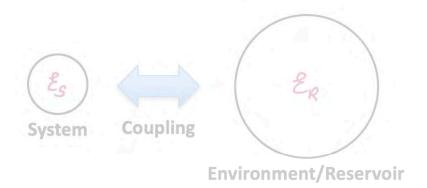
# Example #1: Coupling to an Environment (Lecture 10-04-23)



- ★ System + Environment evolves unitarily, become entangled the system on its own evolves non-unitarily
- \* Reasonable assumptions about the environment "Master Equation" for \$\mathcal{G}\_{\mathcal{S}}\$

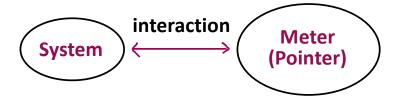


$$g_s = \frac{1}{iR} [H_s, g_s] + \mathcal{L}(g_s)$$

\* The Liouvillian  $\mathscr L$  accounts for relaxation and decoherence

### **Example #2:** Coupling to a Meter

(Lecture 10-04-2023)





Stochastic Schrödinger equation with unitary Evolution, interrupted by random Quantum Jumps when measurements occur

Our starting point:

Operator-Sum representation of non-Unitary evolution

Let 
$$g = g_A \otimes [o)_{ge} \langle o|$$
 w/unitary evolution  $U_{AB}$ 

$$g \Rightarrow U_{AB} (g_A \otimes [o)_{ge} \langle o|) U_{AB}^+$$

Reduced density operator for system A in basis  $\{\mu\}_{g}$ 

$$S_{A}' = Tr_{B} \left[ U_{AB} \left( S_{A} \otimes I_{O} \right) S_{BB} \left( O_{I} \right) U_{AB}^{+} \right]$$

$$= \sum_{M} \left[ S_{A} \left( S_{A} \otimes I_{O} \right) S_{BB} \left( O_{I} \right) U_{AB}^{+} \right] M_{B}^{+}$$

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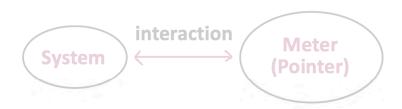
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# Example #2: Coupling to a Meter (Lecture 10-18-2022)





Stochastic Schrödinger equation with unitary Evolution, interrupted by random Quantum Jumps when measurements occur

Our starting point:

Operator-Sum representation of non-Unitary evolution

Let 
$$\mathcal{G} = \mathcal{G}_A \otimes |O\rangle_{ga} \langle O|$$
 w/unitary evolution  $U_{AB}$ 

$$\mathcal{G} = \mathcal{G}_A \otimes |O\rangle_{ga} \langle O| \quad \text{w/unitary evolution} \quad U_{AB}$$

Reduced density operator for system A in basis  $\{\mu\}$ 

$$S_{A}' = Tr_{B} \left[ U_{AB} \left( S_{A} \otimes lo \right) S_{BB} \left( o l \right) U_{AB}^{+} \right]$$

$$= \sum_{M} \left[ S_{A} \left[ U_{AB} \left( O \right) S_{B} \right] S_{AB} \left( o l \right) U_{AB}^{+} \right] M_{B}^{+}$$

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#### We can now write

$$g_{A}^{\prime} = \#(g_{A}) = \sum_{M} M_{M} g_{A} M_{M}^{+}$$

Furthermore, since  $\mathcal{O}_{AB}$  is unitary, the  $\mathcal{M}_{\mu}$ 's have the property

$$\sum_{M} M_{M}^{\dagger} M_{M} = \sum_{M} c \langle 0 | U_{AB}^{\dagger} | M \rangle_{BB} \langle M | U_{AB} | 0 \rangle_{B}$$

$$= c \langle 0 | U_{AB}^{\dagger} | U_{AB} | 0 \rangle_{B} = 1$$

#### We conclude:

\$ defines a Linear Map

\$: Linear Operator 
$$\rightarrow$$
 Linear Operator

If  $\sum_{M} M_{M}^{\dagger} M_{M} = 1_{A}$  then \$ is a SuperOperator

and  $\$(g_{A}) = \sum_{M} M_{M} g_{A} M_{M}^{\dagger}$  is the Operator-Sum or Krauss representation of \$\$

