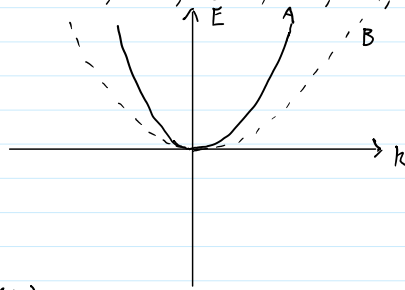


3.13, 14, 16, 17, 26, 27, 29, 32, 33, 34, 35, 39, 40, 45, 46

3.13: $\frac{1}{m^*} = \frac{1}{\hbar^2} \frac{d^2 E}{dk^2}$

$m^* = \hbar^2 \left(\frac{d^2 E}{dk^2} \right)^{-1}$

$m_B^* > m_A^*$



Since $\left| \frac{d^2 E_A}{dk^2} \right| > \left| \frac{d^2 E_B}{dk^2} \right|$

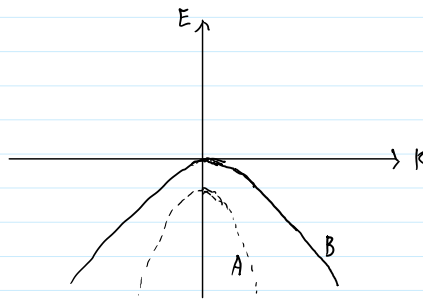
Curvature(A) > Curvature(B)

More intuitively: $m^* \propto \frac{1}{\text{band curvature}} \Rightarrow$ larger curvature means smaller effective mass

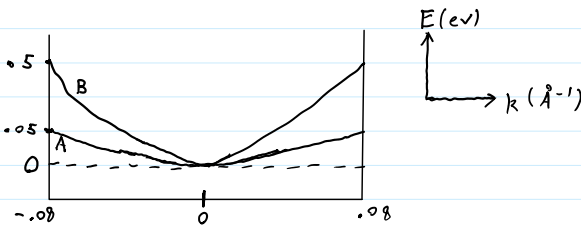
3.14:

$m_B^* > m_A^*$

Same concept as 3.13.



3.16:



$E_A = C_1 k^2 \Rightarrow C_1 = \frac{E_A}{k^2} = \frac{0.05 \text{ eV}}{(0.08 \text{ \AA}^{-1})^2} = 7.8125 \text{ eV \AA}^2$

convert to mksA $\Rightarrow C_1 \times 1.602 \times 10^{-19} \text{ J/eV} \times \frac{(1 \times 10^{-10} \text{ m})^2}{1 \text{ \AA}^2} = 1.252 \times 10^{-38} \text{ Jm}^2$

Then, using $m_A^* = \frac{\hbar^2}{2C_1} = \frac{\left(\frac{6.626 \times 10^{-34} \text{ J}\cdot\text{s}}{2\pi} \right)^2}{2(1.252 \times 10^{-38} \text{ Jm}^2)} = 4.44 \times 10^{-31} \text{ kg}$

(check dimensions: $\left[\frac{\text{J}^2 \text{ s}^2}{\text{Jm}^2} \right] \rightarrow \left[\text{J} \frac{\text{s}^2}{\text{m}^2} \right] = \left[\text{kg} \frac{\text{m}^2}{\text{s}^2} \right] \cdot \left[\frac{\text{s}^2}{\text{m}^2} \right] = [\text{kg}] \checkmark$)

So, in terms of the electron mass,

$\frac{m_A^*}{m_0} = \frac{4.44 \times 10^{-31} \text{ kg}}{9.11 \times 10^{-31} \text{ kg}} = 0.488$

