

Mueller Characterization for Partial Polarimetry

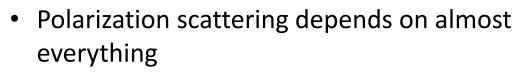
Quinn Jarecki, Doctoral Defense 5/3/24 Advisor: Meredith Kupinski, Polarization Lab



Introduction

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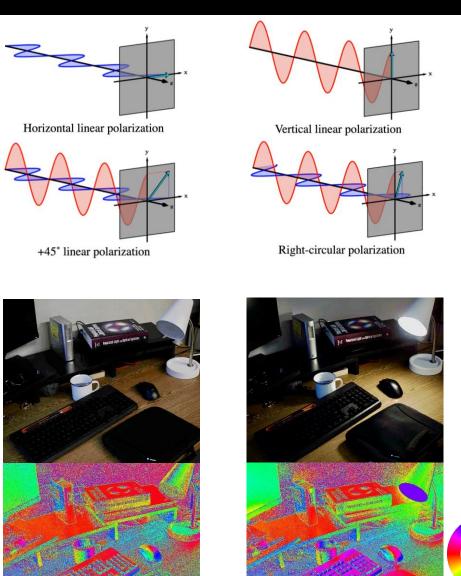




- Geometry, texture, material, etc.

 With polarization measurements, we can capture information about the many dependencies

• Interpreting polarization measurements to extract desired information can be complex



https://mitsuba.readthedocs.io/en/latest/src/key_topics/polarization.html#stokes-vectors

Angle of linear polarization images



Mueller Calculus



- Everyday environments feature polychromatic, incoherent, and/or partially polarized light is described by Stokes vectors
- Transformation of polarization by lightmatter interaction is described by Mueller matrix (MM)
- MM transforms polarization via diattenuation, retardance, and/or depolarization
 - MM properties relate measurements to properties of objects such as texture, albedo, and geometry

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 \mathbf{M}



Mueller Characterization

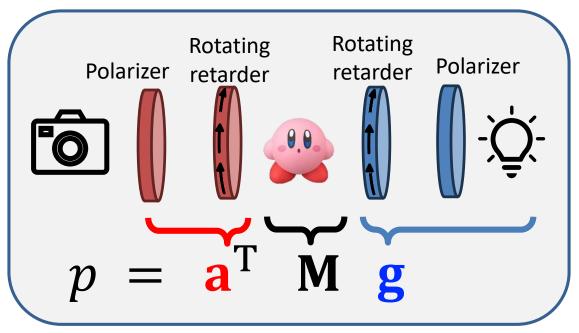


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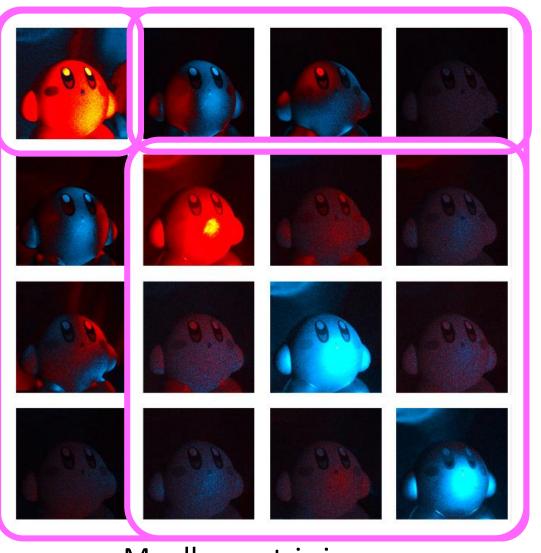
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Polarimeter architecture



Mueller matrix properties:

- Average reflectance: unpolarized-reflectance
- Diattenuation: polarization-dependent reflectance
- Retardance: polarization-dependent phase
- Depolarization: randomization of polarization



Mueller matrix image

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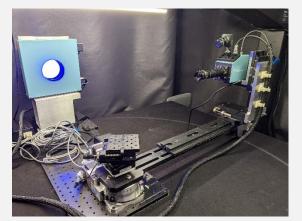
Simplifying Polarization Imaging



- *A priori* knowledge about polarization phenomena in an application enables simplification
 - Simplified interpretation of data
 - Simplified measurement requirements

- Contributions of this doctoral work represent different efforts to reduce some of the complexities of polarimetric imaging
 - Simplifications make insights from polarimetric information may more easily accessible in variety of applications

RGB950: Mueller polarimeter



Complex, complete polarimetry





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Major Research Contributions



Optimizing Polariscopic Imaging for the Human Eye

• Optimization of polarization generator and analyzer states for maximizing contrast in polariscopic images of birefringent targets

Jarecki, Q., & Kupinski, M. (2024) Optimizing near-infrared polariscopic imaging for the living human eye. Optics Express, 32(10). https://doi.org/10.1364/OE.520657

Efficient pBRDF Acquisition and Representation

- A method for efficiently acquiring and representing empirical MM data
- Requires 37% fewer goniometric measurements and stores 3 times fewer MMs per wavelength than the state-of-the-art

Jarecki, Q., & Kupinski, M. Sampling Optimization and Compact Tabulation of Isotropic Polarized Scattering. (in preparation)

Mixed Polarization Scattering Models

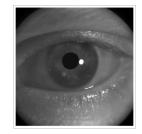
- An original polarized scattering model which both decouples depolarization and mixes firstsurface with diffuse polarized reflection as a function of scattering geometry,
- Average diattenuation orientation error of 10.9° and magnitude error of 8.3% when compared to measured data

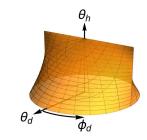
Jarecki, Q., & Kupinski, M. (2024). Polarized representation for depolarization-dominant materials. Optics Express, 32(5). https://doi.org/10.1364/OE.512146

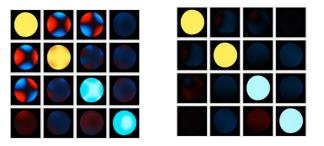
Depolarization Measurement and Mueller Extrapolation

- Partial polarimetric method for estimating depolarization magnitude and extrapolating MM
- Average error in depolarization magnitude of 7.6% and simulated polarimetric measurement error of 6.0% despite a 10× reduction in number of measurements

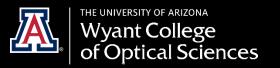
Jarecki, Q., & Kupinski, M. (2022). Underdetermined polarimetric measurements for Mueller extrapolations. Optical Engineering, 61(12). https://doi.org/10.1117/1.0E.61.12.123104







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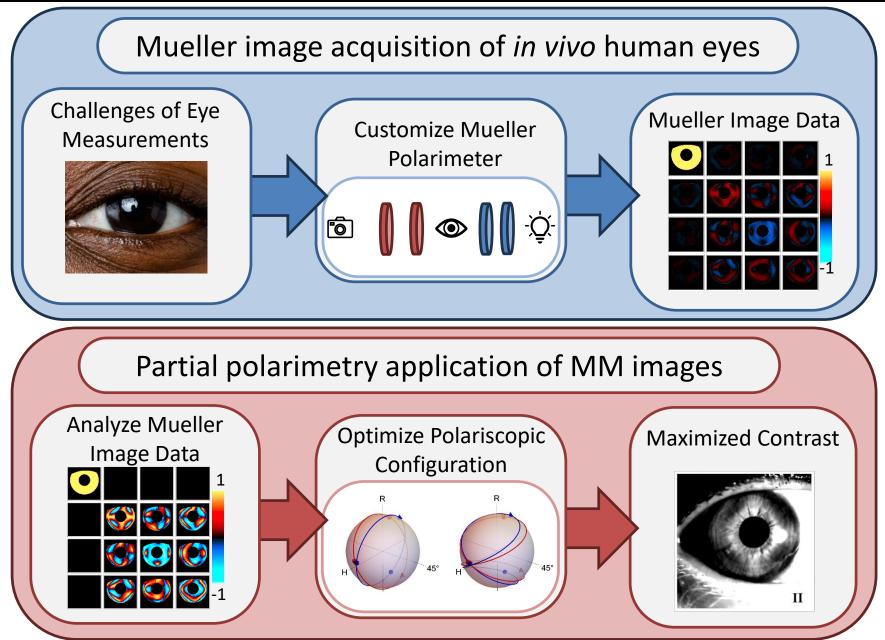




Optimizing Polariscopic Imaging for the Human Eye Chapter 3





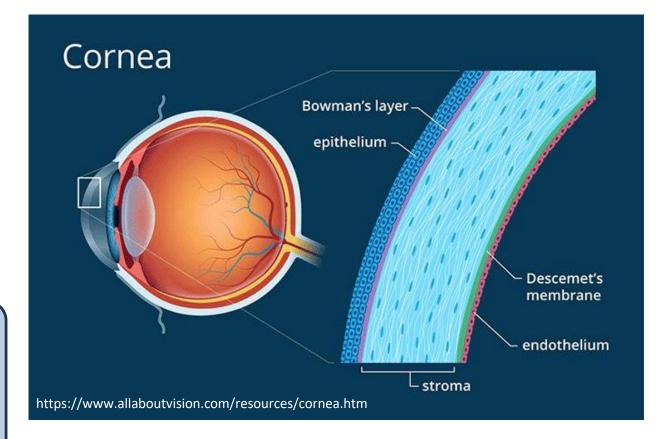




Why the Eye?