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**\$** defines a Linear Map

**\$:** Linear Operator → Linear Operator

If  $\sum_{M} M_{M}^{+} M_{M} = 1_{A}$  then \$\\$ is a <u>SuperOperator</u>

and  $\$(g_{\bullet}) = \sum_{\mathcal{M}} M_{\mathcal{M}} g_{\bullet} M_{\mathcal{M}}^{+}$  is the Operator-Sum or Krauss representation of \$\$

Note: # maps density operators to density operators because

\* 
$$g_A^i$$
 is Hermitian:  $g_A^{i+} = \sum_M M_M g_A^+ M_M^+ = g_A^i$ 

\* 
$$g_A^i$$
 has unit trace:  $\mathcal{T}_{g_A} = \sum_{M} \mathcal{T}_{g_A} \mathcal{M}_{g_A}^{\dagger} \mathcal{M}_{g_A}$ 

\*  $S_A^1$  is positive:

Used  $(ABC)^{\dagger} = C^{\dagger}B^{\dagger}A^{\dagger}$  and Trace invariance under cyclic permutation

Theorem: Given some \$ with an operator-sum representation, we can choose  $\mathcal{X}_{\mathcal{B}}$  and find the corresponding unitary  $\mathcal{V}_{\mathcal{A}}$  in  $\mathcal{X}_{\mathcal{A}} \otimes \mathcal{X}_{\mathcal{B}}$ 

**Note: \$** maps density operators to density operators because

\* 
$$g_A^I$$
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Theorem: Given some \$ with an operator-sum representation, we can choose  $\mathscr{U}_{\mathcal{B}}$  and find the corresponding unitary  $\mathcal{V}_{\mathcal{A}}$  in  $\mathscr{X}_{\mathcal{A}} \otimes \mathscr{X}_{\mathcal{B}}$ 

#### Note:

- \* Superoperators provide a formalism to describe decoherence, i. e., maps from pure to mixed states
- \* Unitary evolution is a special case with only one term in the operator-sum expansion
- \* Two or more terms  $\rightarrow$  initial pure states  $\in \mathcal{X}_A$  become entangled w/states  $\in \mathcal{X}_B$  due to  $v_{AB}$   $\rightarrow$  mixed final state  $\mathcal{C}_A'$
- \* Superoperators can be concatenated to form new ones, \$ = \$, \$

Theorem: If (♣)-¹(♣) = ₫ then \$ must necessarily be unitary

Non-unitary evolution cannot be reversed "arrow of time"

#### Note:

- \* Superoperators provide a formalism to describe decoherence, i. e., maps from pure to mixed states
- \* Unitary evolution is a special case with only one in the operator-sum expansion
- \* Two or more terms  $\rightarrow$  initial pure states  $\in \mathcal{X}_4$  become entangled w/states  $\in \mathcal{X}_g$  due to  $v_{AB}$   $\rightarrow$  mixed final state  $\mathcal{C}_4'$
- \* Superoperators can be concatenated to form new ones, \$ = \$, \$

Theorem: If (♣)-¹(♣) = <u>4</u> then **\$** must necessarily be unitary

Non-unitary evolution cannot be reversed 

"arrow of time"

#### We summarize:

A mapping  $\#: g \to g'$  where  $g_i g'$  are density operators, is a mapping of operators to operators that satisfy

- (0) \$\\$ is Linear
- (1) \$ preserves Hermiticity
- (2) \$\\$ is Trace preserving
- (3) \$ is completely positive,  $\$_{A} \otimes \mathscr{L}_{P}$  positive in  $\mathscr{L}_{A} \otimes \mathscr{L}_{P}$  for all  $\mathscr{A}_{P}$

#### **Krauss Representation Theorem**

See Preskill, Ch. 3.2 for more on Superoperator formalism

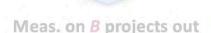
### Measurement as a Superoperator:

Von Neumann: Entangle System A with Meter B

Orthogonal measurement on B in "pointer basis" IA yields outcome M and tells us the meter is in M.