$\Rightarrow m_A^* = 0.488 m_0$

B: $E_B = C_1 k^2 \Rightarrow C_1 = \frac{E_B}{k^2} = \frac{0.5 \text{ eV}}{(0.08 \text{ \AA}^{-1})^2} = 78.125 \text{ eV \AA}^2$

mksA: $C_1 \times 1.602 \times 10^{-19} \frac{\text{J}}{\text{eV}} \times \frac{(1 \times 10^{-10} \text{ m})^2}{1 \text{ \AA}^2} = 1.252 \times 10^{-37} \text{ Jm}^2$

$\therefore \frac{\hbar^2}{2C_1} = \frac{(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}{2(1.252 \times 10^{-37} \text{ Jm}^2)} = 4.44 \times 10^{-32} \text{ kg}$

$$m_{\text{eff}} = \frac{1}{2} \cdot 1.002 \times 10^{-31} \text{ kg} \cdot \frac{1}{1 \text{ \AA}^2} = 1.002 \times 10^{-31} \text{ kg}$$

$$m_B^* = \frac{\hbar^2}{2C_1} = \frac{(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}{2(1.252 \times 10^{-37} \text{ J}\cdot\text{m}^2)} = 4.44 \times 10^{-32} \text{ kg}$$

$$\frac{m_B^*}{m_0} = \frac{4.44 \times 10^{-32} \text{ kg}}{9.11 \times 10^{-31} \text{ kg}} = 0.0488$$

$$m_B^* = 0.0488 m_0$$

3.17: Basically the same calculation as 3.16.

$$E - E_V = -C_2 k^2 \Rightarrow E_A = -C_2 k^2 \Rightarrow C_2 = \frac{E_A}{k^2} = \frac{0.025 \text{ eV}}{(0.08 \text{ \AA}^{-1})^2} = 3.91 \text{ eV}\cdot\text{\AA}^2$$

$$\text{mksA: } C_2 \times 1.602 \times 10^{-19} \frac{\text{J}}{\text{eV}} \times \frac{(1 \times 10^{-10} \text{ m})^2}{1 \text{ \AA}^2} = 6.26 \times 10^{-39} \text{ J}\cdot\text{m}^2$$

$$\Rightarrow m_A^* = \frac{-\hbar^2}{2C_2} = \frac{-(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}{2(6.26 \times 10^{-39} \text{ J}\cdot\text{m}^2)} = -8.87 \times 10^{-31} \text{ kg}$$

$$\Rightarrow \frac{m_A^*}{m_0} = -0.975 \Rightarrow m_A^* = -0.975 m_0$$

similarly for B:

$$m_B^* = -0.0813 m_0$$

3.26: a)

$$N = \int_{E_1}^{E_2} g(E) dE$$

In the conduction band of a bulk semiconductor, the density of states is

$$g_c(E) = \frac{4\pi(2m_c^*)^{3/2}}{h^3} \sqrt{E - E_c}$$

So the total number of states per unit volume from $E = E_c$ to $E = E_c + 2k_B T$

$$N = \frac{4\pi(2m_c^*)^{3/2}}{h^3} \int_{E_c}^{E_c + 2k_B T} dE (E - E_c)^{1/2}$$

$$\Rightarrow \frac{du}{dE} = 1 \Rightarrow du = dE \Rightarrow$$

at $E = E_c \rightarrow u = 0$
at $E = E_c + 2k_B T \rightarrow u = 2k_B T$

$$\Rightarrow N = \frac{4\pi(2m_c^*)^{3/2}}{h^3} \int_0^{2k_B T} u^{1/2} du = \frac{4\pi(2m_c^*)^{3/2}}{h^3} \left[\frac{2}{3} u^{3/2} \right]_0^{2k_B T} = \frac{4\pi(2m_c^*)^{3/2}}{h^3} \cdot \frac{2}{3} \cdot (2k_B T)^{3/2}$$

So now it's a matter of plugging in values:

- Note: $k_B = 1.38 \times 10^{-23} \frac{\text{J}}{\text{K}}$ & $m_c^* = 1.08 m_0$

$$T = 300 \text{ K: } N = 6 \times 10^{25} \frac{\text{states}}{\text{m}^3} \times \frac{(0.01 \text{ m})^3}{1 \text{ cm}^3} \Rightarrow N = 6 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

$$T = 400 \text{ K: } N = 9.22 \times 10^{25} \frac{\text{states}}{\text{m}^3} \times \frac{(0.01 \text{ m})^3}{1 \text{ cm}^3} \Rightarrow N = 9.22 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

b) For GaAs, the only difference is $m_c^* = 0.067 m_0$

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$$\Rightarrow T = 300 \text{ K} : N = 9.25 \times 10^{23} \frac{\text{states}}{\text{m}^3} \times \frac{(0.01 \text{ m})^3}{1 \text{ cm}^3} \Rightarrow N = 9.25 \times 10^{17} \frac{\text{states}}{\text{cm}^3}$$

$$T = 400 \text{ K} : N = 1.42 \times 10^{24} \frac{\text{states}}{\text{m}^3} \times \frac{(0.01 \text{ m})^3}{1 \text{ cm}^3} \Rightarrow N = 1.42 \times 10^{18} \frac{\text{states}}{\text{cm}^3}$$

3.27: effectively the same calculation as 3.26

$$N = \int_{E_i}^{E_2} g(E) dE$$

here, however, the bounds are flipped assuming the top of the valence band is $E = 0$. we have then

$$N = A \int_{E_V - 3k_B T}^{E_V} \sqrt{E_V - E} dE \rightsquigarrow A \int_0^{3k_B T} (u)^{1/2} du = A \cdot \frac{2}{3} u^{3/2} \Big|_0^{3k_B T}$$

$$\Rightarrow N = A \cdot \frac{2}{3} (3k_B T)^{3/2} = \frac{4\pi (2m_v^*)^{3/2}}{h^3} \cdot \frac{2}{3} (3k_B T)^{3/2} \text{ or } \frac{8\pi}{3} \left(\frac{2m_v^*}{h^2} \right)^{3/2} (3k_B T)^{3/2}$$

$$\left. \begin{array}{l} (m_v^*)_{\text{Si}} = 0.56 m_0 \\ (m_v^*)_{\text{GaAs}} = 0.48 m_0 \end{array} \right\} \begin{array}{l} A_{\text{Si}} = 4.45 \times 10^{55} \left[\frac{k_B}{J^2 s^2} \right]^{3/2} \\ A_{\text{GaAs}} = 3.53 \times 10^{55} \left[\frac{k_B}{J^2 s^2} \right]^{3/2} \end{array}$$

$$\Rightarrow \text{Si} : T = 300 \text{ K} : N = A_{\text{Si}} \cdot \frac{2}{3} \cdot (3k_B T)^{3/2} = 4.11 \times 10^{25} \frac{\text{states}}{\text{m}^3} = 4.11 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

$$T = 400 \text{ K} : N = 6.32 \times 10^{25} \frac{\text{states}}{\text{m}^3} = 6.32 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

$$\text{GaAs} : T = 300 \text{ K} : N = 3.26 \times 10^{25} \frac{\text{states}}{\text{m}^3} = 3.26 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

$$T = 400 \text{ K} : N = 5.02 \times 10^{25} \frac{\text{states}}{\text{m}^3} = 5.02 \times 10^{19} \frac{\text{states}}{\text{cm}^3}$$

3.29

$$\frac{g_c}{g_v} = \frac{\frac{4\pi}{h^3} (2m_n^*)^{3/2} \sqrt{E - E_c}}{\frac{4\pi}{h^3} (2m_p^*)^{3/2} \sqrt{E_v - E}}$$

for $E = E_c + k_B T$ & $E = E_v - k_B T$ in the conduction & valence bands respectively:

$$a) \frac{g_c}{g_v} = \frac{(m_n^*)^{3/2}}{(m_p^*)^{3/2}} = \frac{(1.08)^{3/2}}{(0.56)^{3/2}} = 2.68$$

b) similarly, for GaAs:

$$\frac{g_c}{g_v} = \frac{(m_n^*)^{3/2}}{(m_p^*)^{3/2}} = \frac{(0.067)^{3/2}}{(0.48)^{3/2}} = 0.052$$