- Corneal birefringence
 - Anisotropic collagen fibril structure in stroma
 - Spatially-varying retardance pattern
- Potential applications
 - Diagnostic tool for structural corneal diseases (dystrophies)
 - Image segmentation for eye tracking
- Challenges
 - Mueller polarimetry requires >16 images, duration on the order of 10s of seconds
 - Random, unconscious eye movements results in motion artifacts



Germann, James A., et al. "Quantization of Collagen Organization in the Stroma with a New Order Coefficient." *Biomedical Optics Express*, <u>https://doi.org/10.1364/BOE.9.000173</u>.

Stanworth, A., and E. J. Naylor. "The Polarization Optics of the Isolated Cornea." *British Journal of Ophthalmology*, <u>https://doi.org/10.1136/bjo.34.4.201</u>.

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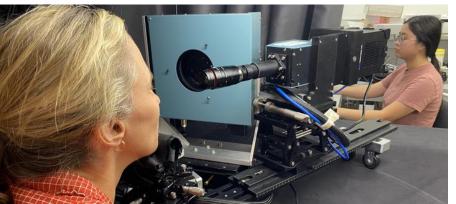




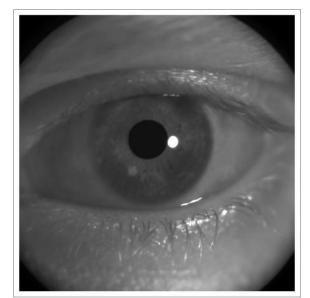
- Modifications to full MM polarimeter for eye measurements
 - NIR wavelength operation
 - Bandpass filter + overhead lights to contract pupil
 - Reduced number of measurements (40->25)
 - Exposure time (total time of 15 seconds)
 - Image registration post processing
 - Repeated attempts for blinking

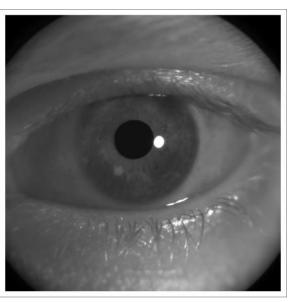
López-Téllez, Juan Manuel, et al. "Broadband Extended Source Imaging Mueller-Matrix Polarimeter." *Optics Letters* <u>https://doi.org/10.1364/OL.44.001544</u>.

Di Cecilia, Luca, et al. "Spectral Repeatability of a Hyperspectral System for Human Iris Imaging." 2018 IEEE 4th International Forum on Research and Technology for Society and Industry (RTSI), https://doi.org/10.1109/RTSI.2018.8548513.



Eye measurement in RGB950







Registration applied

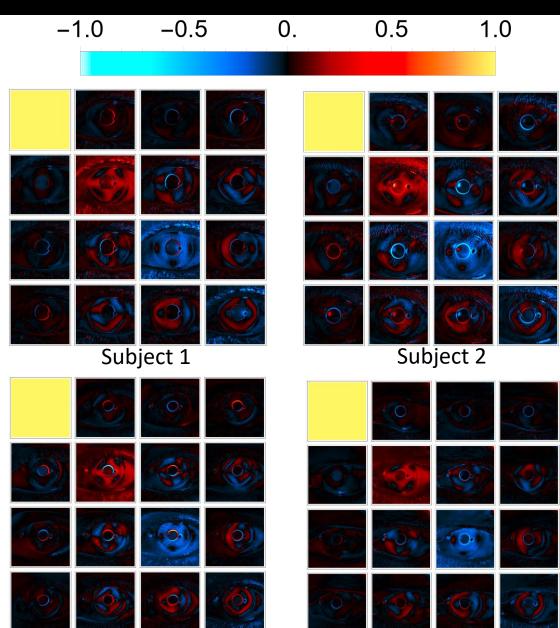


MM Eye Images

Subject 3



- Dataset of 20 eye MM images is publicly available
- Apparent upon visual inspection:
 - Diattenuation magnitude is small
 - Depolarization is present
 - Retardance varies spatially



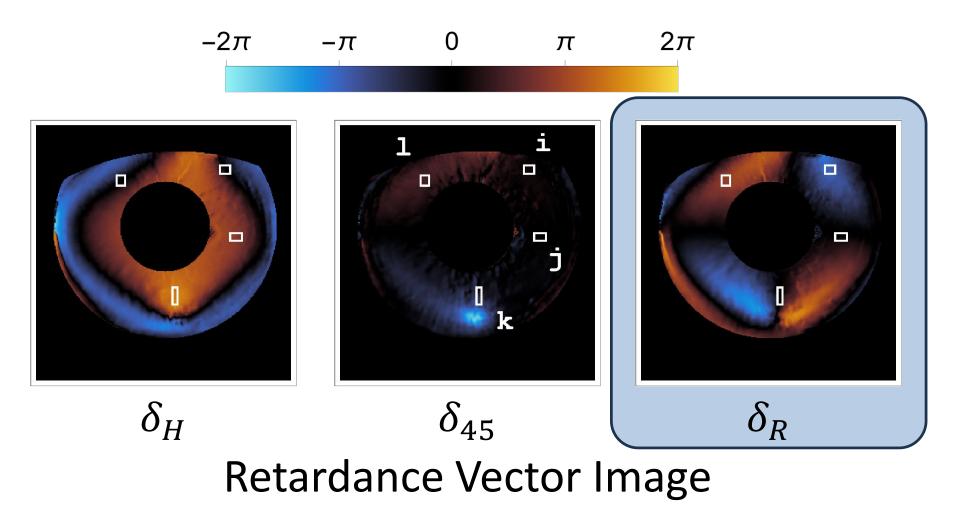
Subject 4

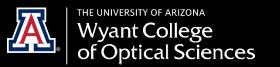
Tai, Adeline, et al. "Near-infrared human eye Mueller matrix images," <u>https://doi.org/10.25422/azu.data.24722358</u>



Elliptical Retardance of Cornea

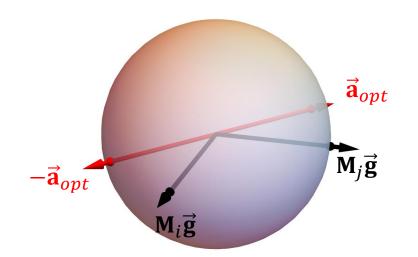




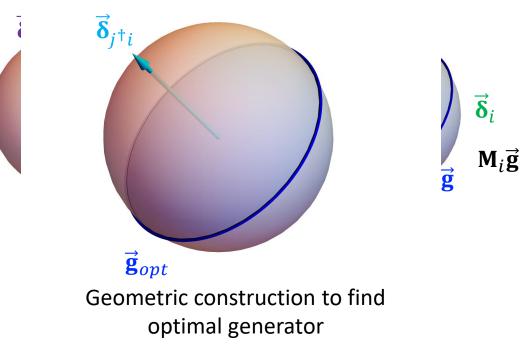


Partial Polarimetry Optimization with Poincaré Sphere

 $\underset{\vec{a},\vec{g}}{\operatorname{argmax}} \{ |\vec{a}M_j\vec{g} - \vec{a}M_i\vec{g}| \}$



Geometric construction to find optimal analyzer

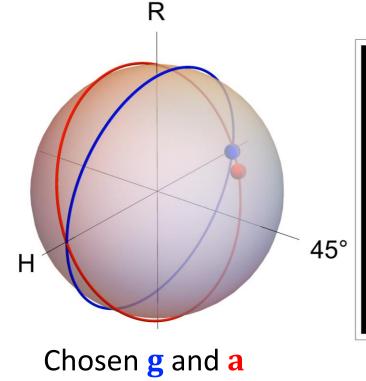


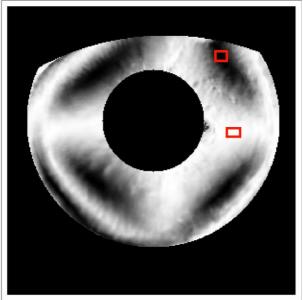




• There is a family of optimal solutions

- Different polariscopic pairs produce different brightness patterns
 - Expected pattern predicted based on MM
- We need to find nearly-optimal pairs available in existing hardware