This projects out a state 
$$|24_{M}\rangle_{AA}\langle 24_{M}| = \frac{M_{M}|\varphi\rangle_{AA}\langle Q|M_{M}^{+}}{A\langle Q|M_{M}^{+}M_{M}|Q\rangle_{A}}$$

Generally QA is mixed



Meas. on B projects out 
$$S_A^M = \frac{M_M S_A M_M^+}{Tr[M_M S_A M_M^+]}$$

This is a POVM with elements

$$F_{M} = M_{M}^{\dagger} M_{M}$$
,  $\sum_{M} F_{M} = \sum_{M} M_{M}^{\dagger} M_{M} = M_{A}$ 

If no access to the measurement outcome then

$$g_A \rightarrow g_A' = \sum_M P(M)g_A'' = \sum_M M_M g_A M_M'' = \#(g_A)$$
Superoperator

Most general measurement: POVM [F] on SA

In this case we have 
$$\begin{cases} P(\mu) = Tr_A \Gamma_m S_A T \\ S_A = \sum_{m} \sqrt{F_m} S_A \sqrt{F_m} \end{cases}$$

Note that 🗐 Hermitian - 🍑 🎼 Hermitian

Compare w/ (1) above to see this POVM has the unitary representation

If no access to the measurement outcome then

$$g_A \rightarrow g_A' = \sum_{m} P(m)g_A^m = \sum_{m} M_m g_A M_m^{\dagger} = \#(g_A)$$
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Note that F Hermitian → VF Hermitian

Compare w/ (1) above to see this POVM has the unitary representation

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In this case we have 
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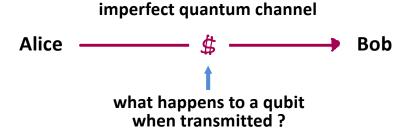
Compare w/ (1) above to see this POVM has the unitary representation

#### **Summary:**

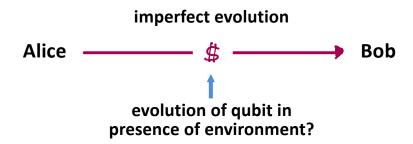
- \* The discussion so far highlights the relationship between measurement and decoherence. We can always view the latter as the environment Doing a measurement and extracting information that we cannot retrieve. The loss of information causes an initial pure state to evolve into a statistical mixture, which is the definition of decoherence
- \* Sometimes we can "guess" what kind of "measurements" the environment implements. This is useful in the modeling of decohering "Quantum Channels"
- \* The example that follows is based on the first of four examples of decohering quantum channels given in Preskills notes. These will be particularly Relevant for those of you working in the area of Quantum communication over quantum photonic Networks.

# Decohering Quantum Channels– a simple example –

**Communication Scenario:** 



**Alternatively: Transmission in time** 



These are generic input-output maps!

#### **Example: Depolarizing Channel**

Probability of error = 1, 3 types, equal probability

(1) Bit flip 
$$\begin{array}{c} |0\rangle \rightarrow |1\rangle \\ |1\rangle \rightarrow |0\rangle \end{array} \Rightarrow |2\rangle \rightarrow |2\rangle \rightarrow |2\rangle , |2\rangle \rightarrow |2\rangle$$

(2) Phase flip 
$$|0\rangle \rightarrow |0\rangle$$
  $|1\rangle \rightarrow |1\rangle \rightarrow |1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$ 

(3) Both 
$$\begin{array}{c} |0\rangle \rightarrow i|4\rangle \\ |4\rangle \rightarrow -i|0\rangle \end{array} \Rightarrow |1\rangle \rightarrow |0\rangle |1\rangle \rightarrow |0\rangle |1\rangle \rightarrow |0\rangle |1\rangle |1\rangle \rightarrow |0\rangle |1\rangle |1\rangle \rightarrow |0\rangle |1\rangle |1\rangle \rightarrow |0\rangle |1\rangle |1\rangle |1\rangle \rightarrow |0\rangle \rightarrow |0\rangle$$

