{(i)}$ ,  $\omega$ , and the  $E$ -field amplitude  $E_0$ , as follows:

$$\begin{aligned}\mathbf{E}^{(i)}(\mathbf{r}, t) &= \text{Re}\{E_0(\cos \theta \hat{\mathbf{x}} - \sin \theta \hat{\mathbf{z}}) \exp[i(\mathbf{k}^{(i)} \cdot \mathbf{r} - \omega t)]\}, \\ \mathbf{H}^{(i)}(\mathbf{r}, t) &= \text{Re}\{Z_0^{-1}E_0\hat{\mathbf{y}} \exp[i(\mathbf{k}^{(i)} \cdot \mathbf{r} - \omega t)]\}.\end{aligned}$$

b) For the reflected beam, the  $k$ -vector is  $\mathbf{k}^{(r)} = (\omega/c)(\sin \theta \hat{\mathbf{x}} - \cos \theta \hat{\mathbf{z}})$ , and the  $E$  and  $H$  fields, expressed as functions of  $\mathbf{k}^{(r)}$ ,  $\omega$ , the Fresnel reflection coefficient  $\rho_p$ , and the incident  $E$ -field amplitude  $E_0$ , are

$$\begin{aligned}\mathbf{E}^{(r)}(\mathbf{r}, t) &= \text{Re}\{\rho_p E_0(\cos \theta \hat{\mathbf{x}} + \sin \theta \hat{\mathbf{z}}) \exp[i(\mathbf{k}^{(r)} \cdot \mathbf{r} - \omega t)]\}, \\ \mathbf{H}^{(r)}(\mathbf{r}, t) &= -\text{Re}\{Z_0^{-1}\rho_p E_0\hat{\mathbf{y}} \exp[i(\mathbf{k}^{(r)} \cdot \mathbf{r} - \omega t)]\}.\end{aligned}$$

c) For the transmitted beam, the  $k$ -vector is  $\mathbf{k}^{(t)} = (\omega/c)[\sin \theta \hat{\mathbf{x}} + \sqrt{\varepsilon(\omega) - \sin^2 \theta} \hat{\mathbf{z}}]$ . This is derived from the continuity of  $k_x$  across the interface, and from the dispersion relation of the plasma, namely,  $k_x^2 + k_z^2 = (\omega/c)^2 \mu(\omega) \varepsilon(\omega)$ . The  $E$  and  $H$  fields, written in terms of  $\mathbf{k}^{(t)}$ ,  $\omega$ , the Fresnel transmission coefficient  $\tau_p$ , and the incident  $E$ -field amplitude  $E_0$ , are

$$\begin{aligned}\mathbf{E}^{(t)}(\mathbf{r}, t) &= \text{Re}\left\{\tau_p E_0 \cos \theta \left(\hat{\mathbf{x}} - \frac{\sin \theta}{\sqrt{\varepsilon(\omega) - \sin^2 \theta}} \hat{\mathbf{z}}\right) \exp[i(\mathbf{k}^{(t)} \cdot \mathbf{r} - \omega t)]\right\}, \\ \mathbf{H}^{(t)}(\mathbf{r}, t) &= \text{Re}\left\{\frac{\tau_p \varepsilon(\omega) E_0 \cos \theta}{Z_0 \sqrt{\varepsilon(\omega) - \sin^2 \theta}} \hat{\mathbf{y}} \exp[i(\mathbf{k}^{(t)} \cdot \mathbf{r} - \omega t)]\right\}.\end{aligned}$$

In deriving the above expressions, we used the constraints imposed by Maxwell's 1<sup>st</sup> and 3<sup>rd</sup> equations, namely,  $\mathbf{k}^{(t)} \cdot \mathbf{E}^{(t)} = k_x^{(t)} E_x^{(t)} + k_z^{(t)} E_z^{(t)} = 0$  and  $\mathbf{k}^{(t)} \times \mathbf{E}^{(t)} = \mu_0 \mu(\omega) \omega \mathbf{H}^{(t)}$ .

d) The tangential components  $E_x^{(i)}, E_x^{(r)}, E_x^{(t)}$  of the  $E$ -field must satisfy the continuity condition at the interface, as do the tangential components  $H_y^{(i)}, H_y^{(r)}, H_y^{(t)}$  of the  $H$ -field. Therefore,

$$E_{\parallel} \text{ continuity: } E_0 \cos \theta + \rho_p E_0 \cos \theta = \tau_p E_0 \cos \theta \quad \rightarrow \quad 1 + \rho_p = \tau_p.$$

$$H_{\parallel} \text{ continuity: } Z_0^{-1} E_0 - Z_0^{-1} \rho_p E_0 = \frac{\tau_p \varepsilon(\omega) E_0 \cos \theta}{Z_0 \sqrt{\varepsilon(\omega) - \sin^2 \theta}} \quad \rightarrow \quad 1 - \rho_p = \frac{\tau_p \varepsilon(\omega) \cos \theta}{\sqrt{\varepsilon(\omega) - \sin^2 \theta}}.$$

Solving the above equations, we find  $\rho_p = \frac{\sqrt{\varepsilon(\omega) - \sin^2 \theta} - \varepsilon(\omega) \cos \theta}{\sqrt{\varepsilon(\omega) - \sin^2 \theta} + \varepsilon(\omega) \cos \theta}$  and  $\tau_p = \frac{2\sqrt{\varepsilon(\omega) - \sin^2 \theta}}{\sqrt{\varepsilon(\omega) - \sin^2 \theta} + \varepsilon(\omega) \cos \theta}$ .

e) Since  $\varepsilon(\omega)$  is real-valued and negative,  $\rho_p$  may be written as follows:

$$\rho_p = \frac{i\sqrt{|\varepsilon(\omega)| + \sin^2 \theta} + |\varepsilon(\omega)| \cos \theta}{i\sqrt{|\varepsilon(\omega)| + \sin^2 \theta} - |\varepsilon(\omega)| \cos \theta}$$

Thus  $\rho_p$  is seen to be the ratio of a complex number to its conjugate, which has a magnitude of 1. Since  $|\rho_p| = 1$ , the reflectivity is 100%. This does not contradict the existence of electromagnetic waves within the plasma, because the time-averaged Poynting vector of the plane-wave inside the plasma, like that of an evanescent wave, has a vanishing  $z$ -component.