Expected Pattern in Polariscopic Image p

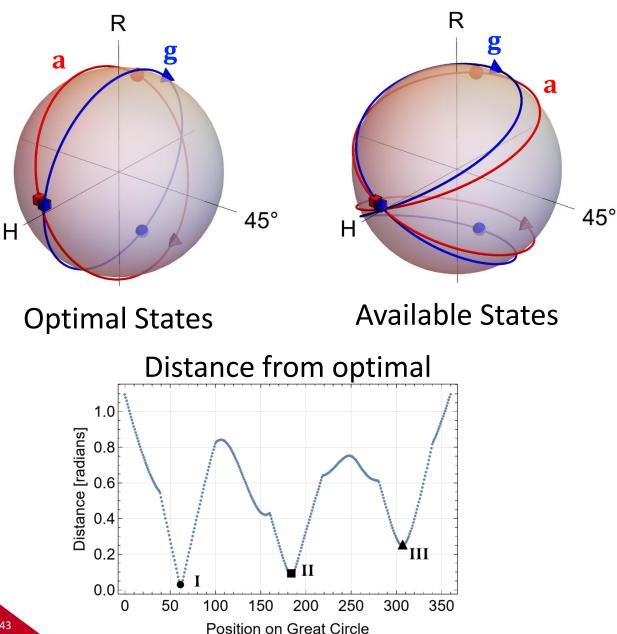
 $p = \mathbf{a}^{\mathrm{T}} \mathbf{M} \mathbf{g}$



Choosing Available Pairs



- Need to select optimal **g** and **a** pair which has nearby available states
- Numerically determine minimum distance metric for various pairs
- Selected three pairs, expect three slightly different modulation patterns



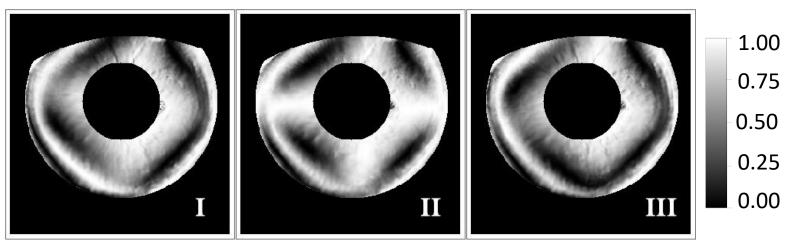
Jarecki, Q., & Kupinski, M. (2024) Optimizing near-infrared polariscopic imaging for the living human eye. *Optics Express*, *32*(*10*). <u>https://doi.org/10.1364/OE.520657</u>



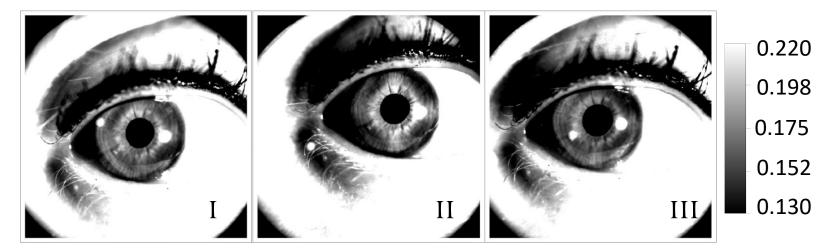
Partial Polarimetric Results



- For polariscopic pairs I, II, and III, spatial pattern is predicted using original MM characterization
- Patterns show up in partial polarimetric data as expected
- Video rate captures enabled by fixed analyzer and generator



Expected patterns in polariscopic images



Jarecki, Q., & Kupinski, M. (2024) Optimizing near-infrared polariscopic imaging for the living human eye. *Optics Express*, *32*(10). <u>https://doi.org/10.1364/OE.520657</u>

Real-time polariscopic movies!

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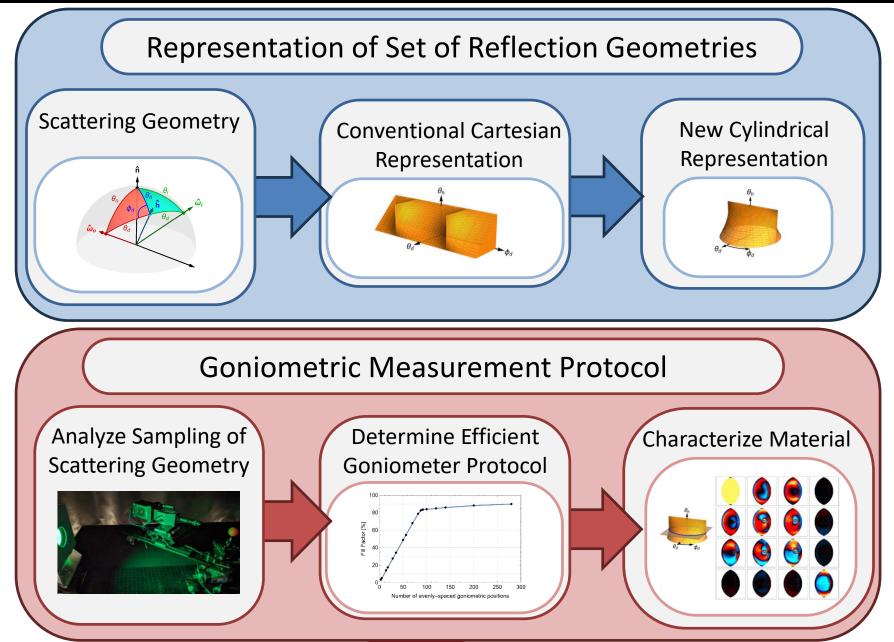




Efficient pBRDF Acquisition and Representation Chapter 4





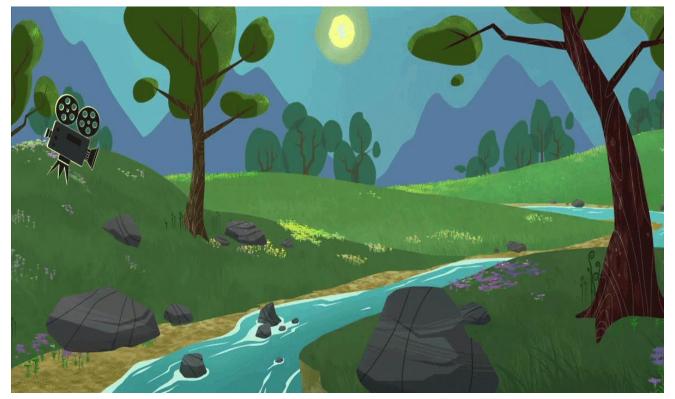




What is a pBRDF?



- Polarized bidirectional reflectance distribution function
 - MM-valued function of input and output ray geometry
- Utilized in many computer vision and physicsbased rendering applications as well as remote sensing
- Empirical models are more realistic and can aid the development and validation of analytic models



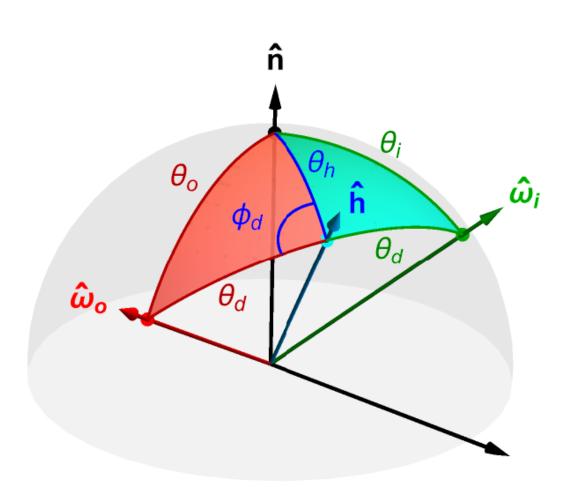
Walt Disney Animation Studios. (2016, Aug 9). Disney's Practical Guide to Path Tracing. YouTube.