**Unitary Representation:** 

Channel is a unitary map on  $\mathcal{X}_{A} \otimes \mathcal{X}_{E}$ 

One choice (not unique, can always find one)

#### **Example: Depolarizing Channel**

Probability of error = 1, 3 types, equal probability

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$$|0\rangle \rightarrow |0\rangle$$
  $|1\rangle \rightarrow |1\rangle \rightarrow |1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$   $|1\rangle$ 

(3) Both 
$$\begin{array}{c} \langle 0 \rangle \rightarrow i | 1 \rangle \\ \langle 1 \rangle \rightarrow -i | 0 \rangle \end{array} \Rightarrow \langle 1 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle \\ \downarrow 1 \rangle \rightarrow \langle 0 \rangle \rightarrow \langle 0 \rangle$$

Unitary Representation: Channel is a unitary map on  $\mathcal{X}_{A} \otimes \mathcal{X}_{E}$ 

One choice (not unique, can always find one)

#### Note:

The 4 orthogonal states in  $\mathscr{L}_{\mathsf{E}}$  keep records of what happened. If available through measurement in  $\mathscr{L}_{\mathsf{E}}$  the errors would in principle be reversible. We must have  $\mathscr{D}_{\mathsf{im}}\mathscr{L}_{\mathsf{E}} \geqslant 4$  to allow 4 distinct evolutions

#### On Operator Form: we have

$$U_{AE} = \sqrt{1-n} \underbrace{1_{AE} + \underbrace{\frac{\pi}{3}}_{5} 5_{1}^{A} |1)_{GE}}_{AE} \langle 0| + 5_{2}^{A} |2)_{EE} \langle 0| + 5_{3}^{A} |3)_{EE} \langle 0|$$

$$= \underbrace{\sum_{A} e^{\langle A|} U_{AE} |0\rangle_{E}}_{AE} \langle 0| U_{AE}^{AE} |A\rangle_{E} = \underbrace{\sum_{A} M_{A} S_{A} M_{A}^{A}}_{AE}$$

$$\underbrace{M_{AE}}_{AE} \langle 0| U_{AE}^{AE} |A\rangle_{E} = \underbrace{\sum_{A} M_{A} S_{A} M_{A}^{A}}_{AE}$$

#### From this we find Kraus operators

$$M_0 = \sqrt{1-n} \, \mathcal{I}_A$$
,  $M_1 = \sqrt{\frac{n}{3}} \, \nabla_1^A$ ,  $M_2 = \sqrt{\frac{n}{3}} \, \nabla_2^A$ ,  $M_3 = \sqrt{\frac{n}{3}} \, \nabla_3^A$ 

Check (
$$\sigma_i^2 = 1$$
):  $\sum_{M} M_M^4 M_M = \left(1 - p + 3\frac{n}{3}\right) 1 = 1$ 

#### From earlier:

$$Q_A' = \sum_{M} g(M)U_{AB}(O)_B Q_A g(O)U_{AB}^+ | M)_B$$

operator  $M_M$  acting on  $Q_A$ 

#### Note:

The 4 orthogonal states in  $\mathscr{L}_{\mathsf{E}}$  keep records of what happened. If available through measurement in  $\mathscr{L}_{\mathsf{E}}$  the errors would in principle be reversible. We must have  $\mathscr{D}_{\mathsf{im}}\mathscr{X}_{\mathsf{E}} \geqslant 4$  to allow 4 distinct evolutions

#### On Operator Form: we have

$$U_{AE} = \sqrt{1-\eta_1} \, 1_{AE} + \sqrt{\frac{\eta_1}{3}} \, \sqrt{\frac{\eta_1}{3}} | 1_{AE} + \sqrt{\frac{\eta_1}$$