3.32:

For this, it's simply a matter of plugging values into the Fermi function

$$f(E) = \frac{1}{1 + \exp\left[\frac{E - E_F}{k_B T}\right]}$$

$$f(E) = \frac{1}{1 + \exp\left[\frac{E - E_F}{k_B T}\right]}$$

a) $f(E = E_F + k_B T) = \frac{1}{1 + e} = .269$

b) $f(E = E_F + 5k_B T) = \frac{1}{1 + e^5} = .0067$

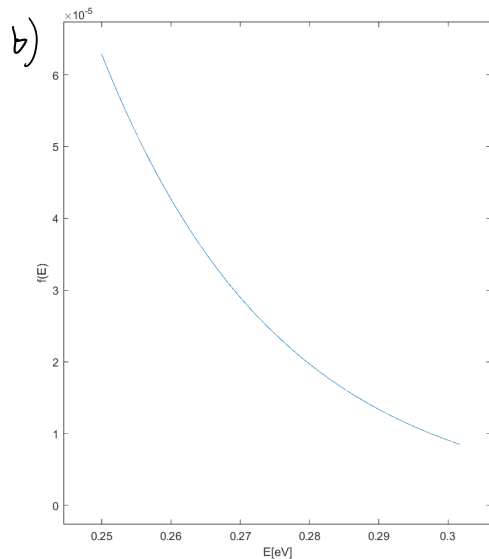
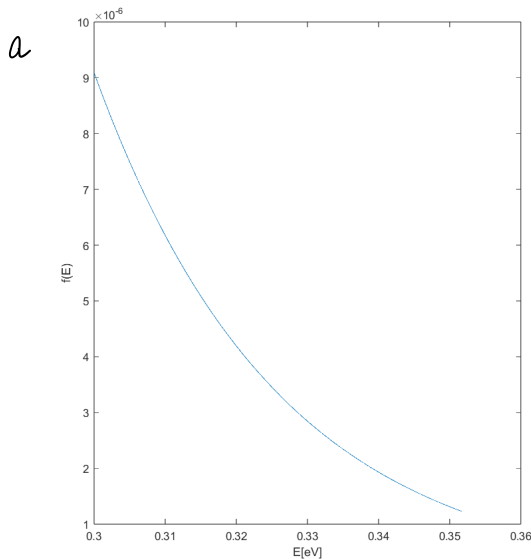
c) $f(E = E_F + 10k_B T) = \frac{1}{1 + e^{10}} = 4.5 \times 10^{-5}$

3.33: Now it is the flipped problem:

$P(\text{state empty of electron}) = 1 - f(E)$, but since we flip the sign of E , the answers are the same as 3.32.

3.34:

a) Set $E - E_F = .3 + n k_B T$, where n is just a number ranging from $0 \rightarrow 2$, then substitute into $f(E)$.



3.35:

$$f(E = E_c + k_B T) = 1 - f(E = E_v - k_B T)$$

Use the Boltzmann approximation:

$$f(E) \approx \exp\left[-\frac{(E - E_F)}{k_B T}\right] \quad \text{and} \quad 1 - f(E) \approx \exp\left[-\frac{(E_F - E)}{k_B T}\right]$$

$$\Rightarrow \exp\left[-\frac{(E_c + k_B T - E_F)}{k_B T}\right] = \exp\left[-\frac{(E_F - E_v + k_B T)}{k_B T}\right]$$

$$-E_c - \cancel{k_B T} + E_F = -E_F + E_v - \cancel{k_B T}$$

$$2E_F = E_c + E_v \Rightarrow E_F = \frac{E_c + E_v}{2}$$

so the Fermi energy lies at mid-gap.