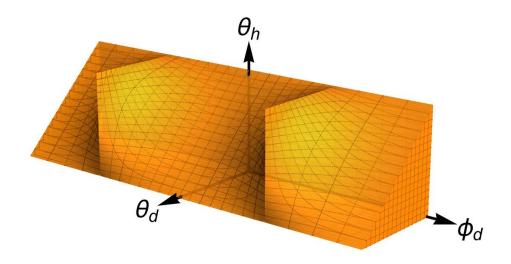
- Scalar and polarized BRDFs commonly parameterized with Rusinkiewicz angles
 - Better separability for analytic models
 - Reduces dimensionality for isotropic surfaces
- θ_h determines "specularity"
- θ_d similar to angle of incidence
- ϕ_d determines "out-of-planeness"

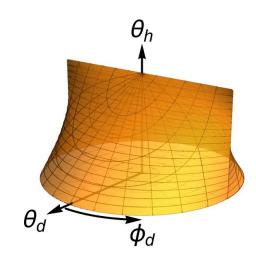
• Empirical pBRDF consists of measured MM data at discrete set of $(\theta_h, \theta_d, \phi_d)$











$(\theta_d, \phi_d, \theta_h)$ as (x, y, z) Cartesian coordinates

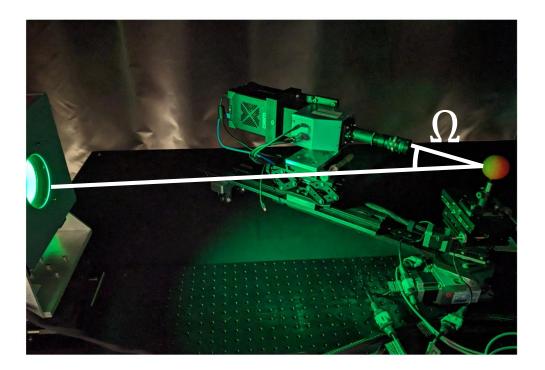
 $(\theta_d, \phi_d, \theta_h)$ as (ρ, ϕ, z) cylindrical coordinates

	Cartesian	Cylindrical
Volume of reflection region	9.567	5.686
Volume of convex hull	14.217	6.633
Discrete data points	2,989,441	1,086,904



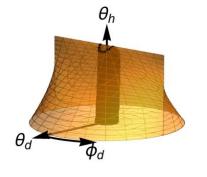


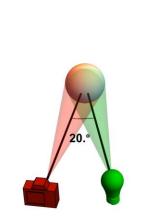
- pBRDF acquisition = MM measurement at many, many, many scattering geometries
- What target shape to measure?
- What set of camera angles Ω should be used to most efficiently sample scattering geometries?



Geometries sampled using a sphere

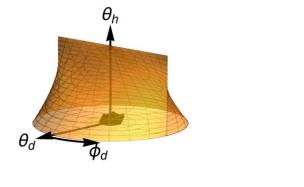
Angle between camera and source: 20. $^{\circ}$

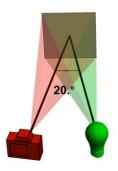




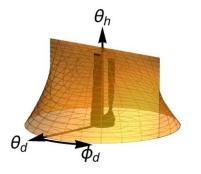
Geometries sampled using a plane

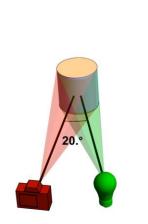
Angle between camera and source: 20. $^{\circ}$



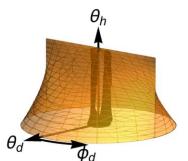


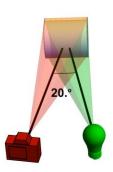
Angle between camera and source: 20. $^{\circ}$





Angle between camera and source: 20. $^{\circ}$



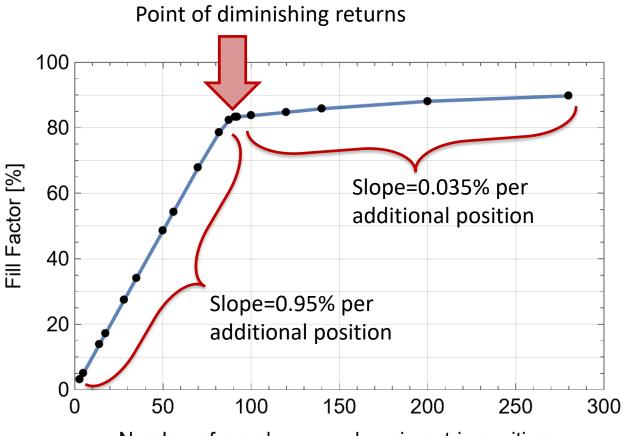




pBRDF Acquisition Protocol



- For our setup, diminishing returns at around 92 positions
 - State-of-the-art pBRDF database used
 147 positions
- Corresponds to 82% of scattering geometries measured
 - 3.5% geometries inaccessible due to camera/source collision

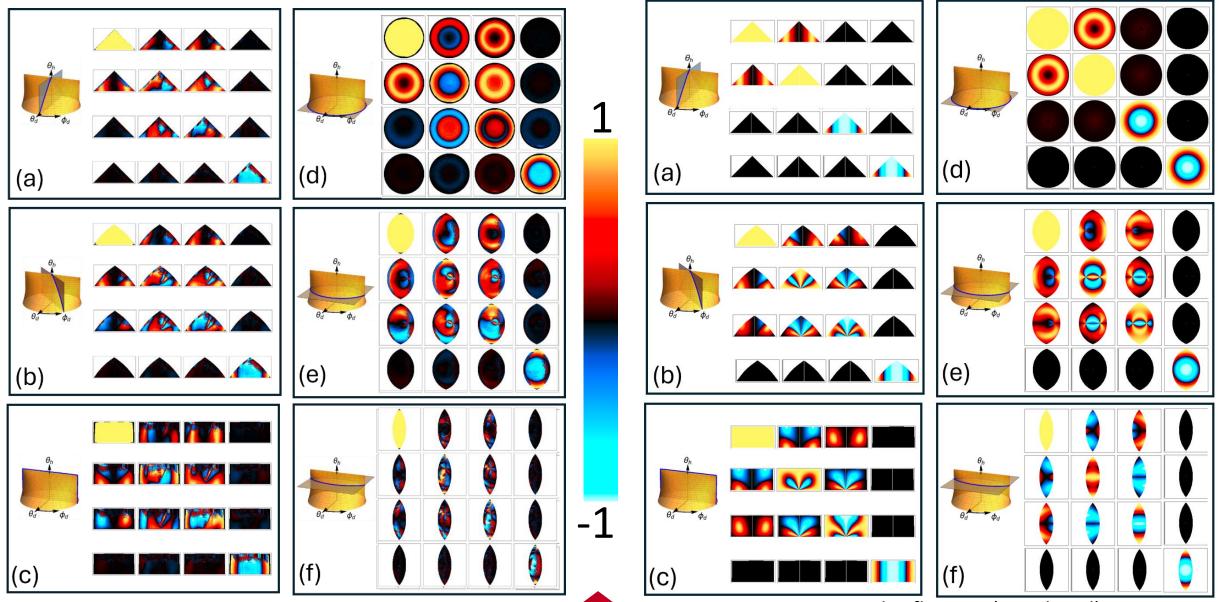


Number of evenly-spaced goniometric positions



Empirical pBRDF Cross-sections





Red sphere under 451 nm (low albedo)

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Fresnel reflection (simulated)



KAIST	UA
147	92
2,989,441	1,086,904
304 MB	110 MB

Goniometer positions	147	92
MMs per wavelength	2,989,441	1,086,904
File size per wavelength	304 MB	110 MB
Non-reflection geometries?	Included	Excluded
Redundant geometries?	Included	Excluded
Usable directly in rendering engine?	Yes	No (not yet)