#### From this we find Kraus operators

$$M_0 = \sqrt{1-n} \, \mathcal{I}_A$$
,  $M_1 = \sqrt{\frac{n}{3}} \, \mathcal{T}_1^A$ ,  $M_2 = \sqrt{\frac{n}{3}} \, \mathcal{T}_2^A$ ,  $M_3 = \sqrt{\frac{n}{3}} \, \mathcal{T}_3^A$ 

Check (
$$\sigma_i^2 = 1$$
):  $\sum_{M} M_M^4 M_M = \left(1 - p + 3\frac{1}{3}\right) 1 = 1$ 

#### From earlier:

$$Q_A' = \sum_{M} \sum_{B < M | U_{AB} | O >_B} S_{AB} < O | U_{AB}^+ | M >_B$$

operator  $M_M$  acting on  $S_A$ 

#### **Evolution of the Qubit:**

$$S_A \rightarrow S_A^I = (1-\eta)S_A + \frac{1}{3} \left( S_1^A S_A S_1^A + S_2^A S_2^A + S_3^A S_2^A \right)$$

#### **Bloch Sphere representation:**

Let: 
$$S_A = \frac{1}{2} \left( 1 + \vec{p} \cdot \vec{\sigma} \right) = \frac{1}{2} \left( 1 + \vec{p}_3 \vec{\sigma}_3 \right)$$

Choose  $\vec{z}_3$  along  $\vec{p} = (0,0,P_3)$ 

Sub in expression for  $\mathcal{Q}_A^1$  above and use

Can show that

(Math details)

$$\begin{split} g_{A} & \to g_{A} i = (1 - p_{1})g_{A} + \frac{p_{1}}{3}(\sigma_{1}g_{A}\sigma_{1}) + \sigma_{2}g_{A}\sigma_{2} + \sigma_{3}g_{A}\sigma_{3}) \\ & = (1 - p_{1})\frac{1}{2}(1 + p_{3}\sigma_{3} + \frac{p_{1}}{3}[\frac{1}{2}(1 - p_{3}\sigma_{3}) + \frac{1}{2}(1 - p_{3}\sigma_{3}) + \frac{1}{2}(1 + p_{3}\sigma_{3})] \\ & = \frac{1}{2}[1 + (1 - \frac{p_{1}}{3})p_{3}\sigma_{3}] = \frac{1}{2}(1 + p_{3}\sigma_{3}) \Rightarrow p_{3}^{i} = (1 - \frac{p_{1}}{3})p_{3} \end{split}$$

By symmetry of (1) we have

$$\vec{P}' = (1 - \frac{4}{3}\eta)\vec{P}$$

**Uniform shrinking Bloch Sphere** 

#### **Evolution of the Qubit:**

$$S_A \rightarrow S_A^I = (1-1)S_A + \frac{t}{3} \left( \sigma_1^A S_A \sigma_2^A + \sigma_2^A S_A \sigma_2^A + \sigma_3^A S_A \sigma_3^A \right)$$

#### **Bloch Sphere representation:**

Let: 
$$S_A = \frac{1}{2} (1 + \vec{p} \cdot \vec{\sigma}) = \frac{1}{2} (1 + \vec{p} \cdot \vec{\sigma})$$

Choose  $\vec{z}_3$  along  $\vec{p} = (0, 0, P_3)$ 

Sub in expression for  $\mathscr{C}_A$  above and use

Can show that



(Math details)

$$\begin{split} g_{A} & \rightarrow g_{A} i = (1 - p_{1})g_{A} + \frac{p_{1}}{3}(\sigma_{1}g_{A}\sigma_{1}) + \sigma_{2}g_{A}\sigma_{2} + \sigma_{3}g_{A}\sigma_{3}) \\ & = (1 - p_{1})\frac{1}{2}(1 + p_{3}\sigma_{3} + \frac{p_{1}}{3}[\frac{1}{2}(1 - p_{3}\sigma_{3}) + \frac{1}{2}(1 - p_{3}\sigma_{3}) + \frac{1}{2}(1 + p_{3}\sigma_{3})] \\ & = \frac{1}{2}[1 + (1 - \frac{4p_{1}}{3})p_{3}\sigma_{3}] = \frac{1}{2}(1 + p_{3}\sigma_{3}) \Rightarrow p_{3}^{i} = (1 - \frac{4p_{1}}{3})p_{3} \end{split}$$