3.39:

so the Fermi energy lies at mid-gap.

3.39:

Here we want to use

$$\frac{f_B(E_1) - f_F(E_1)}{f_F(E_1)} = .01$$

or

$$\frac{\frac{1}{\exp\left[\frac{E_1 - E_F}{k_B T}\right]} - \frac{1}{1 + \exp\left[\frac{E_1 - E_F}{k_B T}\right]}}{\frac{1}{1 + \exp\left[\frac{E_1 - E_F}{k_B T}\right]}} = \frac{1 + \exp\left[\frac{E_1 - E_F}{k_B T}\right]}{\exp\left[\frac{E_1 - E_F}{k_B T}\right]} - 1 = .01$$

$$\Rightarrow \frac{1}{\exp\left[\frac{E_1 - E_F}{k_B T}\right]} = .01 \Rightarrow \exp\left[\frac{E_1 - E_F}{k_B T}\right] = 100$$

Then, taking the natural log,

$$E_1 - E_F = k_B T \ln(100) \Rightarrow E_1 = E_F + 4.61 k_B T$$

b) At this energy, the probability is

$$f(E_1) = \frac{1}{1 + e^{4.61}} \approx .0099 \approx .01$$

3.40:

$$E_F = 5.5 \text{ eV at } 300 \text{ K}$$

$$a) f(E = E_F + .3 \text{ eV}) = \frac{1}{1 + \exp\left[\frac{.3 \text{ eV}}{.0259 \text{ eV}}\right]} = 9.32 \times 10^{-6}$$

$$b) \text{ Increase to } T = 700 \text{ K}, k_B T = 1.38 \times 10^{-23} \frac{\text{J}}{\text{K}} \times 700 \text{ K} = 9.66 \times 10^{-21} \text{ J} \cdot \frac{1 \text{ eV}}{1.602 \times 10^{-19} \text{ J}}$$

$$\Rightarrow f(E = E_F + .3 \text{ eV}) = \frac{1}{1 + \exp\left[\frac{.3 \text{ eV}}{.0603 \text{ eV}}\right]} = 6.86 \times 10^{-3}$$

$$c) f(E = E_F - .25 \text{ eV}) = .02$$

We can apply the Boltzmann approximation here

$$\exp\left[\frac{-.25 \text{ eV}}{k_B T}\right] = .02 \Rightarrow -.25 \text{ eV} = k_B T \ln(.02)$$

$$\Rightarrow T = \frac{-.25 \text{ eV}}{k_B \ln(.02)} = 742 \text{ K}$$

$$3.45: a) E_{g, \text{Si}} = 1.12 \text{ eV}, E_{g, \text{Ge}} = .66 \text{ eV}, E_{g, \text{GaAs}} = 1.42 \text{ eV}$$

$$E_c - E_F = \frac{E_g}{2}$$

$$\text{Si: } f = \frac{1}{1 + \exp\left[\frac{E_g}{2k_B T}\right]} = 4.07 \times 10^{-10}$$

$$\text{Si: } f = \frac{1}{1 + \exp\left[\frac{E_g}{2k_B T}\right]} = 4.07 \times 10^{-10}$$

$$\text{Ge: } f = 2.93 \times 10^{-6}$$

$$\text{GaAs: } f = 1.24 \times 10^{-12}$$

b) The probabilities are the same

3.4b:

$$a) f_D(E = E_F + 0.6 \text{ eV}) = \exp\left[\frac{-0.6 \text{ eV}}{k_B T}\right] = 1 \times 10^{-8}$$

$$\Rightarrow \frac{-0.6 \text{ eV}}{k_B \ln(1 \times 10^{-8})} = \boxed{T = 378 \text{ K}}$$

$$b) \frac{-0.6 \text{ eV}}{k_B \ln(1 \times 10^{-6})} = \boxed{T = 504 \text{ K}}$$