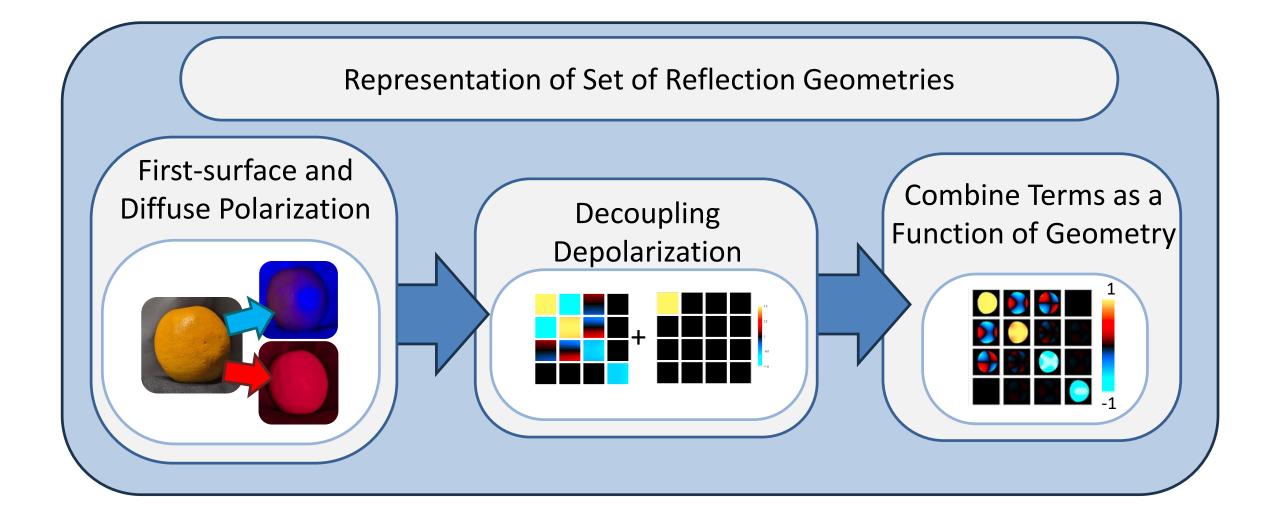




Mixed Polarization **Scattering Models** Chapter 5





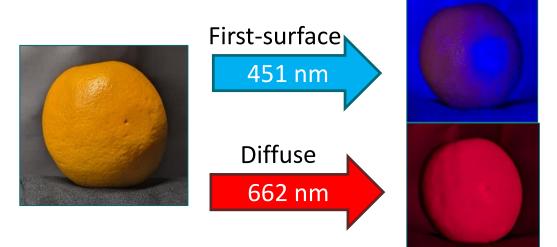


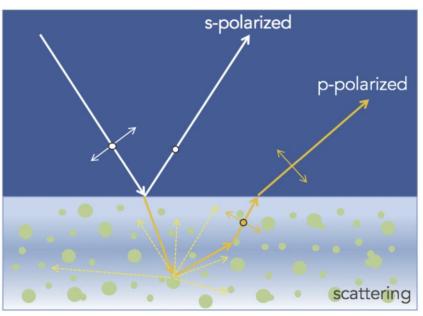


Analytic pBRDF Models



- Analytic pBRDF models are generally much more convenient for practical applications
- Analytic models frequently contain:
 - "Specular" component that describes light scattered from first surface of material
 - Diffuse component attributed to light scattered into then out of material
 - Depolarizer term
- Realistic models need to combine these as a function of scattering geometry
 - Tricky because summation of MMs can introduce depolarization in complicated ways





First-surface vs diffuse scattering



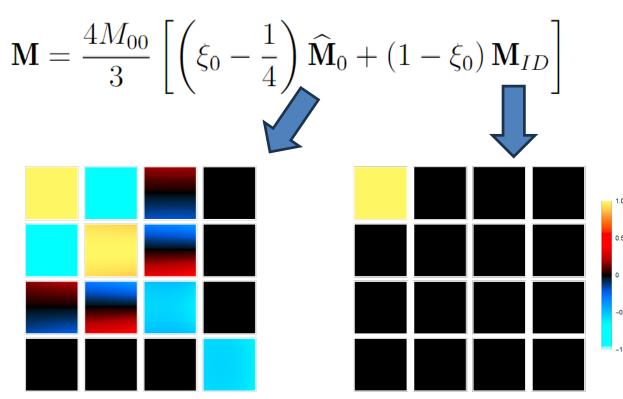


Triple-degenerate MM Model

Non-depolarizing term



Triple-degenerate MM:



Ideal depolarizer

- Strongly depolarizing MMs are wellapproximated by first-order depolarization model
- Degrees of freedom reduced from sixteen to eight:
 - one for throughput, M_{00}
 - one for depolarization, ξ_0
 - six for dominant non-depolarizing process \widehat{M}_0 which describes diattenuation and retardance









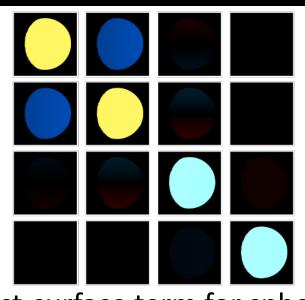
- First-surface modeled as Fresnel reflection from subresolution microfacet
 - Diattenuation magnitude depends only on θ_d

$$\mathbf{F}_{n_{\lambda}}(\widehat{\boldsymbol{\omega}}_{i},\widehat{\boldsymbol{\omega}}_{o}) = \begin{bmatrix} \widehat{\mathbf{x}}_{PSA} \\ \widehat{\mathbf{y}}_{SA} \end{bmatrix} \begin{bmatrix} \widehat{\mathbf{s}}_{o} \\ \widehat{\mathbf{p}}_{o} \end{bmatrix}^{\mathsf{T}} \begin{bmatrix} r_{s}(n_{\lambda},\theta_{d}) & 0 \\ 0 & r_{p}(n_{\lambda},\theta_{d}) \end{bmatrix} \begin{bmatrix} \widehat{\mathbf{s}}_{i} \\ \widehat{\mathbf{p}}_{i} \end{bmatrix} \begin{bmatrix} \widehat{\mathbf{x}}_{PSG} \\ \widehat{\mathbf{y}}_{PSG} \end{bmatrix}^{\mathsf{T}}.$$

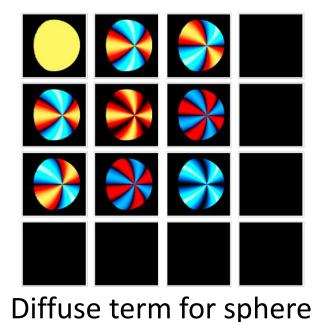
- Diffuse modeled as polarizer with transmission axis oriented at ϕ_d

$$\mathbf{S}(\widehat{\boldsymbol{\omega}}_i, \widehat{\boldsymbol{\omega}}_o, \widehat{\mathbf{n}}) = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \mathbf{R}(\phi_d) \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \mathbf{R}(-\phi_d),$$





First-surface term for sphere





Mixed Polarization Model



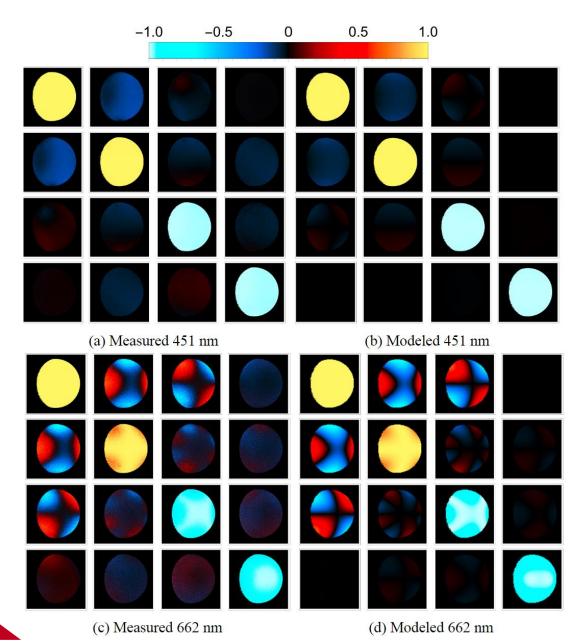
Combine terms as Jones matrices

 Keeps depolarization decoupled from dominant process

• Relative contribution to normalized MJM is a function of scattering geometry:

$$\mathbf{J}(\widehat{\boldsymbol{\omega}}_i, \widehat{\boldsymbol{\omega}}_o, \widehat{\mathbf{n}} | n_\lambda, a_\lambda, b_\lambda) = \mathbf{F}_{n_\lambda} + a_\lambda \sin^{b_\lambda}(\theta_h) \mathbf{S}(\widehat{\mathbf{n}}).$$

Material constants	451 nm	662 nm
$n_{\lambda} + i\kappa_{\lambda}$	1.20 + 0.25i	1.30 + 0.08i
a_{λ}	0.03	0.17
b_{λ}	2.5	2.0