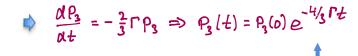
By symmetry of (1) we have

$$\vec{P}' = (1 - \frac{4}{3} \eta) \vec{P}$$

**Uniform shrinking Bloch Sphere** 

#### **Continuous limit:**

$$\eta = \Gamma dt \Rightarrow P_3(t+dt) = \left(1 - \frac{4}{3}\Gamma dt\right)P(t)$$



**Bloch Sphere shrinking at constant rate** 

This turns out to be identical to the Master Equation result

#### **Other Examples:**

- \* Phase Damping (Bloch sphere shrinks along x, y)
- Amplitude Damping (Bloch sphere shrinks along z)

## **Main Topics of QIT:**

- (1) Transmission of classical info over quantum channels
- (2) Information/disturbance tradeoff in QM
- (3) Quantifying entanglement
- (4) Transmission of quantum info over quantum channels

Our Program: (1) & (4)  $\sim$  2 Lectures

**Key Concept** – Incompressible information content

Classical Measure: Shannon Entropy

**Quantum Measure:** von Neumann Entropy

#### **Review of Classical Information Theory**

(Shannon for Dummies, Preskill 5.1)

Shannon, 1948: Core findings of classical info theory

- (1) How much data can be compressed (Redundancy)
- (2) Reliable communication rate over noisy channel (Redundancy needed to protect against errors)

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(Shannon's noiseless coding theorem)

Message = String of letters chosen from  $\{a_1, a_2, \dots, a_k\}$ 

A priori probability of occurrence:  $\gamma(\alpha_x)$ ,  $\sum \gamma(\alpha_x) = 1$ 

Basic Question: given message w/ n >> 1 letters

Can we compress to length  $\langle n \rangle$ ?

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**Look at Binary case:** 

**Typical Occurrence** 

$$0 \quad p(b) = 1-p \qquad \qquad n(1-p)$$

$$n(1-p)$$

Number of distinct typical strings  $\sim \binom{\eta}{\eta n}$ 

$$Log(\frac{n}{n\eta}) = Log \frac{n!}{(n\eta)![n(1-\eta)]!} \begin{cases} Stirlings formula \\ Log n! = nlog n - n + O(log n) \end{cases}$$

$$\simeq n \log n - n - [n n \log (n n) - (n n) + n (1 - n) \log [n (1 - n)] - n (1 - n)]$$

≡ν Ḥ(γ) ← # of bits needed to specify all typical strings, for a given ν

$$H(\eta) = -\eta \log \eta - (1-\eta) \log (1-\eta) = \sum_{x=0,1} \eta(x) \log \eta(x)$$

Entropy function

#### **Basic idea of Data Compression:**

- \* Assign integer code letter to each typical string
- \* This block code has 2<sup>nH(p)</sup> letters
- \* Each code letter specified by n H(p) bits

$$O \le \gamma \le 1 \longrightarrow O \le H(\gamma) \le 1$$
 $H(\gamma) = 1$  only for  $\gamma = 1/2$ 

Block code compresses message for  $\gamma \ne 1/2$ 

#### **Generalization:**

Le letters, prob. 
$$\gamma(x)$$
  
Ensemble  $X = \{x, \gamma(x)\}$  of letters

$$n$$
 - letter string  $\rightarrow \times$  occurs  $\sim n_1(x)$  times  
# of typical strings  $\sim \frac{n!}{\sum [n_1(x)]!} \sim 2^{-nH(X)}$ 

$$H(X) = -\sum_{x} p(x) \log p(x)$$
 Shannon entropy !