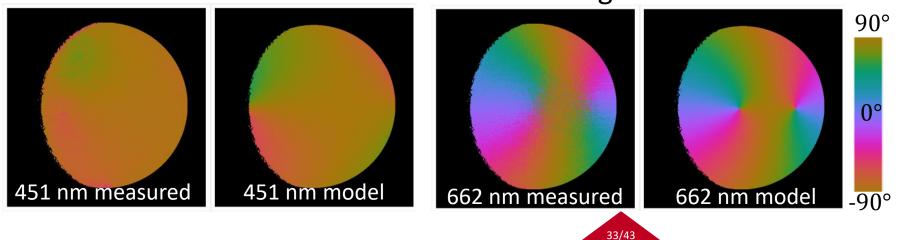
Diattenuation Comparison

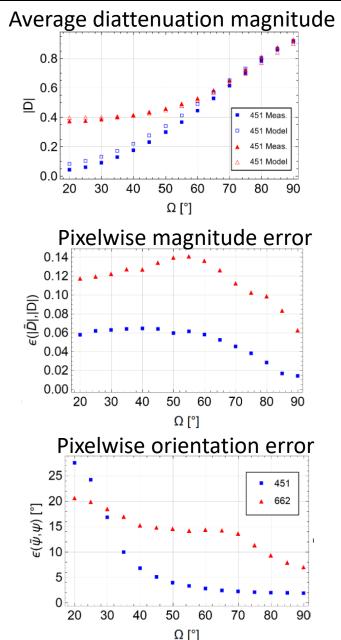


Diattenuation orientation images show match in spatial trend ٠

- Pixel-wise errors low for 451 nm which has less spatial ٠ variation, higher errors for 662 nm
- Over wavelength and geometry, average diattenuation ۲ orientation error of 10.9° and magnitude error of 8.3%











Depolarization Measurement and Mueller Extrapolation Chapter 6

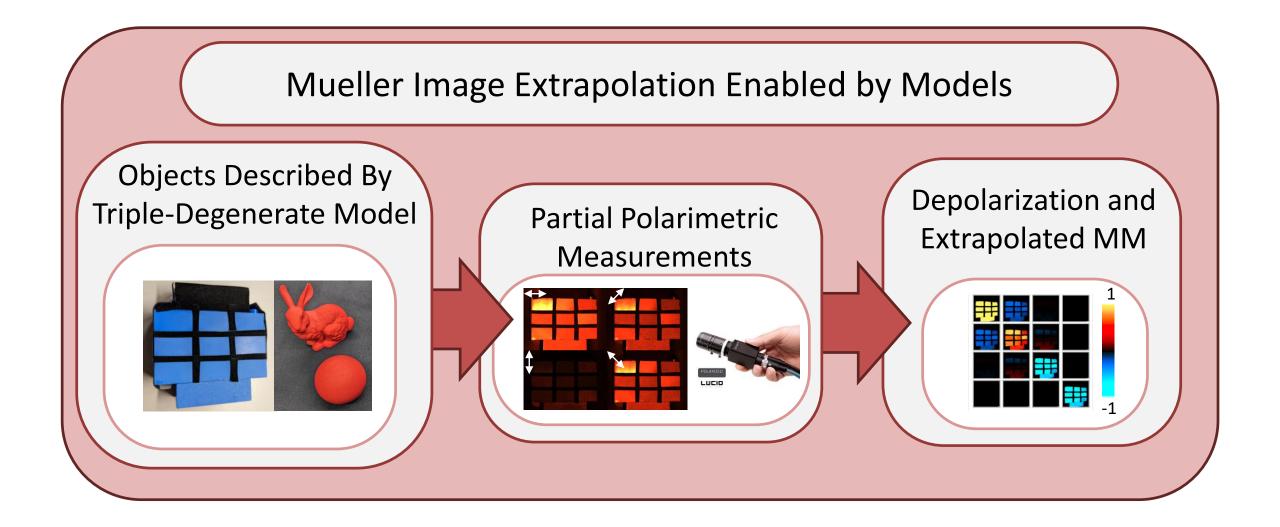


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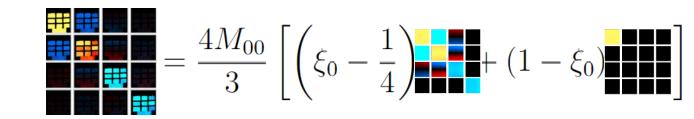






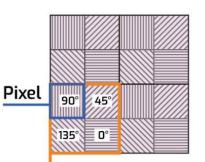


• If \widehat{m}_0 is known, TD model has two remaining degrees of freedom



- pBRDF model from previous section enables estimation of depolarization magnitude from as few as two measurements
- With ξ_0 estimate, simply plug back into TD model to extrapolate MM





Calculation Unit 4 different wire-grid directions

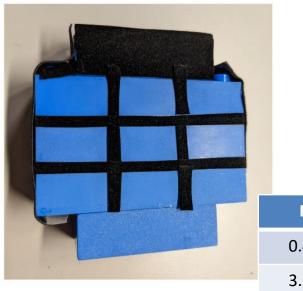




Two Depolarizing Samples



- Roughened LEGO bricks
 - Ensemble of samples with same material, different textures
 - Depolarization magnitude expected to trend with roughness



Roughness Averages [μm]		
0.49	0.56	3.35
3.55	2.62	0.35
1.68	1.26	6.32

- 3D printed sphere and Stanford bunny
 - Pair of samples with same material, different shapes
 - Same pBRDF should apply but different levels of complexity in geometry

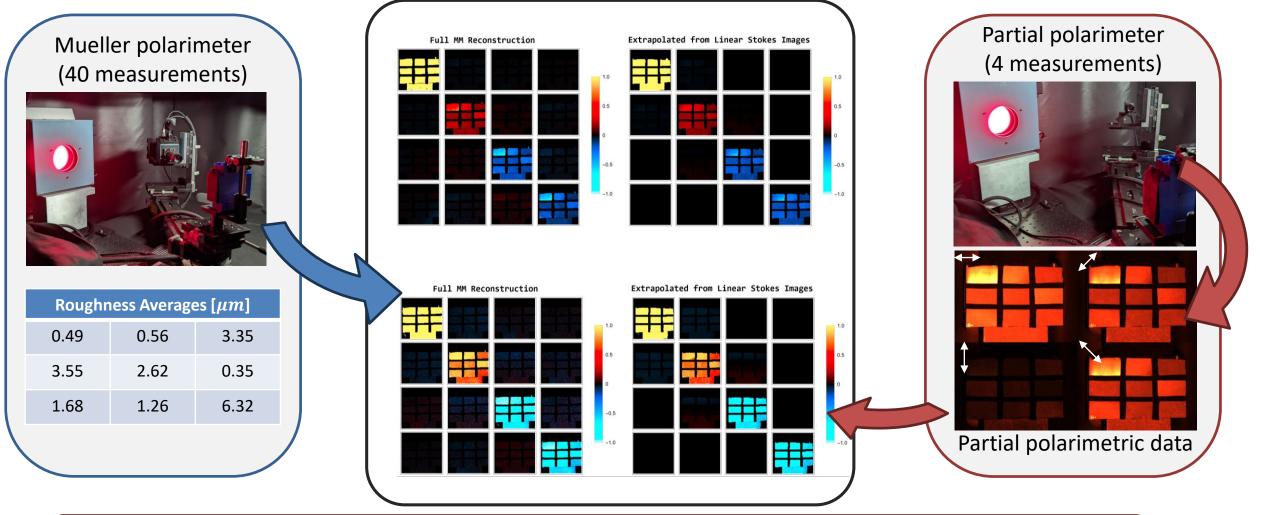




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Roughened LEGOS with First-Surface Model





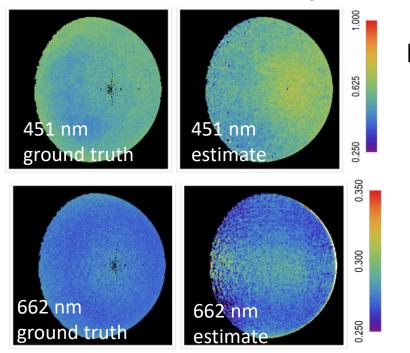
- MM image extrapolated from 10x fewer measurements
- Extrapolated MMs predict subsequent polarimetric measurements with average of 6% error

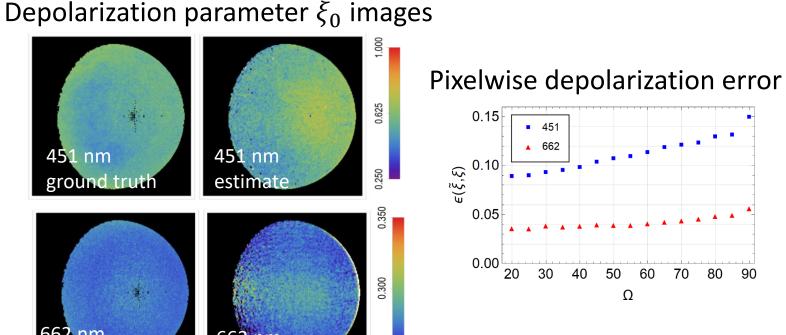




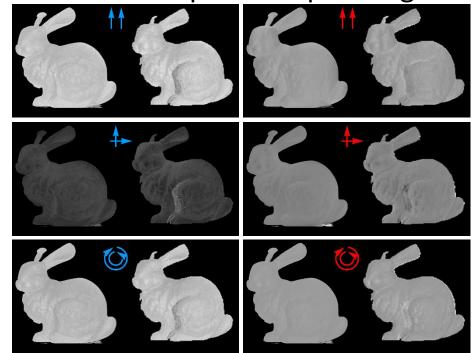
• Average ξ_0 error of 7.6% for spheres with 4 measurements versus 40 measurements

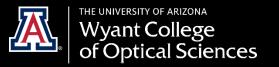
• Extrapolated MM images for bunnies used to predict polariscopic images





Predicted polariscopic images







Summary and Conclusion Chapter 7





- Contributions of this doctoral research are:
 - 1. Optimization of polarization generator and analyzer states for maximizing contrast in polariscopic images of birefringent targets which is demonstrated on *in vivo* human eyes,
 - 2. A method for efficiently acquiring and representing empirical MM data as a function of scattering geometry which requires 37% fewer goniometric measurements and stores 3 times fewer MMs per wavelength than the state-of-the-art,
 - 3. An original polarized scattering model which both decouples depolarization and mixes first-surface with diffuse polarized reflection as a function of scattering geometry, with an average diattenuation orientation error of 10.9° and magnitude error of 8.3% when compared to measured data, and
 - 4. A partial polarimetric method for estimating depolarization magnitude and extrapolating MM, which resulted in an average error in depolarization magnitude of 7.6% and simulated polarimetric measurement error of 6.0% despite a 10× reduction in number of measurements.





- As polarimetric sensing technologies become more mature and widely accessible, there will be an abundance of new potential applications for polarization imaging
- Full MM polarimetry may be required to realize some applications, others may only require partial polarimetric information
- Assessment of which partial polarimetric technologies and strategies are most useful for particular applications depend on understanding of the polarization phenomena
- Contributions of this dissertation represent different efforts to reduce some complexities of polarimetric imaging
 - Through these simplifications, insights from polarimetric information may be more easily accessed in variety of applications





Thanks!!

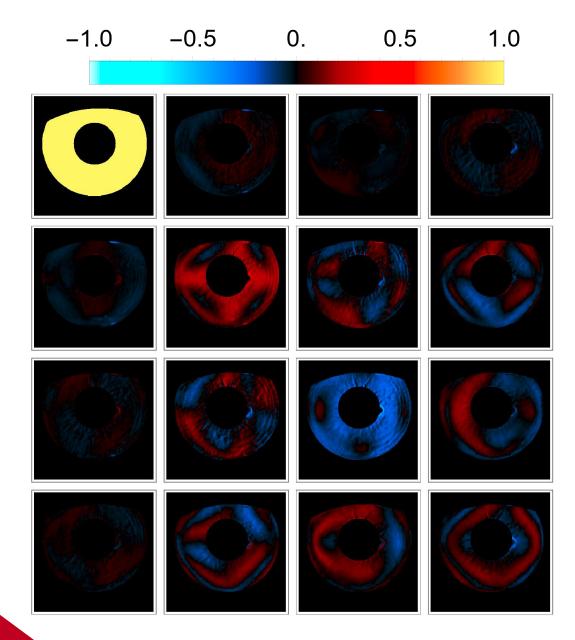


Analyzing Mueller Image Data



Levels of Approximation

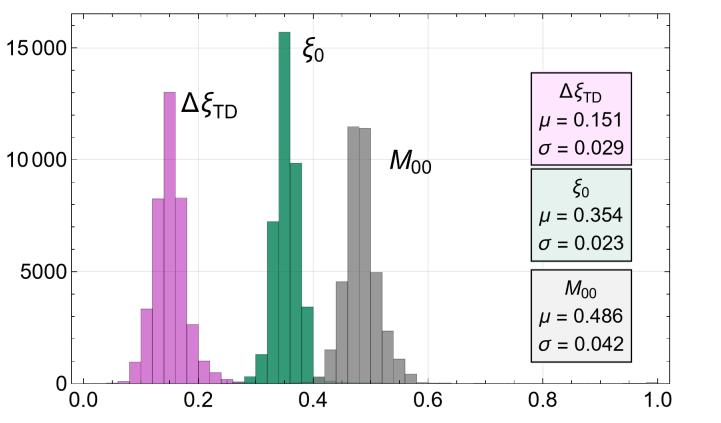
1. Full Mueller matrix



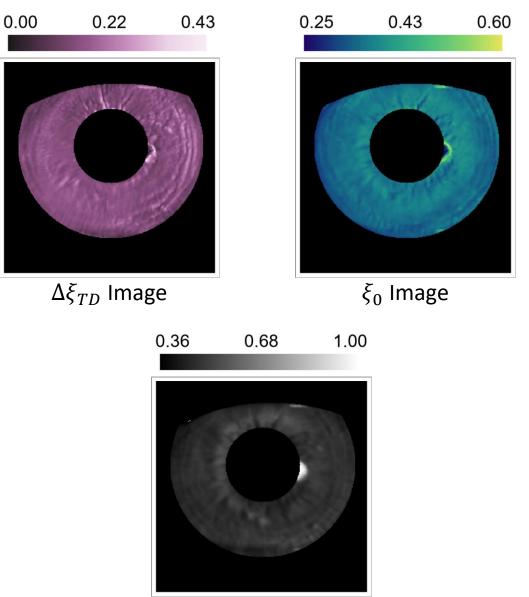


Assumptions of Uniform Properties





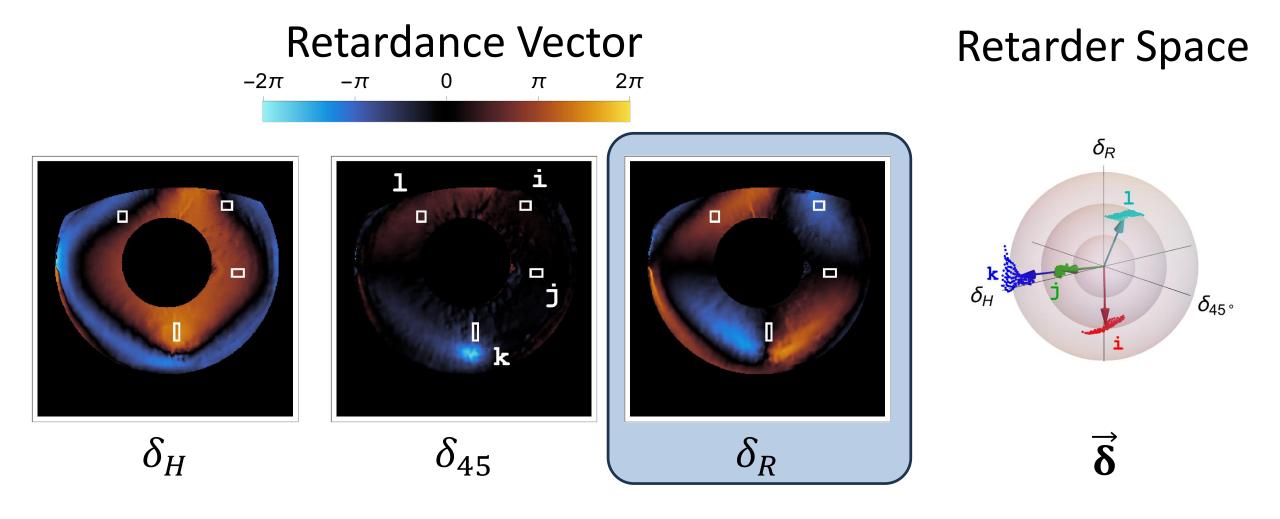
- $\Delta \xi_{TD}$ indicates appropriateness of 1st order depolarization approximation
- ξ_0 depolarization magnitude parameter
- *M*₀₀ average reflectance