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N - letter string → × occurs ~ νη(×) times

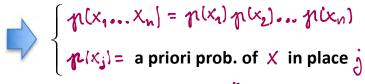
# of typical strings ~ 
$$\frac{n!}{\sqrt{\ln n(x)}!}$$
 ~  $2^{-nH(X)}$ 

$$H(X) = -\sum_{x} p(x) \log p(x)$$
 Shannon entropy !

#### **Shannons Noiseless Coding Theorem**

Consider a <u>specific message</u>  $x_1 x_2 \dots x_n$ with  $x_j \in X$  in j 'th place

statistically independent,  $x_i$  occurs n times on average



Then

$$Log P(x_1,...,x_j) = \sum_{i=1}^{n} Log p(x_i)$$

Applying the central limit theorem to this sum, we conclude that for "most sequences"

$$-\frac{1}{n}\log P(x_1,...,x_j) \sim \langle -\log p(x) \rangle \equiv H(x)$$

where brackets denote the mean with respect to the probability distribution governing the random variable x

Now: For any  $\mathcal{E}_{i} \delta > 0$  there exist an N large enough s. t.

$$H(X) - \delta \le -\frac{1}{n} \log \eta(x_1 \dots x_n) \le H(X) + \delta^{(1)}$$

$$\Rightarrow 2^{-n(H-\delta)} \ge \eta(x_1 \dots x_n) \ge 2^{-n(H+\delta)}$$

But:  $\gamma(x_1...x_n)$  is just one of many typical strings with the same number of occurrences of each letter and thus identical a priori probabilities  $\gamma(typical)$ . Then for  $\gamma$  large enough, we also have

$$1-\xi \leq \sum \gamma(\xi \gamma) \leq 1$$

$$1-\xi \leq \sum$$

Taking the ratio  $\frac{4}{(1)} \times (2)$  gives us the final result

$$(1-2)$$
  $2^{n(H-\delta)} \leq N(2,\delta) \leq 2^{n(H+\delta)}$ 

# Joint and Conditional Entropy, Mutual Information

#### Consider the following scenario:

Alice

$$X = \{x, y(x)\}$$

Rob

errors specified by  $y(y(x))$ 

known about channel

Bob uses this to estimate the prob. that Alice sent x given he received y. The "width" of the distribution p(x|y) is thus a measure of Bob's information gain per letter.

Think about this in terms of joint events

Joint entropy

$$H(X,Y) = -\sum_{x,y} \eta(x,y) \log \eta(x,y)$$

This is a measure of information content per letter in the combined strings

- \* Assume Bob measures the value of a letter of in the message
- \* He gets H(Y) bits of info about the letter pair X,4
- \* Bob's remaining uncertainty about the letter \* is then tied to his lack of knowledge about \* given that he knows \* .

The entropy of X conditioned on Y is therefore

### The Conditional Entropy H(X|Y)

is the number of bits of info per letter in Alice's message that Bob is missing due to channel errors

measure of information loss due to errors –

Equivalently, it is the # of extra bits Alice must send to ensure Bob gets the complete message in the presence of channel errors.

**Note:** From the above,

$$H(X|Y) = H(X,Y) - H(Y)$$

$$= -\sum_{(x,y)} p(x,y) \log p(x,y) = \sum_{(x,y)} p(x,y) \log \frac{p(x,y)}{p(y)}$$

$$= -\sum_{(x,y)} p(x,y) \log(x,y) + \sum_{(x,y)} p(y) \log p(y)$$

We can similarly quantify the # of bits of info about X that Bob has gained by measuring Y.

This is the **Mutual Information**:

$$T(X;Y) = H(X) + H(Y) - H(X|X)$$

$$= H(X) - H(X|Y) = H(Y) - H(X|X)$$

Note: When we added the info content of X to the info content of Y we overcounted the total info because some info is common to X and Y, and must be subtracted to get the proper measure for the Mutual Information

# **Quantum Information Theory (Preskill ch. 5)**