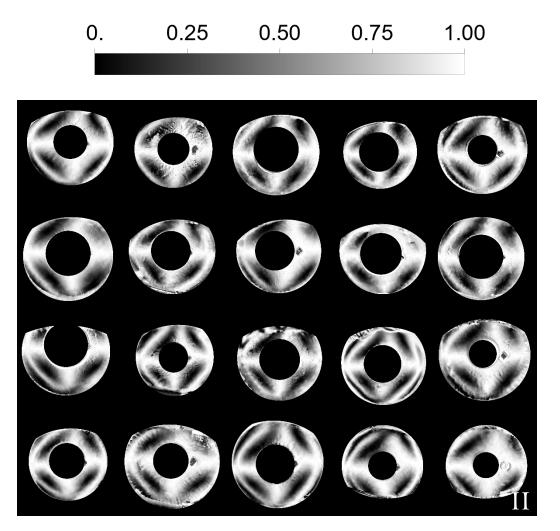
Elliptical Retardance of Cornea











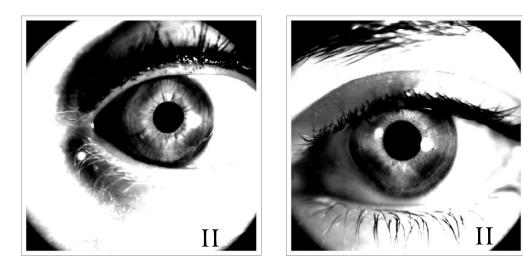
Expected patterns for 20 individuals

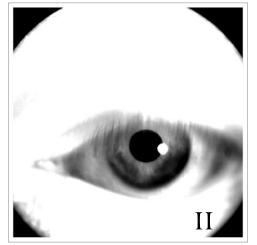




Eye Database







- Special thanks to Adeline Tai for performing the MM measurements
- Dataset of eye MM images is publicly available:

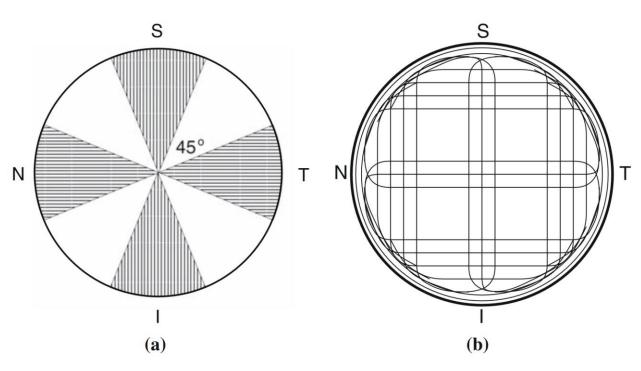
https://doi.org/10.25422/azu.data.24722358







- Stroma layer of cornea consists of collagen fibrils
- Fibrils give cornea anisotropic structure which produces birefringence
- Cascade of linear retarders with varying fast axes can produce elliptical retardance
 - One potential explanation for observed circular retardance



Newton, & Meek, K. M. (1998). The Integration of the Corneal and Limbal Fibrils in the Human Eye. *Biophysical Journal*, *75*(5), 2508–2512. https://doi.org/10.1016/S0006-3495(98)77695-7



Rusinkiewicz Angles



23° 27.5° 32° 0° 45° 90° -180° 0° 180° 55.° 55.° 55.° 55.° θ_h ϕ_d θ_d 50

 Images of Rusinkiewicz angles captured for different shapes

• Sphere captures most unique geometries, plane captures least

 Precompute captured Rusinkiewicz angles for a particular goniometer sequence, compare sequences





• KAIST:

- 147 goniometer positions per wavelength
- 912 MB for 5 wavelengths
- 2,989,441 MMs per wavelength
- Saved as multidimensional table where table index corresponds to $(\theta_h, \theta_d, \phi_d, \lambda)$
- Includes non-reflection geometries
- Includes redundant geometries
- Can be used directly in rendering engine

• UA:

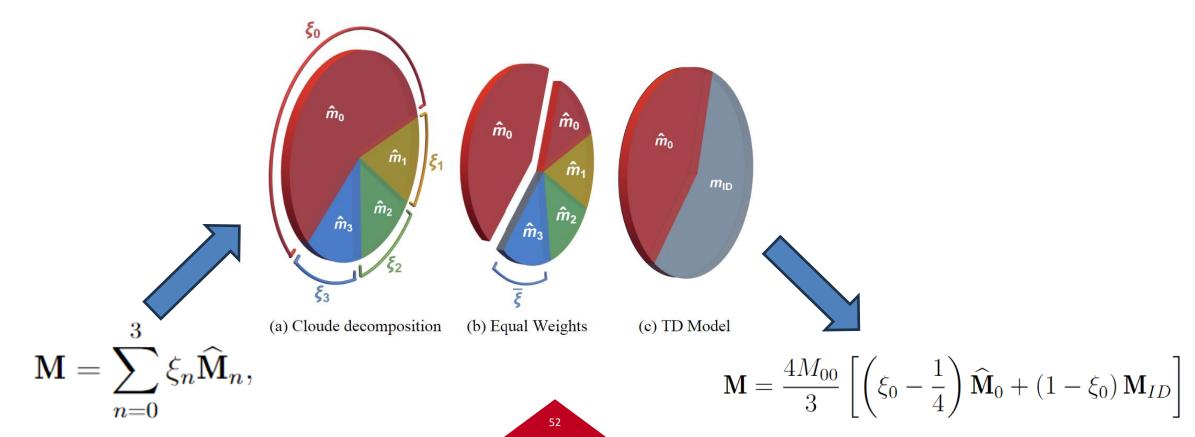
- 92 goniometer positions per wavelength
- 331 MB for 5 wavelengths (we will only have 3)
- 1,086,904 MMs per wavelength
- Saved as single list of MMs, related to Rusinkiewicz angles by angle key
- Includes only reflection geometries
- Includes only unique geometries
- Cannot be used directly in rendering engine (yet)







- Useful special case of Cloude spectral decomposition has "triple-degenerate" (TD) eigenspectrum
- Convex sum of non-depolarizing matrix and ideal depolarizer matrix





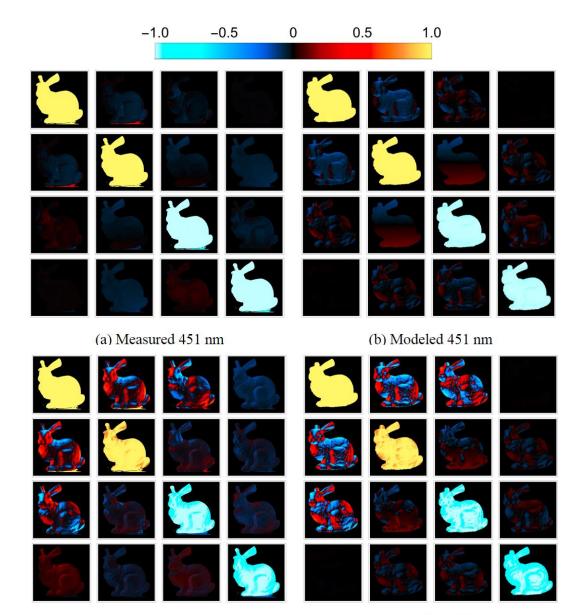
Mixed Polarization Model



pBRDF can be applied to more complex geometries

 Shadow and masking (adjacency effects) of microfacet distribution are absorbed into other model terms

Model only describes polarimetry, not radiometry (MM is normalized)



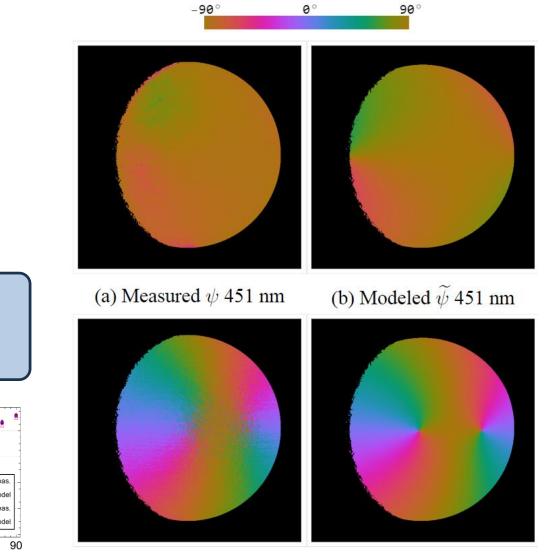
(c) Measured 662 nm

53

(d) Modeled 662 nm



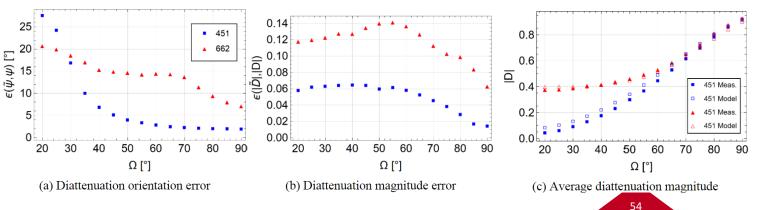




(d) Measured ψ 662 nm

(e) Modeled $\widetilde{\psi}$ 662 nm

- Diattenuation orientation images show match in spatial trend
- Pixel-wise errors low for 451 nm which has less spatial variation, higher errors for 662 nm
- Over wavelength and geometry, average diattenuation orientation error of 10.9° and magnitude error of 8.3%





3D Printed Objects with Mixed Model



